

11. Diatoms in temporary rivers: importance in a global climate change context

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Abstract

In this chapter we describe the biological aspects of diatoms in temporary rivers, focusing on their ecology and adaptation mechanisms to the harsh environment in these aquatic ecosystems. Predicted alterations in temporary rivers, according to the climate change scenarios, will most probably affect diatom composition and community structure. Given the importance of diatoms in these ecosystems, changes in diatom taxonomical and functional diversity, physiology, or biomass will be reflected in the whole ecosystem. The adaptation mechanisms to the stressors in temporary rivers can contribute to providing diatoms with an adaptive advantage under the predicted climate change extreme events. Furthermore, the use of diatoms as bioindicators, including the dry phase, contributes to the conservation and management of temporary rivers. However, further studies on diatoms in temporary rivers should be carried out, since their ecology is still poorly known due to the lack of exhaustive and thorough studies on their taxonomy, highlighted by the number of new species recently described from a few sampling sites in Southern Portugal.

11.1 Introduction

Watercourses that are subject to flow cessation, include a wide range of hydrological regimes, namely, temporary or intermittent rivers/streams, that may dry up during some time along the year [11.1], and ephemeral streams, that only flow for a short period, usually after rainfall events [11.1] or after snow melt [11.2]. To describe these watercourses a diverse lexicon is applied, as temporary, intermittent, seasonally intermittent, non-perennial, episodic or ephemeral [11.3], or freezing intermittent rivers

32 and ephemeral streams (FIRES - lotic systems that have the whole water column
33 periodically freezing) [11.4]. In this review, the term “temporary rivers” was selected.

34 The integration of temporary rivers in riverine ecosystems conceptual models has been
35 critically revised by [11.5], stating that the 18 models jointly describe the main drivers of
36 biogeochemical and ecological patterns and processes along the following four
37 dimensions: (i) *longitudinally* (upstream-downstream); (ii) *laterally* (channel-riparian-
38 floodplain); (iii) *vertically* (surface water-groundwater); and (iv) *temporally* across local
39 and landscape scales. Nevertheless, drying represents a significant hydrological process
40 that structures river ecosystems, contributing to the specificities of temporary
41 watercourses that are not represented in these models, namely:

- 42 (i) their longitudinal discontinuity, with the formation of isolated pools or
43 disconnected flowing reaches during the dry phase, with consequences for
44 materials transport and for the connectivity of the populations of riverine
45 organisms [11.6];
- 46 (ii) the unidirectional lateral connectivity during part of the year (only terrestrial
47 to aquatic ecosystems);
- 48 (iii) the variability in the vertical connectivity between surface and subsurface
49 water throughout the year, since it can be unidirectional during the drying
50 (downwelling of surface water into the hyporheic zone, the saturated
51 subsurface zone beneath the river channel) and rewetting phases (upwelling
52 of subsurface water into the river channel). This variability in the vertical
53 connectivity affects the nutrient and organic matter dynamics [11.7], the
54 vertical exchanges of gases, with litter rewetting contributing to CO₂
55 emissions [11.8], and the hyporheic zone can act as a refuge for the
56 microorganisms [11.9];
- 57 (iv) changes in flow, caused by the drying process should be considered across
58 temporal and spatial scales [11.5]. Thus, these systems are typically
59 characterized by alternation between wet and dry phases, and are archetypal
60 aquatic–terrestrial ecosystems, including flowing, ponded, and dry habitats
61 [11.10], as presented in Figures 11.1 and 11.2.

62 Temporary rivers are considered the most widespread type of flowing waters, dominating
63 arid, semi-arid, Mediterranean, and dry-subhumid regions, together covering almost half

64 of the land surface [11.2]. Also [11.11] estimate that respectively 69, 56, 49, 42 and 34
65 % of stream orders 1-5 are ephemeral in COSCATs (coastal segmentation and related
66 catchment) below 60° N, therefore, these ecosystems are important even in temperate and
67 humid areas, since headwaters represent more than 70% of the channel length of most
68 river networks [11.12]. Recent estimations indicate that 51-60% of the global river length
69 is non-perennial [11.13], and in Southern Portugal represents >70% of the river network
70 (Agência Portuguesa do Ambiente, I.P.; A.R.H. Alentejo, unpublished data).

71 [Figure 11.1 here]

72 *Figure 11.1. Example of dry and ponded habitats present in temporary rivers. Lampreia stream, Southern Portugal*
73 *(left photo); Cuncos stream (right photo), both in Guadiana Basin, Southern Portugal.*

74

75 [Figure 11.2 here]

76 *Figure 11.2. Temporal dynamics of a temporary river with the presence of wet and dry phases. Valverde stream*
77 *(Sado Basin), Southern Portugal.*

78

79 Further, temporary rivers are increasing annually, with more of the land surface drying,
80 due to the reduction and seasonal shifts in precipitation and runoff [11.2]. Temporary
81 rivers are among the aquatic ecosystems more susceptible to being affected by climate
82 change [11.14]. This intermittence increase (both in duration, frequency, and extension,
83 in terms of stretches/areas subject to drying) triggered by climatic drying is aggravated
84 by human activities, such as water abstraction and diversion, with the Anthropocenic
85 intermittence [11.2] creating artificially intermittent reaches.

86 The importance of naturally temporary rivers for biodiversity support, ecosystem
87 processes and for goods and services provision is already acknowledged [11.2], [11.15],
88 [11.16]. Despite this recent recognition of their value and global increasing spatial and
89 temporal extent, temporary rivers are still largely omitted from legislation and policies,
90 even though the Mediterranean GIG river types recognized by the WFD [11.17], already
91 included "small, lowland, temporary" rivers. Consequently, these rivers are still typically
92 poorly represented in biomonitoring programs, jeopardizing their assessment, and
93 impairing the adoption of adequate management measures to prevent their health
94 degradation [11.18], [11.19]. Temporary rivers are highly vulnerable to multiple
95 anthropogenic pressures, namely agriculture intensification, livestock farming,

96 hydromorphological alteration, and urban wastewater discharge, and these pressures will
97 likely increase under global change [11.20]–[11.22].

98 Research on these ecosystems has increased over the past decades, as depicted in a search
99 on Scopus in January 2023, where a total of 3 072 manuscripts were published with the
100 terms “temporary river*” OR “intermittent stream*” OR “temporary stream*” OR
101 “intermittent river*” OR “ephemeral stream” OR “non-perennial river*” OR “non-
102 perennial stream*”. The first record of a published paper on this subject was entitled
103 “Intermittent Streams in Berkshire”, written by Rupert Jones in 1885 and published in the
104 Geological Magazine. During the following decades, almost no interest was devoted to
105 this subject, until the 60’s, when one or two papers started to be published each year,
106 increasing in the 70’s and onward, until surpassing the threshold of more than 100
107 publications per year in 2011. Since this date, more than 100 papers were published every
108 year, with a maximum of 193 publications in 2020, as seen in Figure 11.3.

109 From these, when the search term “alga*” is included, 95 publications are retrieved, from
110 which only 56 are related to diatoms. The first published record on diatoms in temporary
111 rivers is from 1985, and until 2007 only one paper was published per year. In 2008, 2
112 papers were published, with this number increasing to 4 in 2014 and reaching a maximum
113 of 7 in 2020 and 2021, revealing a slight increase in interest in this subject, as seen in
114 Figure 11.3. These studies include taxonomical approaches, with the description of 3 new
115 taxa from temporary rivers (*Punctastriata obstinata* E.Morales, M.L.García & Novais;
116 *Planothidium audax* E.Morales, Novais & M.L.García; *Fragilaria odeloucaensis*
117 E.Morales, Novais, C.E.Wetzel, Ector & Morais); community dynamics; monitoring and
118 ecological status assessment, detailed in the following sections. The great majority of the
119 studies are focused in drying rivers, whilst diatom studies in intermittent freezing streams
120 are rare, e.g. [11.23], [11.24].

121 When comparing these results with those for invertebrates, the latter were present in 369
122 publications (from 1978 until the end of 2022), with this discrepancy revealing the long
123 way to go in diatom research in these ecosystems. Also [11.3] reviewed the literature on
124 Intermittent Rivers (IR), highlighting the increasing characterization of these systems,
125 considering theoretical aspects, assessment, protection, and habitat restoration.
126 Nevertheless, in their review, only invertebrates and fish were considered among the biota
127 of IR, along with biogeochemistry and hydrological and ecological assessment.

128 [Figure 11.3 here]

129 *Figure 11.3. Total number of publications on non-perennial watercourses (light grey)*
130 *and including diatoms (dark grey)*

131 The few publications on algae, and particularly diatoms, do not reflect their essential role
132 in the functioning of temporary rivers, as the basis of the trophic network along with the
133 other primary producers (e.g. cyanobacteria, mosses, and macrophytes in the river
134 channel and the riparian vegetation in the margins). These organisms produce organic
135 matter using upstream and lateral inorganic nutrients and carbon dioxide in the
136 photosynthesis process with solar energy [11.25]. In riverine systems, primary producers
137 differ, with the riparian vegetation dominating the terrestrial-aquatic ecotone, whilst the
138 aquatic environment is colonized by macrophytes and algae [11.25].

139 The structure of algal assemblages is commonly determined by the type of substratum,
140 the water chemistry, light availability, water temperature, and flow [11.26]. In temporary
141 rivers, these environmental factors are coupled with the challenges associated with the
142 hydrological fluctuations, including the long dry periods and the hydric and thermal stress
143 [11.25].

144 In this chapter we review 1) the biological aspects of diatoms in temporary rivers,
145 focusing on their adaptation mechanisms to the fluctuating hydrology and associated
146 stressors, such as flow reduction/lentification, increased temperature, desiccation, and
147 UV radiation exposure; 2) diatom community dynamics and the importance of temporary
148 rivers for endangered species conservation; and 3) diatom as bioindicators in temporary
149 rivers.

150

151 **11.2 Diatoms adaptation mechanisms in temporary rivers**

152 Temporary rivers represent a harsh and rigorous environment for diatoms, due to the
153 hydric stress, related to drying and thermal stress, water flow fluctuations, atmospheric
154 exposure, and desiccation. Globally, water stress directly affects cell structure, biomass,
155 and algal diversity, since water is essential for phototrophs because it is involved in the
156 cells' osmotic equilibrium, in photosynthesis as a reducing agent and in sugar synthesis,
157 and in other metabolic processes [11.25]. Further, vegetative cells of benthic freshwater
158 diatoms are known to be highly sensitive to desiccation, freezing, and abrupt heating,

159 whilst vegetative cells of terrestrial diatoms are more tolerant to temperature extremes
160 [11.27].

161 Diatoms have developed adaptation mechanisms (either life cycle, morphological or
162 physiological traits) related to the capacity to withstand these harsh conditions and persist
163 in the stream (by staying in, or colonizing potential refuges as pools, leaf litter packs, or
164 subsuperficial sediments) and recover and spread once the flow resumes [11.9]. However,
165 short evidence of diatom adaptation mechanisms is yet available from temporary rivers,
166 as seen in Table 11.1. Thus, in this chapter information about the possible adaptation
167 mechanisms to the stressors diatoms face in temporary rivers is compiled but includes
168 data available from other ecosystems.

169 [Table 11.1 here]

170 Morphological and physiological changes in freshwater diatoms exposed to adverse
171 conditions (e.g., temperature, light, desiccation, nutrients, pH, or salinity) have been
172 reported [11.28], namely, the formation of resting stages, defined by [11.29] as cells or
173 group of cells that stop growing and have their metabolic processes at a minimum. Two
174 types have been described [11.27]:

- 175 (i) resting spores (hynospores), that differ in morphology and physiology from
176 vegetative cells, with thicker frustules, often a rounder shape, and less
177 elaborated patterns at the cell surface [11.28];
- 178 (ii) resting cells, with cytoplasmatic and physiological alterations but with similar
179 morphology to the vegetative cells, a fact that can contribute to their
180 overlooking.

181 Spores occurrence has been more common in marine centric diatoms, with few freshwater
182 species as exceptions, mainly belonging to the genera *Urosolenia* and *Acanthoceras*
183 [11.30], *Aulacoseira* and inland *Chaetoceros* [11.28], and being rare amongst pennate
184 diatoms, with few exceptions, such as *Craticula cuspidata* (Kützing) Mann, whose
185 resting-spore formation is detailed in [11.31]. Resting cells are more often formed in
186 freshwater and pennate diatoms [11.28] and their existence explains the persistence of
187 viable cells where spores are not found (for instance, a sample collected in Southern
188 Portugal from cobbles that were dry for a long time, at the end of the summer, revealed a
189 high proportion of live diatoms after rewetting in the laboratory). In diatoms, resting
190 stages are formed vegetatively e.g. [11.32], with a few exceptions of sexual spore

191 formation inside the auxospores in a few marine diatoms, e.g. *Leptocylindrus danicus*
192 Cleve, *L. minimus* Gran [11.28].

193 Resting stages are reported to withstand unfavourable conditions for years, decades, and
194 even millennia and still be alive, e.g. (i) *Aulacoseira granulata* (Ehrenberg) Simonsen
195 resting cells present in anoxic sediments for twenty years were still able to germinate
196 [11.33]; (ii) ~6600 cal. year BP old *Chaetoceros muelleri* var. *subsalsum* (Lemmermann)
197 Johansen & Rushforth resting spores in hypoxic sediments were still viable (even though
198 the germination rate decreased with increasing age, from three hours to 2 – 3 days)
199 [11.34]. According to [11.28], cell survival in the resting stage depends on several
200 important factors, as light, temperature, and time.

201 [11.28] listed 16 non-marine taxa as producing resting spores and 17 producing resting
202 cells:

203 (i) resting spores producing taxa - *Acanthoceras zachariasii* (Brun) Simonsen,
204 *Aulacoseira bellicosa* (Héribaude) Simonsen, *A. italica* (Ehr.) Simonsen, *A.*
205 *skvortzowii* Edlund, Stoermer et Taylor, *Craticula cuspidata* (Kützing) Mann,
206 *Diatoma anceps* (Ehrenberg) Kirchner, *Eunotia faba* (Ehrenberg) Grunow, *E.*
207 *pectinalis* (Kützing) Rabenhorst, *E. soleirolii* (Kützing) Rabenhorst, *Melosira*
208 *charcotii* Peragallo, *M. laevis* (Ehrenberg) Ralfs, *M. semilaevis* Grunow, *M.*
209 *turgida* Ehrlich, *Meridion circulare* (Greville) C.Agardh, *Urosolenia eriensis*
210 (H.L. Smith) Round et Crawford, and *U. longiseta* (Zacharias) Edlund &
211 Stoermer;

212 (ii) resting cells producing taxa - *Actinocyclus normanii* (Gregory ex Greville)
213 Hustedt, *Asterionella formosa* Hassall, *Aulacoseira granulata* (Ehrenberg)
214 Simonsen, *A. islandica* (O.Müller) Simonsen, *A. subarctica* (O. Müller)
215 11.Haworth, *Diatoma tenue* C.Agardh, *Fragilaria capucina* Desmazières,
216 *Staurosira construens* Ehrenberg, *Fragilaria crotonensis* Kitton, *F.*
217 *intermedia* Grunow, *F. pinnata* Ehrenberg, *Stephanodiscus alpinus* Hustedt,
218 *S. binderanus* (Kützing) Krieger, *S. medius* Håkansson, *S. niagarae*
219 Ehrenberg, *Tabellaria fenestrata* (Lyngbye) Kützing, and *T. flocculosa* (Roth)
220 Kützing.

221 Physiological distinctions between resting stages and vegetative cells have been observed
222 and are reported in [11.28], namely: (i) the higher rates of cellular carbon to nitrogen; (ii)

223 higher carbon and chlorophyll content per cell when in the dark; (iii) more condensed
224 organelles; (iv) more or larger vesicles of storage products; (v) lower sugar phosphate
225 content; (vi) decrease in the respiration rate (to less than 20% when compared with
226 actively growing cells); (vii) reduction in the photosynthesis rate (less than 4% to that in
227 vegetative cells), related to the chloroplasts size reduction, loss, or colour change (from
228 green to yellow and orange-red), observed in diatom cells during the non-flow phase by
229 [11.26]. An accumulation of oil in the cells of *Pinnularia viridis* was also observed by
230 [11.29] in experimental drying. According to [11.29], *Pinnularia viridis* (Nitzsch)
231 Ehrenberg, *P. major* (Kützing) Rabenhorst, *Cymbella lanceolata* C. Agardh, and
232 *Nitzschia linearis* W. Smith were species mainly found in the water, but able to survive
233 some exposure and drying, while *Nitzschia palea* (Kützing) W. Smith and *Stauroneis*
234 *phoenicenteron* (Nitzsch) Ehrenberg were able to survive prolonged and severe drought.

235 Table 11.1 summarizes the adaptation mechanisms to the stressors in temporary rivers.

236

237 **11.3 Flow reduction / lenticification**

238 In temporary rivers, one of the environmental factors most challenging for biological
239 communities is flow reduction, which can be the flow interruption or even the complete
240 drying of the channel, according to the Aquatic Regime (AR) of the temporary rivers, e.g.
241 temporary-pools, temporary-drying or episodic, following the updated classification
242 proposed by [11.35], that describes the long-term global variability of the hydrological
243 conditions.

244 In temporary rivers, the duration and predictability of flow and no-flow periods are major
245 factors shaping biological communities [11.35].

246 Considering the biological communities' dependence on the changes of the aquatic
247 habitats over time, [11.35] developed a methodology to analyse the aquatic regimes based
248 on Aquatic States (AS), that relate to the sets of mesohabitats occurring in a reach at a
249 determined moment, depending on the hydrological conditions (presented in Figure 11.4):

250 i) Hyperrheic – high water (flood), that originates the movement of stream bed
251 alluvium and drift of most of the aquatic fauna;

- 252 ii) Eurheic – optimal hydraulic connectivity and widest range of discharges due
253 to the succession of riffles and pools, presence and connectivity of all
254 mesohabitats;
- 255 iii) Oligorheic – low water discharge, but enough to connect most pools due to
256 water rivulets;
- 257 iv) Arheic – surface discharge close to 0, but some disconnected pools are still
258 present in the stream bed;
- 259 v) Hyporheic – absence of surface water in most of the stream bed, but the
260 alluvium may be still close to saturation;
- 261 vi) Edaphic – no surface water in the entire stream bed, and the dryness of the
262 alluvium does not allow active hyporheic life.

263 The importance of these aquatic states for the ecological status assessment is also
264 discussed by [11.35], based on macroinvertebrate communities.

265 [Figure 11.4 here]

266 *Figure 11.4. Aquatic States (AS), depending on the hydrological conditions (based on*
267 *[11.35])*

268 Further, the hypothesis that also diatom communities respond to the AS was validated by
269 [11.36], based on a one-year study of permanent (as control) and temporary rivers in 2017
270 in Southern Portugal. 2017 was a very dry year, characterized by severe droughts, with
271 low accumulated precipitation even in winter and resuming only in March 2018.
272 Therefore, the arheic state was distinguished between summer and winter, with
273 temperature being the main environmental factor separating the two types. Diatom
274 communities not only differed between AS (arheic and eurheic), but also reflected the
275 mesohabitats (flowing water and pools). Indicator species of the AS (eurheic in spring
276 2017, eurheic during the remaining seasons, arheic in summer, arheic in the remaining
277 seasons) were also retrieved and are as follows:

278 - arheic (except Summer 2017) - *Craticula cuspidata* (Kützing) D.G.Mann, *Fallacia*
279 *pygmaea* (Kützing) Stickle & D.G. Mann, *Navicula erifuga* Lange-Bertalot, *Nitzschia*
280 *intermedia* Hantzsch ex Cleve & Grunow, *Nitzschia supralitorea* Lange-Bertalot,
281 *Planothidium pericavum* (J.R.Carter) Lange-Bertalot, *Sellaphora pupula* (Kützing)
282 Mereschkowsky, and *Surirella angusta* Kützing;

283 - arheic in Summer 2017 - *Achnanthydium eutrophilum* (Lange-Bertalot) Lange-Bertalot,
284 *Cocconeis placentula* Ehrenberg, *Eolimna minima* (Grunow) Lange-Bertalot, *Epithemia*
285 *turgida* (Ehrenberg) Kützing, *Karayevia clevei* (Grunow) Bukhtiyarova, *Nitzschia*
286 *amphibia* Grunow, *N. filiformis* var. *conferta* (Richter) Lange-Bertalot, *N. valdestriata*
287 Aleem & Hustedt, *Pseudofallacia tenera* (Hustedt) Liu, Kociolek & Wang,
288 *Pseudostaurosira brevistriata* (Grunow) D.M. Williams & Round, *Pseudostaurosira*
289 sp. 1, and *Staurosirella pinnata* (Ehrenberg) D.M. Williams & Round;

290 - eurheic - *Denticula subtilis* Grunow, *Encyonema minutiforme* Krammer, *Eunotia*
291 *implicata* Nörpel, Lange-Bertalot & Alles, *E. minor* (Kützing) Grunow, *E. pectinalis*
292 (Kützing) Rabenhorst, *Fragilaria* aff. *capitellata* (Grunow) J.B. Petersen, and *Nitzschia*
293 *gracilis* Hantzsch;

294 - eurheic in Spring 2017 - two *Fragilaria* species with affinity to the *pectinalis* group,
295 *Gomphonema pumilum* var. *rigidum* E. Reichardt & Lange-Bertalot, *G. truncatum*
296 Ehrenberg, *Melosira varians* C. Agardh, *Planothidium* cf. *frequentissimum* (Lange-
297 Bertalot) Lange-Bertalot, and *Ulnaria acus* (Kützing) Aboal.

298 These assemblage shifts were also reported by [11.25], who stated that algal assemblages
299 during the flowing phase were similar to permanent streams. With intermittency, a shift
300 in the communities is observed, with lotic (benthic) algae gradually being replaced by
301 lentic communities, with a dominance of the motile form in the pools, related to the
302 nutrient increase and siltation [11.36]. When complete drying does not occur, these pools
303 play an important role in the recolonization process once the flow resumes [11.37].

304

305 **11.4 Desiccation stress**

306 Water supports vital biophysical and biochemical processes and influences key cellular
307 functions, such as: protein folding and stability, enzyme catalysis, DNA packaging,
308 molecular recognition, cellular tolerance to freezing, intracellular signalling and transport
309 processes; also biomolecules such as nucleic acids, proteins or membranes are normally
310 in close contact with water solutions [11.38]. Further, alterations in cell organelles
311 morphology and location have been observed, as water leaves the cell during the
312 desiccation process, e.g. the shrinking of the protoplasmic components and distortion of
313 chloroplasts and pyrenoids of *Stauroneis anceps* cells, with dry cells organelles

314 positioned at the ends of the frustule, with the entire protoplast at one end or divided and
315 occupying both ends of the frustule [11.39].

316 During the dry phase, biomolecules face air drying/dehydration, which is the process
317 involving the removal of both freezable and non-freezable fractions of water, with
318 extreme dehydration often causing: protein denaturation (a process that may be
319 irreversible); destabilization or disruption of membranes [11.40]; and the accumulation
320 of reactive oxygen species [11.41]. Tolerance to desiccation has been mainly observed in
321 resting cells of terrestrial diatoms by [11.27], with some mechanisms already identified
322 at the cellular level that may contribute to achieving it: (i) the addition of a solute
323 (disaccharides) can contribute to proteins' stabilization, and therefore dehydration to
324 much lower water content. In brief, as dehydration progresses, the solute concentration
325 increases to the point where it penetrates the protein hydration shell (one or two layers of
326 water molecules that are in close interaction with the surface of the proteins [11.38],
327 allowing the preservation of the conformational state of the dry protein [11.40]; (ii)
328 further, sugars (namely the disaccharide trehalose) are effective in the stabilization of
329 phospholipid bilayers under severe dehydration, allowing dry phospholipids to be in
330 liquid crystalline phase at temperatures they would normally be in the gel phase, avoiding
331 the gel to the liquid crystalline phase transition during rehydration [11.40], [11.42].
332 Further, according to the principles of the excluded solute theory, all compatible solutes
333 (low molecular mass organic solutes) would be expected to provide some protection to
334 cells and intracellular macromolecules, against the reduced intracellular water potential
335 and the resulting increase in intracellular ionic strength caused by the water loss [11.42].
336 However, under a severe desiccation state, this mechanism is not efficient, since ca. 0.3
337 – 0.4 g H₂O protein⁻¹ are needed to maintain even a single mono-layer of bound water
338 around the proteins [11.42].

339 Diatom response in temporary rivers to drying includes the prevalence of the life-forms
340 mobile and mucilage-forming [11.25]. The first trait includes species that are able to move
341 (“mobile”) and are therefore capable of selecting the most suitable habitat [11.43] and
342 migrating, for instance, to deeper sediments where moisture can remain [11.9], [11.44],
343 or to exploit refugia (as pools). Mucilage-forming species (e.g. *Cymbella* or
344 *Gomphonema* species) form mucilaginous stalks or tubes that can protect the cells. The
345 increase in the production of extracellular substances in stress situations is known to occur
346 when there is an inhibition of cell division but photoassimilation continues [11.45].

347 These adaptations, either as resting stages or certain life-forms prevalence, allow diatom
348 communities to persist in dry streambeds only when the drying process is recurrent and
349 slow [11.25], resulting from a long evolutionary period during which the multihabitat
350 filter process selects diatoms with a set of traits that allows their adaptation [11.46], as in
351 Mediterranean temporary rivers. This acclimation process has been experimentally tested
352 by [11.27], [11.39]. The first authors acknowledging that *Stauroneis anceps* survival is
353 increased by slowing drying; and the latter proving that gradual heating before desiccation
354 had positive results only on the survival of resting cells of terrestrial diatoms, that have
355 protection mechanisms to survive in soils and temporary water bodies, being regularly
356 exposed to harsh changes in humidity and temperature.

357 When diatom communities are subjected to rapid alterations in natural flow conditions,
358 i.e. magnitude, frequency, duration, timing, and predictability [11.46], community
359 recovery depends on their capacity to remain in drought refuges (e.g., permanent pools,
360 moss patches, leaf packs, seeps and woody debris, dry biofilm on stones, dry sediments
361 and leaf litter), from where regrow or recolonization may occur [11.37].

362 Adaptations to desiccation play a major role in shaping diatom communities in view of
363 the increasing magnitude, frequency, occurrence and duration of river drying, driven by
364 climate change and water abstraction [11.5], [11.12], [11.14], [11.47]. An increase in the
365 length of dry rivers with consequent connectivity loss has already been observed in
366 diverse regions due to the streamflow decrease and drought caused by a decrease in
367 precipitation and temperature increase [11.6]. Further, predicted temperature increase will
368 lead to an increase in evapotranspiration pushing systems closer or beyond the balance
369 where water losses to the atmosphere exceed inputs. The probability of river drying is
370 also expected to increase due to the higher probability of dry periods occurrence, i.e.,
371 seasonal or multi-year droughts [11.5].

372

373 **11.5 Thermal stress**

374 In temporary rivers, temperature increase occurs during the lentification process, as
375 observed in Southern Portugal, where a maximum of 33.1 °C was measured in a pool at
376 the end of the Summer (Ameira stream, 22nd September 2017), with the median for all
377 the summer pools (11 sites) being 22.4 °C (Interquartile range: 18.35-28.15 °C), whilst
378 the flowing streams (2 sites) presented lower temperatures (median: 14.60 °C;

379 interquartile range: 13.95-15.25 °C) as depicted in [11.36]. Thus, diatoms are also
380 exposed to thermal stress during this dry phase, an important issue considering that
381 temperature is a relevant environmental factor that regulates algal growth and species
382 distribution, either seasonally, altitudinally or latitudinally [11.48]–[11.50].

383 Diatom specific growth in response to temperature depends on both the ranges and
384 temperature-specific dependency [11.50], which is more evident at low (< 10 °C) and
385 high temperatures (> 20 °C), but can be modified by light flux density and photoperiod
386 [11.48].

387 Species growth rate is known to increase up to a temperature maximum, followed by an
388 abrupt decrease until reaching the upper limit of the growth range, therefore, the
389 maximum rate occurs near the upper temperature range [11.50]. Hence, according to the
390 optimum conditions (with a higher relative growth rate) tendentially occur at the
391 temperature each species predominates in the field [11.50]. In the absence of data from
392 temporary rivers, [11.50] study with planktonic diatoms in temperate climate is
393 presented as an example. In this case, temperature dependency was higher in high-
394 temperature species and lethal heating was 5 °C above the higher limit of the growth range
395 of the species, with the highest value of 40 °C for *Chaetoceros pseudocurvisetus* Mang.,
396 *Stephanodiscus hantzschii* Grun. and *Skeletonema costatum* (Grev.) Cleve, allowing most
397 of the species to grow under the annual habitat temperature range. Several freshwater
398 planktonic algae, including diatoms, were not able to withstand temperatures above 30 °C
399 even though they were actively growing at 25°C (e.g., *Asterionella formosa* Hassall,
400 *Tabellaria flocculosa* var. *asterionelloides* (Grunow) Knudson, *Aulacoseira subarctica*
401 (O.Müller) E.Y.Haworth and *Discostella pseudostelligera* (Hustedt) Houk & Klee),
402 except for *Fragilaria crotonensis* Kitton that was still actively growing at this
403 temperature, but for which 35°C were lethal [11.48]. Furthermore, in the experiments
404 carried out by [11.51], abrupt heating up to 40 °C was lethal for 40% of the 34 studied
405 species, whilst more species were able to withstand gradual heating.

406 The stress response to high temperatures is considered to be a universal and highly
407 conservative mechanism, that is typically characterized by a decrease in the production
408 of constitutive proteins coupled with an increase in stress-inducible proteins (heat shock
409 proteins, HSP). This response can be observed, for instance in the diatoms *Chaetoceros*
410 *muelleri* [11.52], *Nitzschia alba* [11.53], and *Skeletonema costatum* [11.54], with a faster

411 response to temperature increase detected in thermo-tolerant species (e.g. *Chaetoceros*
412 *muelleri*) possessing higher amounts of constitutively expressed HSPs.

413 Additionally, changes in diatom fatty acid composition have been reported in response to
414 temperature increases above the optimal growth temperature level, triggered by a
415 particular temperature and not by the stress duration [11.55].

416 The exposure of *Skeletonema costatum* to heat stress (25°C – 40 min.) has caused a rapid
417 increase in free polyamine content (putrescine and spermidine titers), which can be
418 explained by their release from the bound form (as a “first aid” against the initial shock),
419 followed by a new synthesis of putrescine after 30°C – 40 min [11.54]. The polycationic
420 nature of polyamines seems to provide the cells with the ability to regulate the
421 intracellular environment, to have a protective effect on membrane integrity by putrescine
422 binding to phospholipids or the stabilization of other cell molecules by inhibition of
423 degradative enzymes [11.54]. Also, conjugated polyamines are hypothesized to be
424 involved in the cellular response to heat stress (e.g. TCA-soluble bound spermine in
425 *Skeletonema costatum*) [11.54].

426 Thermotolerance of enzymes can also be enhanced by the presence of compatible solutes,
427 since they are preferentially excluded from the immediate hydration shell of proteins,
428 consequently, their presence in high concentrations would tend to inhibit the thermal
429 denaturation of proteins [11.42].

430 Diatom’s dependency on temperature and sensitivity to abrupt temperature changes make
431 them a highly sensitive group to climate change, with the predicted temperature increase,
432 alteration in precipitation patterns, and increase in the frequency and duration of extreme
433 events such as droughts and floods. These extreme climate events can compromise the
434 conditions beyond which many species are adapted [11.21] [11.56]. Climate change
435 impacts on freshwater ecosystems have already been detected at a global scale, including
436 shifts in species range and in timing. Also, at the 1.5°C global warming scenario, species
437 and ecosystems (mainly in polar and already-warm areas, where most of the non-
438 perennial watercourses occur), will face temperatures beyond their historical values
439 [11.56], that can severely alter freshwater diatom distribution, favouring species already
440 adapted to more harsh habitats (e.g. terrestrial diatoms) and even impairing their survival,
441 with consequences for non-perennial watercourses functioning.

442

443 **11.6 UV Radiation exposure**

444 Solar radiation is the electromagnetic radiation emitted by the sun and comprises a wide
445 range of wavelengths, known as the electromagnetic spectrum, from radiowaves through
446 the infrared, visible and ultraviolet to X-rays and gamma rays. However, 99% of the
447 energy of solar radiation is comprised in the wavelength band from 150 to 4 000 nm (near
448 ultraviolet, visible, and near-infrared regions of the solar spectrum).

449 According to the UCAR COMET Program, ca. 43% of radiant energy from the sun is in
450 the visible part of the spectrum (between 400 – 700 nm), roughly 49% is infrared
451 (between 700 nm -1 mm), about 7% is from ultra-violet (between 100 – 400 nm), and less
452 than 1% of solar radiation is emitted as x-rays, gamma rays and radio waves
453 (<https://sos.noaa.gov/catalog/datasets/climatebits-solar-radiation/>).

454 Considering that shorter wavelength radiation is more energetic, ultraviolet is the range
455 that rises more concern, due to the possible damage it may cause in living organisms. It
456 is separated into three ranges: UV-C (100-280 nm), which makes up only 0.5% of all
457 solar radiation, and very little reaches the surface because it is absorbed by ozone; UV-B
458 (280-320 nm) is an energetic, photoactivating band of radiation, only partially absorbed
459 in the stratosphere, that can penetrate the water reaching 20 m depth in the ocean; UV-A
460 (320-400 nm) is not absorbed by ozone, though it can be blocked by cloud cover and can
461 penetrate deeper in the water than UV-B (Fondriest Environmental, 2014,
462 <https://www.fondriest.com/environmental-measurements/parameters/weather/solar-radiation/>).

463 Under climate change scenarios, drying increase in temporary rivers, both in length,
464 extension, frequency, and duration, may affect the exposure of diatoms to radiation,
465 including photosynthetically active radiation (PAR) and ultraviolet (UV). Thus,
466 organisms in these exposed areas should possess efficient adaptation mechanisms [11.51].

467 UV-B irradiance can be hazardous to living beings, as it affects biomolecules directly or
468 by inducing the formation of reactive oxygen species (ROS, as hydrogen peroxide and
469 superoxide radicals) inside the cell. ROS can further affect the cellular structures, block
470 enzymatic reactions, interfere with photosynthesis (through changes to the main
471 photosynthetic enzyme, ribulose bis-phosphate carboxylase), the nutrient uptake, DNA
472 replication and physiological responses as motility and orientation [11.57]–[11.61]. Thus,
473 at the cellular level, UV radiation toxic effect can be triggered in two photochemical
474 pathways: (i) direct or primary mechanisms - biomolecules as proteins and nucleic acids

475 have chromophores that absorb in the UV region of the radiation spectrum and are
476 photochemically degraded or transformed under high UV flux, impairing or losing their
477 biological function; (ii) indirect mechanisms – intermediate compounds absorb UV
478 (photosensitising agents) either inside or outside the cell, and produce reactive oxygen
479 species (ROS). These high energy oxidants as hydrogen peroxide, superoxide or hydroxyl
480 radicals can further react with other cellular components [57]. DNA and RNA are
481 especially sensitive to UV radiation because of their highest absorbance coefficients for
482 short wavelength UV [11.58], making genetic one of the most reported damages, also for
483 diatoms [11.57].

484 In the absence of specific information about diatoms in temporary rivers, the results of
485 [11.57] using cultured marine diatom species in laboratory experiments are addressed.
486 Marine diatom species (*Cyclotella* sp., *Nitzschia closterium* and *Thalassiosira*
487 *nordenskioldii*) exposed to an increasing range of daily doses of UV-B (280-320 nm)
488 radiation revealed that, at the lowest dose ($< 2000 \text{ J m}^{-2} \text{ d}^{-1}$, DNA weighted biologically
489 effective dose, normalized at 300 nm: daily $\text{BED}_{\text{DNA } 300 \text{ nm}}$), division rates decreased,
490 volume enlargement occurred and high cellular protein and pigment content levels were
491 detected, including the photoprotective carotenoids (diadinoxanthin + diatoxanthin), or
492 both, in the *Cyclotella* species. The highest UV-B treatments (between 2000 and 3800 J
493 $\text{m}^{-2} \text{ d}^{-1}$ daily $\text{BED}_{\text{DNA } 300 \text{ nm}}$) resulted in complete growth inhibition, with minor changes
494 in protein, pigments and cell volume [11.57]. Further, high UV-B radiation levels induced
495 plasmolysis and disorientation of cell organelles in *Cyclotella* sp., whilst lower levels
496 seemed to increase chloroplasts in number and volume [11.57]. Thus, these results
497 support the hypothesis that UV-B radiation causes growth without cell division, due to
498 DNA damage and the arrest in the S or G2 phase of the cell cycle [11.57]. Most
499 microalgae developed strategies to minimize the UV-induced damage. According to
500 [11.62], these include:

- 501 (i) avoidance mechanisms, by controlling their movements towards light or water
502 surface, as is the case of motile diatoms, that can migrate to less exposed areas
503 [11.61];
- 504 (ii) screening - reduction of the effective UV radiation that penetrates the cell, by
505 synthesising compounds that absorb the damaging wavelengths (screening
506 agents), either intracellular, as the mycosporine-like amino acids (MAAs),
507 also reported by [11.63] as being involved in the defence strategy in response

508 to UV-induced damage in the diatom *Porosira glacialis*) or extracellular (at
509 the cell walls where they block incoming UV radiation);

510 (iii) repair of UV-induced damages to the nuclear material (the most important
511 damages, for which cells have developed repair mechanisms generally
512 involving biosynthetic pathways mediated by stress proteins), proteins and
513 enzymes, pigments and photosynthetic reaction centres, lipids and
514 membranes. In the case of DNA repair, several mechanisms have been
515 identified as photoreactivation (light-induced repair), nucleotide and base
516 excision repair and recombinational repair; and the activation of heat shock
517 proteins for protein damage repair. For the UV damage caused by ROS,
518 detoxifying mechanisms have been developed, by means of antioxidant
519 enzymes, lipid-soluble antioxidants in cellular membranes (e.g. carotenoids as
520 diadinoxanthin and beta-carotene), and water-soluble reductants in the
521 cytosol;

522 (iv) acclimation – the process by organisms adapt to changes in the environment,
523 either by physiological changes or by alterations in the species composition at
524 the community level. Recent research also verified the hypothesis that the
525 optical properties of centric diatoms frustule can protect the cell from harmful
526 radiation (UVB and UVC), and three main mechanisms involved in cell
527 protection were identified, using *Coscinodiscus wailesii* and *C. cf. radiatus* as
528 a model [11.61], [11.64]. These are: absorption by silica and trace organic
529 compounds incorporated in the frustule; diffraction (due to the geometry of
530 the valve and refractive index contrast with respect to the surrounding
531 environment); and photoluminescence (mainly due to chemical surface
532 defects of hydrated amorphous porous silica). This hypothesis arose from the
533 fact that diatoms evolved under low concentrations of atmospheric oxygen
534 and ozone and are characterized by high tolerance to UV irradiation, even with
535 low concentrations of screening agents such as MAAs [11.61], [11.64].
536 Nonetheless, it could be interesting to verify it in pennate diatoms, since the
537 test with *Navicula perminuta* was not conclusive due to its small dimensions
538 and high curvature [11.64]. Thus, this distribution of UV radiation due to their
539 SiO₂ frustules can be an important evolutionary aspect to be considered for
540 the presence and evolution of frustules in diatoms, contributing to a decrease
541 in the rate of UV radiation-induced damage in the cells [11.64]. Further, in the

542 review made by [11.60] it is concluded that research into the role of diatom
543 frustules in UV protection shows great potential, both in relation to diatom
544 biology and potential technological applications, in spite of the few number
545 of diatom species analysed so far.

546 The development of these strategies, is related with the previous light history (e.g. for the
547 development of UV screening) and cell size, for instance in the production of MAAs
548 [11.62], and a differential response to UV radiation was observed for two diatoms from
549 contrasting niches, planktic (collected offshore) and benthic (collected from intertidal
550 sediments. Benthic species presented higher tolerance to extreme temperature and UV
551 radiation) and a better response was observed under elevated temperature [11.65]. Given
552 these environmental-specific responses, it would be interesting to carry out detailed
553 studies on the adaptation mechanisms to UV exposure from diatoms in temporary rivers.

554 Thus, as an overview, UV exposure net stress reflects the balance between damage, repair
555 and the energetic costs of protection, and can be presented in terms of an increase in
556 energy demand, changes in cell composition, and a decrease in growth and survival rates,
557 as depicted in the scheme in [11.58]. Given that the physiological changes observed in
558 response to the increase in UV-B radiation do not confer full protection to the organisms,
559 and a number of organisms have already reached their UV-B tolerance limits [11.62], the
560 diatom frustule UV protection detailed in [60] may confer them an additional advantage
561 in temporary rivers, more susceptible to drying.

562

563 **11.7 Communities dynamics in temporary rivers**

564 Community response to perturbations depends on the environment where it is developed,
565 with low-stress environments supporting complex communities, but fragile, when
566 compared to those subjected to environmental stress, e.g. pollutants exposure, light,
567 current or desiccation, as reported in the diverse studies on diatom communities cited by
568 [11.45].

569 According to [11.25], flow intermittence is a selective force on algal community
570 composition, usually causing a decrease in alpha diversity. Indeed, a long drying phase
571 can eliminate most of the sensitive species, few species are able to resist drying and there
572 are few pioneer species able to recolonize when flow resumes. Thus, flow intermittency
573 also alters diatom composition throughout the year, with shifts from lotic to species

574 adapted to lentic conditions, even up to aerophilous species during the lentification
575 process and drying up of the riverbed [11.66], [11.67]. Nevertheless, when drying is not
576 complete, beta diversity is higher than expected, since lotic, lentic, and terrestrial habitats
577 cooccur sequentially in a longitudinal direction [11.25].

578 Further, according to [11.68], diatom communities in temporary rivers have less species
579 and a lower proportion of specialist taxa than perennial streams. Also [11.66] detected
580 taxonomic and functional differences between temporary and permanent reaches in
581 Liguria (NW-Italy), both at local and regional scales, with the temporary reaches being
582 characterized by less heterogeneous communities, with higher percentages of small,
583 mainly stalked and pioneer taxa belonging to the low profile guild, which are able to
584 tolerate physical disturbance. These results are in accordance with [11.69], in their study
585 of intermittent and permanent lowland rivers in Carpathian basin. The authors observed
586 that intermittent rivers were characterized by lower taxonomical diversity, higher Berger-
587 Parker index (a metric to measure dominance, defined by the proportional abundance of
588 the dominant species in the assemblage), and lower functional richness and diversity.
589 Disturbed sections were dominated by small-sized generalists (including pioneers and
590 small low-profile taxa) and aerophilic taxa, the latter considered good indicators of
591 drought, since they are able to survive the drying up of the riverbed and colonise rapidly
592 the habitats once flow resumes. The importance of hydrology-related variables in
593 determining diatom species distribution and community ecological attributes in Cyprus
594 was also acknowledged by [11.71]. Further, even in large rivers, a continuous decrease in
595 precipitation (drying period) significantly decreased benthic diatoms, in the number of
596 taxa, Shannon diversity, and functional richness [11.70], addressing the implications of
597 the longer periods with low precipitation, as predicted in the climate change scenarios.

598 Temporary rivers' importance for species conservation is another issue that should be
599 highlighted, thus reinforcing the need for the adoption of appropriate conservation and
600 management measures. This is supported by the relevance and frequency of species that
601 fulfil the descriptions of Red List threat categories and that are presented in the diatom
602 Red List for Central Europe (2018) in intermittent streams in Cyprus [11.71]. This is in
603 accordance with the findings of [11.36] and [11.72], who highlighted the fact that flow
604 instability, lentification and habitat fragmentation represented the major threats for
605 endangered species, whilst water stability and river habitat heterogeneity favour their
606 presence. These results highlighted the importance of the isolated pools as refugia for

607 benthic diatoms during the dry phase, especially under the climate change scenarios, with
608 increasing drought causing the persistence of isolated pools beyond the summer season
609 (e.g. in 2007 Southern Portugal was subjected to a severe drought, with isolated pools
610 permanence during the winter until flow resuming only in the next spring).

611

612 **11.8 Diatoms as bioindicators in temporary rivers**

613 Diatoms have been largely used as bioindicators in the framework of the monitoring in
614 accordance with the WFD [11.17], being commonly used as proxies for the Biological
615 Quality Element - Phytobenthos [11.73]. However, for the ecological status assessment
616 diatom sampling should be carried out in stable flow conditions [11.74], avoiding zones
617 of very slow current [11.75]. The fact that the dry phase is excluded gives an incomplete
618 idea of the habitat quality in temporary rivers [11.76], thus, complementary methods for
619 the ecological status assessment should be designed and tested. Further, an adaptation or
620 development of novel metrics to assess the ecological status in temporary rivers is
621 envisaged, since metrics developed for permanent streams may underestimate their
622 ecological status since sensitivity to intermittency and to environmental degradation often
623 covary [11.76]. Diatoms have the potential to fill this lacune, as presented in the
624 preliminary results depicted in [11.76] and further tested by [11.36], with the Specific
625 pollution sensitive index (SPI) negatively correlated with the percentage of dry riverbed
626 and presenting a good response over time, independently of the aquatic state. The
627 Ecological Quality Ratio (EQR) for dry biofilm samples collected in Summer was
628 comparable with the values from the previous spring, thus dry biofilm can be considered
629 a viable alternative for the ecological status assessment during the dry phase, instead of
630 the isolated pools, whose communities are not considered by [11.35] to be representative
631 of the ecological status of the stream. Also, [11.77] observed that the Biological Diatom
632 Index (BDI) was slightly affected by drying, but these effects had no significant influence
633 on the ecological quality assessment. [11.78] also validated this hypothesis, in a study
634 conducted in small hilly streams in the Pannonian ecoregion (Hungary), with drying only
635 affecting negatively the Rott's trophic index (TID), whilst the Specific pollution
636 sensitivity index (IPS), Rott's saprobic index (SID) and the Hungarian phytobenthos
637 metric (IPSITI) were not impacted.

638

639 **11.9 Final remarks**

640 Diatoms have a recognized importance in temporary rivers as the main algal component
641 of biofilms, with any changes in their taxonomical and functional diversity, physiology
642 or biomass being reperussed in the whole ecosystem. Furthermore, adaptation
643 mechanisms of diatoms have already been detected, and contribute to enhance their
644 response to main environmental stressors (e.g. flow reduction, temperature increase,
645 increased exposure to UV radiation and desiccation), and reveal that diatoms can have an
646 adaptative advantage under the predicted climate change extreme conditions. However,
647 some of these strategies were tested with diatoms adapted to other aquatic ecosystems.
648 Therefore, detailed studies should be carried out with diatoms adapted to naturally
649 intermittent rivers to validate their effectiveness to the particular conditions
650 characterizing these ecosystems. Further, diatoms can also play an important role in the
651 management of temporary rivers, since they can be used as bioindicators even during the
652 dry phase. Appropriate management of naturally temporary rivers is a must since, apart
653 from their value and global importance, they also host fragile diatoms (still poorly
654 explored) and include threatened species. Further studies on diatoms in temporary rivers
655 should be addressed, since the ecology of most species inhabiting these ecosystems is still
656 poorly studied.

657

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