



VSI: In honour of Prof. Colombini

# Plasma enhanced chemical vapor deposition (PECVD) of SiO<sub>x</sub> thin films on Portuguese limestone: An experimental study

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## ABSTRACT

Plasma Enhanced Chemical Vapor Deposition (PECVD) of SiO<sub>x</sub> thin films has been applied to the stone surface under laboratory conditions in order to assess its feasibility as an alternative method for stone protection. SiO<sub>x</sub> thin layers were deposited on oolitic limestone lithologies known to have been used for the construction and restoration of the Batalha Monastery in Portugal surface by PECVD from tetraethoxysilane (TEOS) as the reaction precursor, in capacitively coupled parallel-plate reactor. The thickness, morphologies and chemical properties of the deposited film were characterized by attenuated total reflectance -Fourier transform infrared (ATR-FTIR) spectroscopy and scanning electron microscopy (SEM+EDS). Laboratory simulated decay and natural aging tests were implemented to evaluate the protective effect of the deposited micron-size SiO<sub>x</sub> thin films. Results suggested that, due to the good barrier effect, SiO<sub>x</sub> films were able to isolate the stones from aggressive acidic solution, thus reducing possible dissolution-related damage. Natural aging tests proved that SiO<sub>x</sub> thin films were also able to inhibit to a high extent bio-colonization while, at the same time reducing soiling of the stone appearance. Durability and wetting property of this SiO<sub>x</sub> thin film were preliminarily assessed. This research tested, for the first time, the feasibility of applying micron-size SiO<sub>x</sub> film quickly and directly via cold plasma deposition and its versatile protection performance on carbonate stone.

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## 1. Introduction

Ornamental Stones in buildings and monuments in urban environments are exposed to the risk of significant decay as a consequence of anthropically and naturally produced agents [1–6]. The Batalha Monastery (also officially known as the “Mosteiro de Santa Maria da Vitoria”) located in central Portugal near the city of Leiria, has been included in the list of UNESCO World Heritage sites since 1983. Several local quarries provided the oolitic limestones for the construction of the monastery in 1386 and as well for the restoration programme in 1834 [7,8]. Over a century after the last large-scale restoration intervention, the Batalha Monastery is today showing a high degree of stone decay (Fig. 1), due not only to atmospheric pollutants from vehicular traffic sources (the Portuguese national highway IC2 - N1 runs around 50 m west to the building [9]) but also to bio-deterioration processes, which are

known to play an ever-increasing role in stone decay in both urban and rural environments [3–6,10,11]. The intrinsic characteristics of these oolitic limestones under investigation, in particular porosity distribution, presence of cracks along the oolites and chemical behaviour of this sedimentary carbonate rock simultaneously facilitate the fast decay of the stone [10].

As widely studied, acid rain and biodeterioration processes can result in severe decay to building stone in urban environments, due to the burning of sulphur-containing fossil fuels for domestic and industrial purposes [12,13], the emissions of organic pollutants from vehicular traffic [5], the use of phosphate fertilizers [14], dry/wet cycles [15] and dry-wet deposition of windborne microorganisms [16], particulate matter and gaseous aerosols [17]. In order to enhance the resistance against environmental attack, restore the stone mechanical integrity and avoid the onset of decay processes such as surface decohesion and flaking, a number of treatment products have been suggested for stone conservation applications with a view to increase the water repellence, consolidate and/or anti-microbial properties of the building stone [18,19].

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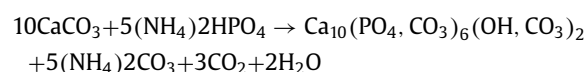


Fig. 1. Batalha Monastery, Portugal. Limestone heavily affected by microbial deterioration and lichenous patinas.

The most commonly used treatment products are:

- Synthetic organic polymer systems as acrylic polymers, vinyl polymers, epoxies [20]. These products have been extensively used since the middle of 20th century for their ease of application and immediate efficacy. However, through the action of sunlight radiation or environmental chemical attack, these organic consolidants can undergo photo-oxidation and molecular modifications. For instance, when the Bologna Cocktail (a mixture of synthetic resins used for stone consolidation) undergoes photo-oxidative degradation, the acrylic component can form intermolecular and terminal double bonds and oxidized species like  $\gamma$ -lactones as a result of chain cross-linking / scission processes [21]. These modifications cause the formation of strongly cross-linked structures associated with colour change and loss of protective properties. Another non negligible drawback effect could be their irreversibility [22]. The most dangerous consequence is that water and soluble salts in porous substrates could induce the onset of salt crystallization decay processes behind the hydrophobic coating layer, thus leading to even further material loss and decay [23,24]. Lately, multi layered method which introduces both hydrophobic and hydrophilic layers was proposed by some researchers, to disperse the expansion stress near the interface, in order to prevent the damage caused by wet-dry difference in polymer conservation and salt crystallization [25].
- Inorganic materials, as alkaline silicates, silicon fluorides, alkaline earth hydroxides (calcium, strontium and barium hydroxide). They have higher physical-chemical compatibility with stone substrates than polymers, and may act as consolidants or anti-fungal agents [26].  $\text{Ba}(\text{OH})_2$  and  $\text{Ca}(\text{OH})_2$  based meth-

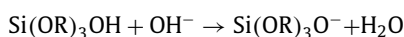
ods are specific for consolidating carbonate stones (i.e., limestone and marble) which do not harm the substrate, though, they do not have high penetration depth and take a long time to convert to  $\text{BaCO}_3$  and  $\text{CaCO}_3$  [27]. Lime water suspension is a traditional stone treatment, now nano-lime suspensions have been developed for better penetration and faster carbonation process [28,29].  $\text{AmO}_x$  (ammonium oxalate) could passivate the calcite stone surface by converting  $\text{CaCO}_3$  or  $\text{CaSO}_4$  into  $\text{CaC}_2\text{O}_4$ , thus acting as a neutral de-sulphating agent [23].  $(\text{NH}_4)_2\text{HPO}_4$  is similar to  $\text{AmO}_x$ , with more uniform consolidating effect, low colour variation and non-toxicity [30]. Diammonium hydrogen phosphate (DAP) has also been proposed as consolidant which is able to penetrate into stone for  $>2$  cm and to form hydroxypatite by reacting with calcite in carbonate stones, thus increasing the stone dynamic elastic modulus and tensile strength with the calcium phosphate precipitates filling microcracks and pores. The reaction is written below and occurs in two days and can be realized in situ [31].



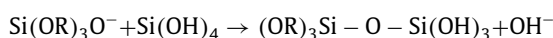
The development of nano-technology as well contributed to stone heritage conservation. Nanoparticle-based consolidants are now commonly applied by restorers. For instance, nano  $\text{Ca}(\text{OH})_2$  dispersed in alcohol replaced the  $\text{Ca}(\text{OH})_2$  saturated solution that was once widely used to restore lithic cultural heritage overcoming the disadvantages such as low solubility and low consolidation strength [32].  $\text{Ca}(\text{OH})_2/\text{ZnO}$  nanoparticles showed a good performance with regards to penetration depth while maintaining aesthetical and physical properties, while  $\text{SiO}_2$  achieved good results

in penetration test in their application on carbonate stones [33]. It was found that the contact strengthening is related to particle coordination (the number of adhesive bonds that each particle can form), thus explained the reason why small nanoparticles can increase cohesion in consolidation [34].

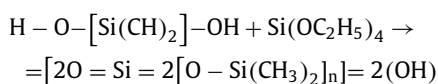
- Silane: tetraethoxysilane (TEOS) and methyltrimethoxysilane (MTMOS) have been both used to consolidate dense and compact carbonate stones by filling veins and small cracks, and as protective surface varnishes against environmental air pollution and acid rain [35]. TEOS could be applied for consolidating natural stone, including sandstone, granite and historical renders. The hydrolysis of alkoxy groups of TEOS splits off ethanol molecules, and the unstable intermediate silanol  $\text{Si-OH}$  are condensed to amorphous silica gel which functions as stone consolidant via hydrolysis reaction as follow [35,36]:



with the ethoxy groups ( $-\text{OC}_2\text{H}_5$ ) progressively being replaced by hydroxyl groups ( $-\text{OH}$ ). When hydroxyl groups of different molecules start to react:

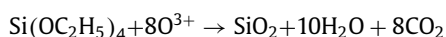


The cross-linking reactions are:



TEOS may be applied by sol-gel, however due to the capillary force, the gel always tends to develop cracks during drying [37]. To avoid such damages, several hybrid consolidants based on TEOS have been formulated such as TEOS-HDTMS xerogels, TEOS-colloidal-silica-PDMS-OH, TEOS/PDMS-OH/CTAB, GPTMS mixed with silica nanoparticles etc. [24,38,39,40]. Generally speaking, the modified gel / hybrid silane-inorganic particles are more stable and consequently the coating shows better protective performance, higher resistance to acid attack and salt weathering, even more, provides new protective features. For example, TEOS-FAS (1H,1H,2H,2H-perfluorooctyltriethoxysilane) sol solution deposited on marble achieved superhydrophobicity and water repellency, which is a durable and promising coating for stone protection [41]. The  $\text{SiO}_2$  nanoparticles added in alkoxy silane-based consolidant improved its mechanical behaviour and drying time, forming a compact  $\text{SiO}_2$  xerogel structure that filled the spaces between mineral grains, despite of the risk of over consolidation [42]. Nanolime modified by dimethyloctadecyl ammonium chloride showed durable antifungal effects without sacrificing the consolidation function [43]. Nanocomposites consisting of TEOS with nano- $\text{SiO}_2$  and  $\text{TiO}_2$  particles significantly reduce the shrinkage and cracking comparing to pure TEOS stone consolidant [44].

The application methods of protective products on stones includes brushing, capillary absorption and immersion, spraying, nebulization, runoffs and drops. The choice of application methods depends on a complex combination of variables such as the size of treated areas, the expected penetration depth, evenly distribution, repeatability and accuracy [45]. During the 90's, it was found that  $\text{SiO}_2$ -like film could be successfully deposited by plasma-enhanced / thermally-activated chemical vapor deposition (PECVD / TACVD), not on stone but on silicon wafer substrates, according to the following reaction [46]:



Substrate heating was required in film deposition to achieve acceptable electrical properties during the process [47,48]. In the last decade, advancement in organosilicon-based PECVD in oxygen

and argon atmosphere, under capacitively coupled radio frequency plasma power source have been forthcoming: the deposition of  $\text{SiO}_x$  thin film could now be operated in low temperature plasma conditions, as opposed to high temperature plasma not suitable for Cultural Heritage assets nor for portable apparatus [49,50]. After the success in depositing  $\text{SiO}_x$  thin film on silver-based alloy and other metallic artefact as aesthetic coatings with corrosion protection properties [51,52], PECVD diamond-like carbon (DLC) coating was also applied on bronze as hydrophobic barrier [53]. Therefore, protective coating on cultural heritage works via PECVD methodology is worthy of in-depth exploration, especially its application on different materials.

## 2. Research aims

The application of PECVD coating had been restricted to using silicon wafers on metals in the past, but its use on stone heritage artefacts as protective coating has never been assessed, with the exception of one published article reporting hydrophobicity modification of quarry stone surface by plasma-polymerized hexamethyldisiloxane (PPHMSO) [54]. The aim of the current study was fourfold:

- 1) to test the feasibility of a new technical route of applying TEOS on stone surface as protective coating film via PECVD in lab condition;
- 2) to evaluate various protective performance of the plasma deposited  $\text{SiO}_x$  thin films against several environmental decay factors, by means of laboratory simulation and field exposure, including acid attack, microbial-colonization and colour changing tests;
- 3) to discuss the advantages/feasibility of using PECVD as a technique to apply a protective thin  $\text{SiO}_x$  film on carbonate building stones;
- 4) to suggest an alternative methodology for the protection of the Batalha Monastery monument limestone and to identify the parameters that need to be taken into account in view of its future application in real contexts.

## 3. Materials and methods

### 3.1. Sample collection, pretreatment and $\text{SiO}_x$ film deposition

Limestone samples used in this study came from three quarries (Valinho do Rei, Pidiogo, Cabeço do Roxo) located nearby the monument under investigation, which were reported as the sites of provenance of the material used for the construction and restoration of the Batalha Monastery [8]. They are oolitic limestones from late Jurassic age consisting of 97~99 % calcite and 1 % of quartz minerals [8]. The porosity of Valinho do Rei limestone is 1.1 %, and that of Pidiogo limestone and Cabeço do Roxo limestone is 16.9 % and 14.9 % respectively [55]. These limestones blocks were cut into specimens of three different sizes:  $2.5 \times 2.5 \times 1$  cm;  $2.5 \times 2.5 \times 0.3$  cm;  $5 \times 5 \times 1$  cm. The surfaces to be subjected to PECVD were polished with 600# sand paper, washed by distilled water, dried in oven at 60 °C, and then cleaned with 97 % ethanol.

$\text{SiO}_x$  thin films were deposited by PECVD in a capacitively-coupled parallel plate reactor. The reactor was used in a two-electrodes configuration; the upper electrode was connected to a radio frequency (13.56 MHz) power supply through an impedance matching unit, while the bottom electrode was connected to the ground. Gas and TEOS vapor flow rates were controlled by means of a mass-flowmeter and vapor system and inserted in the chamber through the upper electrode which acted as a shower electrode; a throttle valve allowed the working pressure regulation



**Table 1**  
Sample information, deposition condition and experiments routines (\*standard cubic cm per minute).

PECVD conditions				
Samples	Ar / O <sub>2</sub> gas flow (sccm*)	TEOS flow (sccm)	Input power (W)	Time (min)
Group A (A1, A2, A3)	Ar 80, O <sub>2</sub> 20	1 sccm	100	20
Group B (B1, B2, B3)	Ar 80, O <sub>2</sub> 20	2 sccm	100	40
Group C (C1, C2, C3)	Ar 80, O <sub>2</sub> 20	2 sccm	200	40
Group D (D1, D2, D3)	Ar 80, O <sub>2</sub> 20	2 sccm	200	20
Group E (E1, E2, E3)	Ar 50, O <sub>2</sub> 50	2 sccm	200	40
Group F (F1, F2, F3)	Ar 50, O <sub>2</sub> 50	2 sccm	200	20
Experimental protocol				
Samples	A1, B1, C1, D1, E1	A2, B2, C2, D2, E2	A3, B3, C3, D3, E3	
Sample size	2.5 × 2.5 × 1 cm	2.5 × 2.5 × 0.3 cm	5 × 5 × 1 cm	
Experiment methods	FTIR → Microbial incubation → SEM → Wipe clean → SEM	(i) Resin embedded → Cut → SEM+EDS (cross-section) (ii) SEM+EDS (surface) → Weighted → Acid rain simulation → Weighted → SEM+EDS	Colorimetry → Outdoor field exposure → Colorimetry → OM	
Quarry source	Valinho do Rei	Pidiogo	Cabeço do Roxo	

measured by a baratron capacitance manometer. SiO<sub>x</sub> depositions tests were performed in plasma fed with TEOS/O<sub>2</sub>/Ar mixture at different ratios, by varying the input power and the deposition time, at the floating plasma temperature ( $T < 60\text{ }^{\circ}\text{C}$ ) and 100 mTorr of pressure. The experimental parameters were optimized from deposition test on silicon wafers, guaranteed to form thin film of 0.3–1.0  $\mu\text{m}$  thickness, then selected as operating parameters for the deposition on limestone samples, as reported in Table 1. To ensure comparability, each experimenting protocol was performed on samples from identical quarry: the corresponding experimental design after PECVD is as well listed in Table 1.

3.2. Characterization of SiO<sub>x</sub> thin films

The SiO<sub>x</sub> coated samples were characterized by ATR-FTIR in order to define the chemical composition of the coating deposited on the limestone surface. The FTIR spectra were recorded by Perkin Elmer Spectrum 2000 FTIR spectrometer (Perkin Elmer, Norwalk, CT, USA) equipped with a single reflection attenuated total reflectance (ATR) accessory. For each sample, 16 scans were recorded with resolution of 4  $\text{cm}^{-1}$ .

The coated specimens of size of 2.5 × 2.5 × 0.3 cm were impregnated with resin and cut for cross-section observation. Scanning electron microscopy coupled with energy dispersive X-ray spectrometry (SEM+EDS) was carried out using a Hitachi S3700N (Tokyo, Japan) SEM coupled to a Bruker (Karlsruhe, Germany) XFlash 5010 SSD Detector system. The samples were characterized at a chamber pressure of 40 Pa, without any sample preparation, under an accelerating voltage of 20 kV, with a working distance 10–20 mm and using the BSEM mode.

3.3. Acid rain weathering, microbial colonization and field exposure tests

3.3.1. Acid rain weathering test

Samples were set in a funnel at tilt angle of 45°, while a H<sub>2</sub>SO<sub>4</sub> solution at pH=3.5 was allowed to drip on the specimen surface with a flow rate of 5 mL/min. After every 50 mL of acid solution, the samples were dried in a laboratory oven at  $T = 60\text{ }^{\circ}\text{C}$  for 1 h, the acid dripping was repeated for 5 cycles. A total volume of 200 mL of the sulfuric acid solution was dripped on each sample, then the specimens were washed in ultrasonic bath in distilled water, dried and weighted in order to evaluate the weight loss due to the acid rain attack and finally observed under the SEM-EDS before and after ultrasonic washing (same settings as in Section 3.3) [24].

3.3.2. Lab-simulated microbial contamination tests

For bacterial and fungal growth, a malt extract (ME) medium was prepared with 20 g/L malt extract, 20 g/L glucose and 1 g/L peptone, then sterilized in autoclave for 20 min at 120 °C and 1.5 bars. 1 mL of saline medium which contains the collected microorganism from the Batalha Monastery site was added into 500 mL ME medium, this culture was then kept in an incubator for 72 h with controlled temperature of 28 °C and orbital agitation at 150 rpm. 1 mL of mixed culture was used to inoculate each limestone tablet, microorganisms in their culture media were spread on the specimen surface. Then all limestone tablets were incubated in the ARALAB Plant Growth Chamber for 15 days, with controlled temperature at 28 °C, humidity at 81.0 % RH, and 10 % illumination of 4 × 18 W fluorescent. [56].

3.3.3. Outdoor field exposure tests

Stone tablets, 5 × 5 × 1 cm in size, uncoated and coated with SiO<sub>x</sub> thin film were exposed on the roof of the Batalha Monastery facing south from 9th June to 10th October 2021 (Fig. 2). The tablets were collected after three months' exposure and observed under optical microscope. By means of the Datacolour CHECK II PLUS Spectrophotometer, the colour change of sample surfaces before and after outdoor exposure was evaluated. The result was illustrated using the CIELab Colour Space system.

3.4. Alteration in vapor permeability and wetting property

3.4.1. Water vapor permeability test

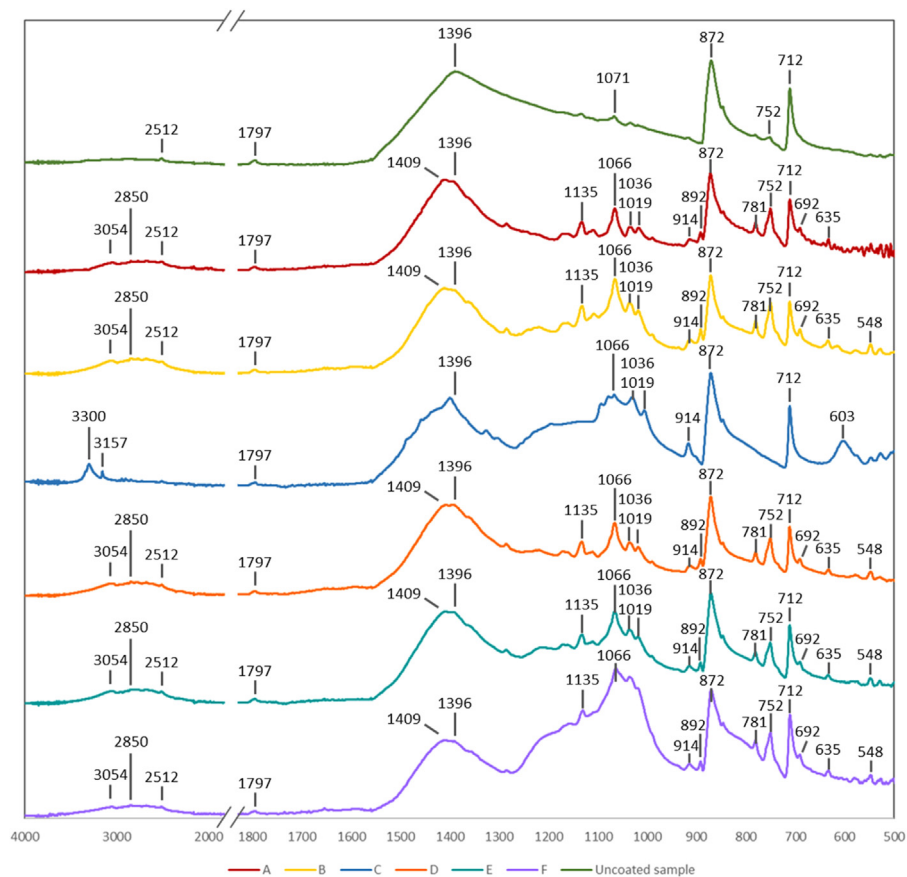
To assess vapor permeability, limestone samples, uncoated and coated with SiO<sub>x</sub> thin film, were fixed and sealed with Parafilm on the top of identical glass containers partially filled with water, never in contact with the samples bottom. The containers with the samples were then placed in an oven at  $T = 60\text{ }^{\circ}\text{C}$  for 7 days, and weighted every 24 h. Linear regression between weight change and time was made by Excel, the water permeability of each sample was extrapolated by the slope of the accordingly linear function [41] and [57].

3.4.2. Water contact angle measurements

Water contact angle measurements were performed using the sessile drop method at room temperature, with double distilled water ( $\gamma = 72.8\text{ mN/m}$ ) [58]. The measurement was carried out by a Kruss DSA10 instrument (Hamburg, Germany) equipped with a video camera.



**Fig. 2.** Uncoated and SiO<sub>x</sub> deposited stone samples placed on the roof of Batalha Monastery (from left to right, 1st and 3rd covered with SiO<sub>x</sub> thin film, 2nd and 4th uncoated).



**Fig. 3.** ATR-FTIR spectra recorded on an uncoated sample and on SiO<sub>x</sub> thin film coated samples (A1, B1, C1, D1, E1, F1) in the conditions listed in Table 1.

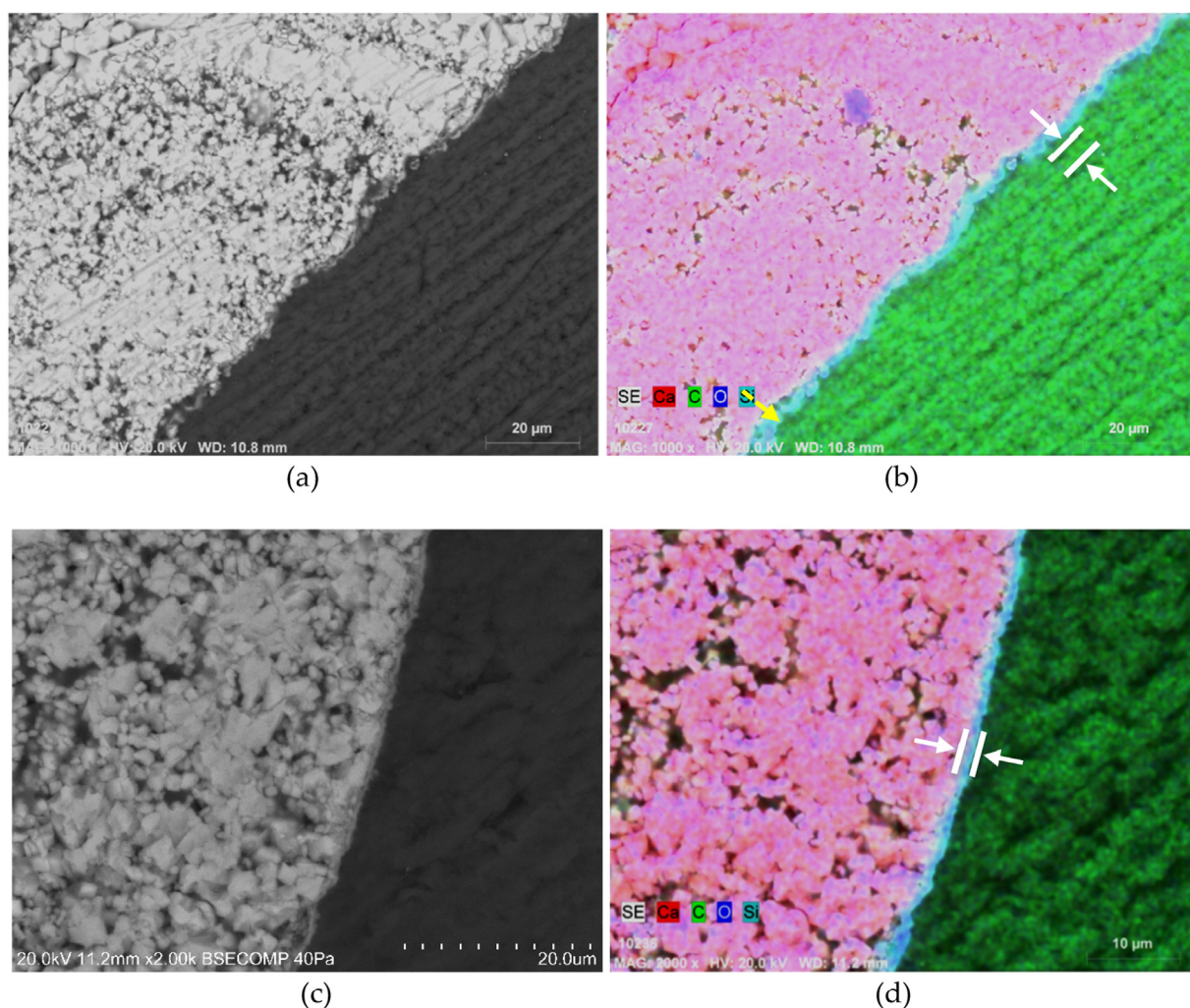
**4. Results and discussion**

**4.1. SiO<sub>x</sub> thin film characterization**

FTIR analysis were performed in order to study the effect of input power and the composition of gas mixture on the chemical

structure of the deposited layer. Fig. 3 shows the ATR-FTIR spectra recorded on the SiO<sub>x</sub> film coated limestone samples (A1, B1, C1, D1, E1, F1) under the experimental conditions shown in Table 1, compared with the spectrum of the uncoated sample surface. Limestone without film deposition was characterized by absorption bands at wavenumbers 2514 cm<sup>-1</sup>, 1795 cm<sup>-1</sup>, 1396 cm<sup>-1</sup>, 872





**Fig. 4.** SEM image and EDS map of the cross-section of a  $\text{SiO}_x$  thin film deposited on oolitic limestone specimens, B2 (a and c) and E2 (c and d).

$\text{cm}^{-1}$ ,  $712 \text{ cm}^{-1}$ , which are typical bands of low-magnesium calcite, while the small peak at  $1072 \text{ cm}^{-1}$  can be attributed to the presence of minor quartz [RRUFF ID: R040070.1] [59,60,61]. FTIR bands at  $1070 \text{ cm}^{-1}$  and  $782 \text{ cm}^{-1}$  can be attributed to quartz [RRUFF ID: R040031.1]. On the  $\text{SiO}_x$  deposited samples, a broad Si-O symmetric stretching peak at  $1066 \text{ cm}^{-1}$  was detected [62]. The broad curve between  $3300$  and  $2200 \text{ cm}^{-1}$  relates to O-H stretching [63]. The peak at  $1132 \text{ cm}^{-1}$  is associated with the C-O stretching bond [64], and the peak at  $781 \text{ cm}^{-1}$  is attributed to the stretching mode of the transversal optic phonons ( $\text{SiC}(\text{TO})$ ) and the deformation of  $\text{Si-CH}_3$  and a simple stretching of Si-C bond [65,66]. The experimental findings confirm the presence of  $\text{SiO}_x$  film on the limestone surface, with some of the  $-\text{OCH}_3$  groups unreacted from the TEOS precursor. In particular, by increasing the input power and the oxygen content in the plasma, an increase in the features of Si-O-Si is observed, while the presence of Si-C bonds in the film structure decreases, as the increase of power favours the removal of both associated and isolated hydroxyl groups during the deposition process [67].

Fig. 4 shows the SEM images of the cross-section of the  $\text{SiO}_x$  film deposited limestone surface, as two examples B2 and E2 (Table 1). The  $\text{SiO}_x$  films are continuous with a high adaptability to the substrate morphology. Unlike that on silicon wafers where the film thickness changes according to the time of deposition, input power and TEOS flow, here on the limestone tablets the film thick-

ness is constantly around  $2 \mu\text{m}$  (white marks in Fig. 4b and d) in all deposition conditions. Despite being deposited under higher input power, higher TEOS flow speed and longer time (condition E towards B in Table 1), the thin film seems to have smoother and denser shape.

Since the Si element is represented as light blue and limestone is of pink red in the EDS mapping, a rather thin layer of purple colour beneath the  $\text{SiO}_x$  film (pointed by yellow arrow in Fig. 4b) thus could be recognized as the overlapping of Ca and Si elements, which means that the penetration depth of the  $\text{SiO}_x$  film on the limestone substrate is no  $> 1 \mu\text{m}$ .

#### 4.2. Wetting property and vapor permeability

The deposited  $\text{SiO}_x$  film does not seem to provide the substrate with water repellent properties. As a matter of fact, the water contact angle measurement test could not be carried out normally on the limestone samples because for both uncoated and coated tablets, the water droplet was not able to maintain a spherical shape and was absorbed by the substrate within few seconds. In fact, the Si-OH bonds in the deposited  $\text{SiO}_x$  film with hydroxyl ( $-\text{OH}$ ) groups are recognized as hydrophilic [68], thus it is reasonable this  $\text{SiO}_x$  film didn't show hydrophobic character.

The weight loss with time due to water vapor permeability is shown in Fig. 5 for uncoated and PECVD samples. Linear regression

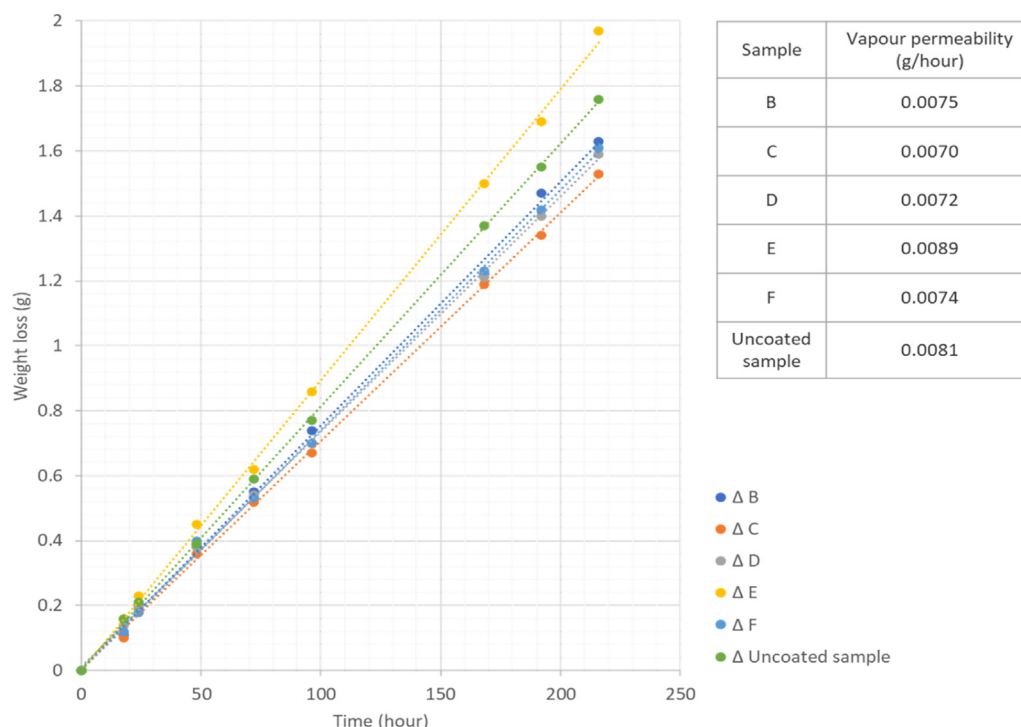


Fig. 5. Weight loss related to time by water vapor permeability.

was made to fit the rate of weight change of each sample, and the corresponding slope represents the vapor permeability rate (g/h). In order to obtain the relative ratio of permeability, the value of each sample was divided by one. All the coated samples had a permeability rate change <14 %, in particular samples deposited under condition B and F of had only 7 % and 9 % difference with respect to the uncoated specimens. Sample coated under condition E showed, unexpectedly, higher permeability than uncoated samples: this might be attributed to the presence of cracks on the stone surface.

A strong decrease in water permeability could remarkably increase the risk of water accumulation underneath the stone surface, leading to decay caused by dry-wet and freeze-thaw cycles [25]. Temperatures in Batalha can go below 0 °C during winter, hence, to limit such risk is advisable, especially in the case of monuments and artefacts located outdoor in non-tropical regions. By SiO<sub>x</sub> thin film coating, with a water vapor permeability reduced to <15 % or even to <10 %, such changes are acceptable [70], this would avoid potential damage caused by internal moisture condensation [71].

#### 4.3. Acid rain weathering effect test

In Fig. 6, the SEM-EDS images of the surfaces of uncoated limestones after acid rain test are shown. It can be seen that in the area of micrite and sparite crystalline cement, several voids appeared, and small crystal grains with diameter < 10 μm size were precipitated (Fig. 6a and c, circled by blue dash lines). The outline of oolites also became discontinuous (Fig. 6b), some of the oolites even losing their outline (circled by red dash lines). Small grains in Fig. 6c are proved to be sulphur-rich (d) in the EDS mapping, confirming the precipitation of gypsum crystals (CaSO<sub>4</sub> · 2H<sub>2</sub>O).

In the case of SiO<sub>x</sub> coated specimens, the acid rain test led to different results. The SEM images in Fig. 6e and f showed that the SiO<sub>x</sub> thin film is essentially unaffected by the acid rain test. Fig. 6f showing a homogenous distribution of Si in the EDS maps. In areas

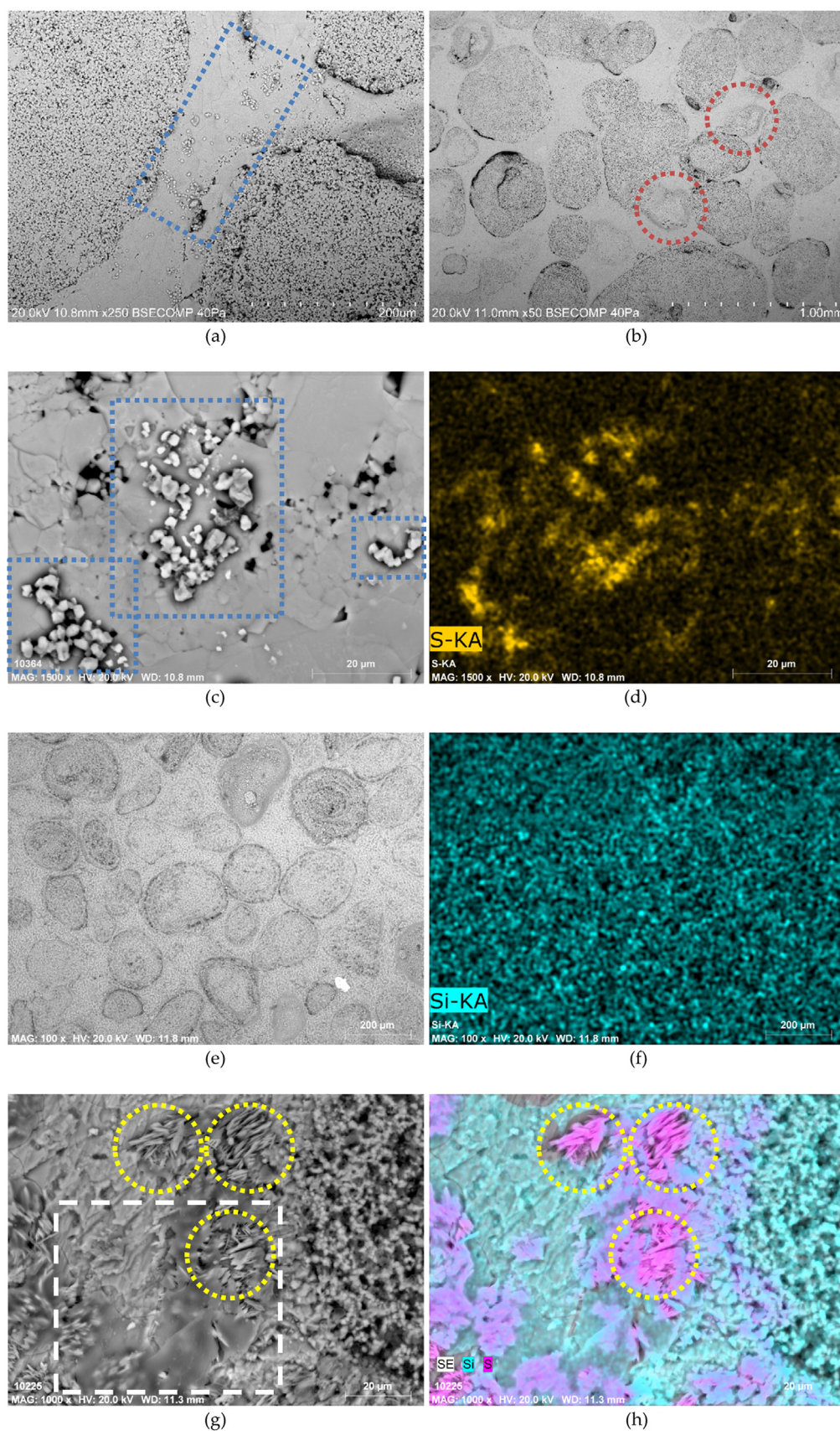
where precipitation of S-rich acicular crystals is visible, there is the loss of Si-signal in the EDS mapping (yellow circles in Fig. 6g and h). This is evidence of the protective effectiveness of the SiO<sub>x</sub> thin film, because where the coating was cracked and lost, which could also be seen in the BSE photo (white dash frame in Fig. 6g, the stone substrate reacted with the sulfuric acid and produced acicular calcium sulphate crystals [69], whereas in areas covered by the coating no sulphation occurs.

After ultrasonic cleaning and drying, the specimens were weighted in order to obtain the mass loss due to acid rain dissolution reactions. The uncoated samples recorded a weight loss of 0.04 g under the effects of 200 mL H<sub>2</sub>SO<sub>4</sub>, while in the case of all the SiO<sub>x</sub> deposited samples, only a weight loss of 0.01–0.02 g was observed. This demonstrates that the SiO<sub>x</sub> film was able to hinder the contact between the limestone and the acid to a certain extent, thus reducing the dissolution and mass loss of CaCO<sub>3</sub>.

#### 4.4. Resistance to microbial colonization

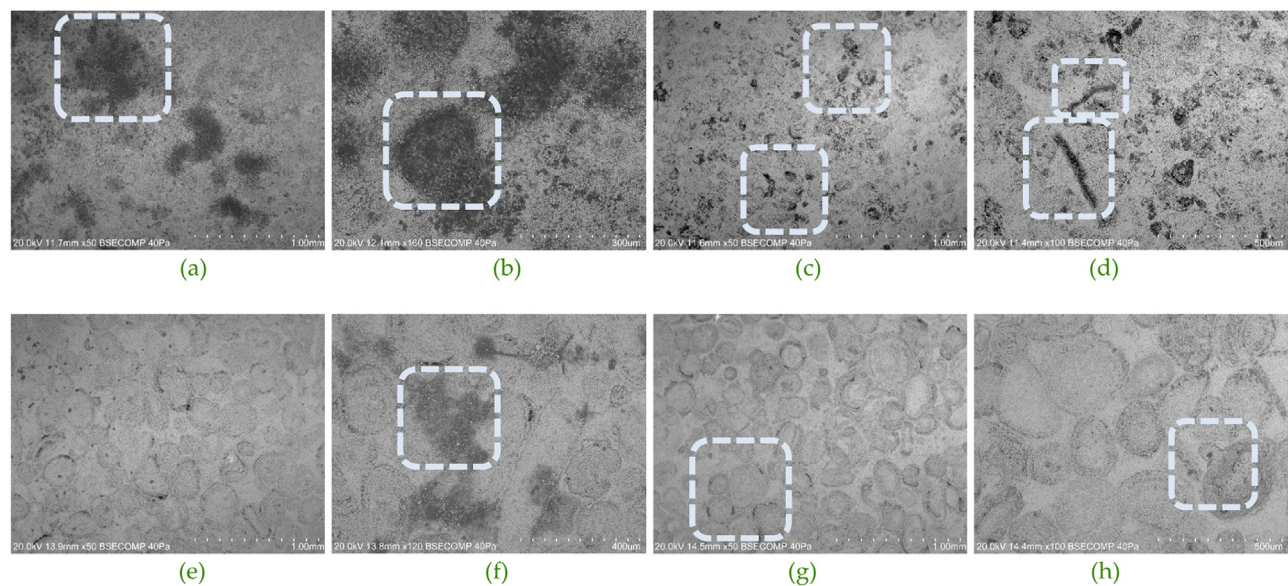
For uncoated limestones, after a 14-days incubation, the microorganisms have already aggregated into colonies with size up to 0.8 mm (circled by dash frame in Fig. 7a). In some areas, the bio-colonization has occupied the whole oolitic framework (circled by dash frame in Fig. 7b). By wiping the surface with air-laid paper and distilled water, large areas of bio-colonization were removed but a number of microbial colonies around 100–200 μm in diameter still persisted (blue frames in Fig. 7c), as well as some bar-shaped fungal spores (frames in Fig. 7d). While for the SiO<sub>x</sub> thin film coated limestones, in most areas the microorganisms grouped into agglomeration spots of around 50–100 μm in size (Fig. 7e and f), with larger microbial communities also present in specific areas but less abundant than those found on the uncoated limestone samples (Fig. 7e). After wiping the surface with distilled water and air-laid paper, most of the colonies were removed with only a few colonies of <50 μm in size left at the boundary or within oolites (dark spots framed by dash lines in Fig. 7g and h. This may be





**Fig. 6.** SEM-EDS images of uncoated sample and the SiO<sub>x</sub> deposited sample F2 after the acid rain test. (a, b, c). BSE-EDS images of uncoated limestone samples after acid rain test; (d): Sulphur elemental map of image (c). See text for further details; (e) general view of the surface which appears unaffected by the acid test; (f) Si elemental map referring to image (a) showing homogeneous distribution of SiO<sub>x</sub> film; (g). Area with visible cracks in the coating (yellow circles) and corresponding Si and S elemental map (h).





**Fig. 7.** SEM of the uncoated stone sample and SiO<sub>x</sub> deposited stone sample after microbial-incubation. (a and b). uncoated stone after incubation and (c and d). then wiped by airlaid paper with distilled water; (e and f). SiO<sub>x</sub> deposited stone after incubation and (g and h). Wiped by airlaid paper with distilled water.

**Table 2**  
L\*a\*b\* coordinates of the samples before and after exposure on the roof of Batalha Monastery.

Samples		Coordinates	L	a	b
Before exposure	Uncoated sample	value	89.64	1.95	8.29
	Sample deposit with SiO <sub>x</sub> thin film (D3)	value	89.36	2.09	8.95
		Δ	−0.28	0.14	0.66
After exposure	Uncoated sample	value	80.96	1.79	7.75
		Δ	−8.68	−0.16	−0.54
	Sample deposit with SiO <sub>x</sub> thin film (D3)	value	88.70	1.46	6.72
		Δ (comparing to original stone before exposure)	−0.94	−0.49	−1.57
		Δ (comparing to SiO <sub>x</sub> deposited stone before exposure)	−0.66	−0.63	−2.23

due to the higher microporosity associated with oolitic structure. SiO<sub>x</sub> coating might be absent in some areas where the substrate showed missing oolite grains, thus giving opportunity for microorganism colonization. As a general observation, the SiO<sub>x</sub> thin film reduced the risk of microbial colonization and moreover, bacterial and fungal colonies eventually developed on the surface could be easily wiped away using just distilled water.

4.5. Outdoor field exposure tests

Limestone tablets exposed on the roof of the Batalha Monastery were observed under stereo microscope (Fig. 8). On uncoated specimens, black spots probably of biological origin grew extensively on the surface, their density higher than 600 spots/ mm<sup>2</sup> (Fig. 8a and b); meanwhile on the SiO<sub>x</sub> coated samples, the black spots density is definitely lower than 80 spots/mm<sup>2</sup> (Fig. 8c and d).

Such different behaviour is also reflected on the colour of the limestones, as shown in Table 2. In the case of the uncoated specimens, after outdoor exposure the change in lightness ΔL reached −8.68, this means that the sample became darker. In the case of SiO<sub>x</sub> coated samples, ΔL was −0.94, thus confirming that the SiO<sub>x</sub> thin film was able to significantly preserve the bright appearance of the stone. According to the red/green and yellow/blue index, the uncoated specimens showed *a* = 1.79 and *b* = 7.75, meanwhile the SiO<sub>x</sub> coated specimens showed *a* = 1.46 and *b* = 6.72, comparing with the original stone before exposure (*a* = 1.95 *b* = 8.29), it

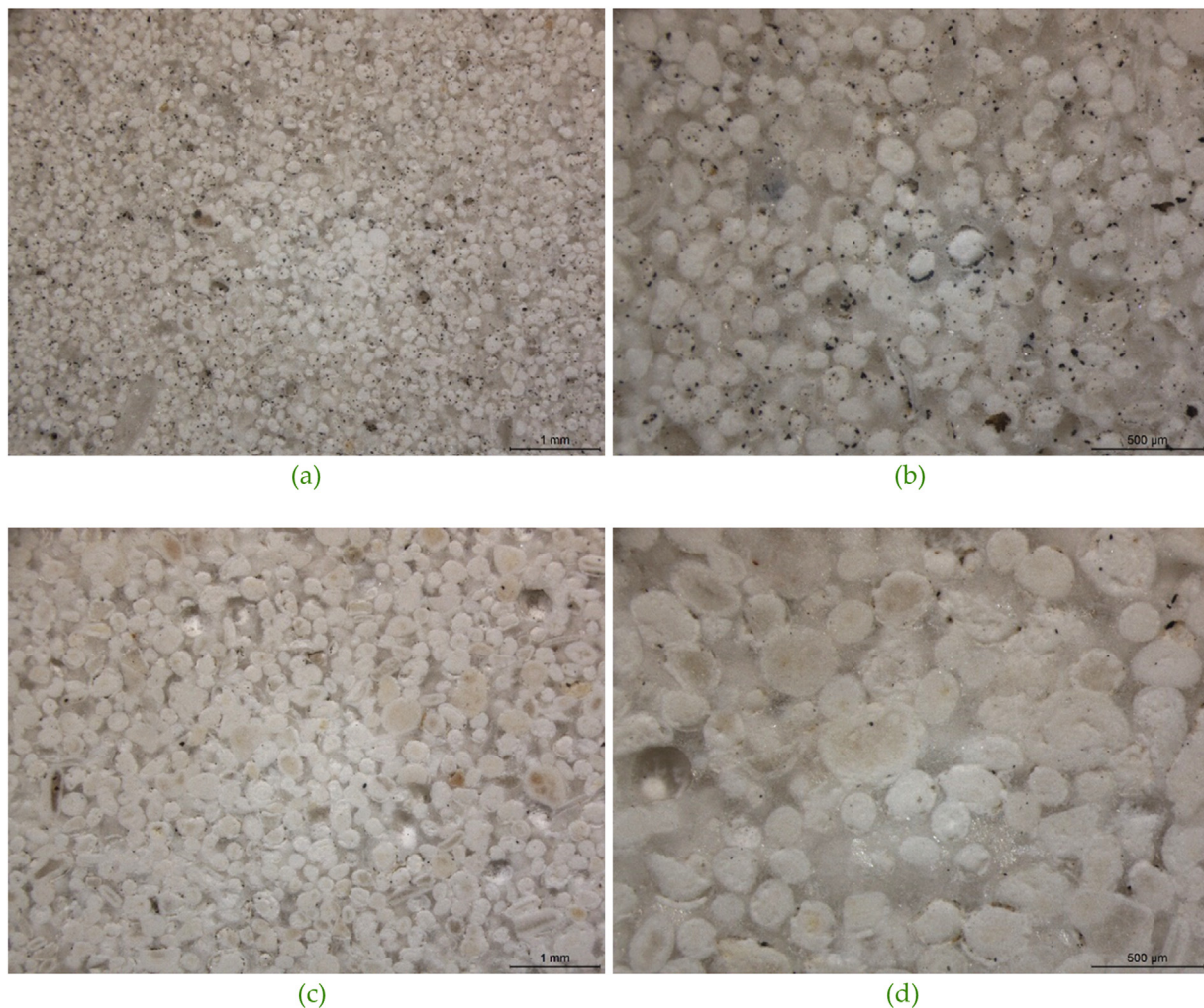
can be indicated that the uncoated sample turned more red and yellow component. The red-yellow colour combining with darkening will end up in the orange – brownish look, that might be a reason the deteriorated monastery facades has an orange-brownish appearance.

It is also noteworthy that, before field exposure, the uncoated and SiO<sub>x</sub> deposited samples showed a slight difference in colour index (ΔL = −0.28, Δ*a* = 0.14, Δ*b* = 0.66), which means the deposited film did not significantly change the appearance of the stone surface, but it helped the stone to maintain brightness after exposure.

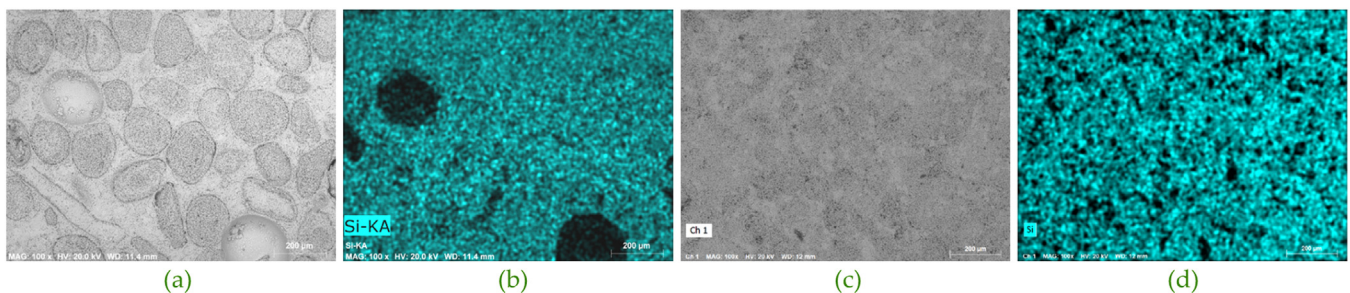
In summary, the interaction with solar irradiation, the contamination from urban gaseous and particulate air pollutants and microbial colonization, changed the aesthetic appearance of uncoated limestones, meanwhile the deposition of SiO<sub>x</sub> thin films is able to preserve the limestone surface unaltered.

4.6. SiO<sub>x</sub> thin film durability

The durability of the SiO<sub>x</sub> films was evaluated by SEM-EDS (Fig. 9). On the samples submitted to the acid rain test, most part of the SiO<sub>x</sub> film remained flawless, except in some area holes of 10–20 μm in size were lost within the film that is evident in the Si EDS maps (Fig. 9b), which was caused by the detachment of oolites. In the case of the outdoor filed exposed limestone tablets, the SiO<sub>x</sub> coating remained almost intact.



**Fig. 8.** Sample tablets after three months of field exposure. (a and b). Sample without  $\text{SiO}_x$  coating; (c and d). Sample D3 with  $\text{SiO}_x$  thin film deposited.



**Fig. 9.** SEM-EDS of the acid attacked and field exposed samples. (a) BSE of the surface attacked by acid; (b) Si-element mapping of (a); (c) BSE of the exposed stone surface; (d) Si-element mapping of (c).

## 5. Conclusions

In this study the protective effectiveness of PECVD  $\text{SiO}_x$  thin film on limestones used in construction and restoration of the Batalha Monastery Portuguese UNESCO WH-listed monument was assessed by means of acid rain weathering test, outdoor exposure tests and microorganism colonization activity measurements. Water vapor permeability changes induced by the surface coating were also investigated. The PECVD deposited  $\text{SiO}_x$  thin films were able to prevent the contact between acid solutions and the stone surface, as well as in slowing down microbial colonization and growth. The  $\text{SiO}_x$  thin film did not seem to increase, though,

the hydrophobicity of the stone, while achieving good results in maintaining its vapor permeability. On a compact and low porosity substrate, PECVD  $\text{SiO}_x$  thin film achieves a good performance in terms of resistance against environmental weathering. This technique may be suitable, in particular, for applications to artefacts outdoor made in stone, or even ceramic and other inorganic materials.

The overall protective effectiveness of this coating is significant and its application is of noteworthy interest as confirmed in a recent study that shows the feasibility of using atmospheric pressure plasma to deposit  $\text{SiO}_x$  thin film from different monomers (TEOS, HMDSO) [74]. According to the experimental results, it can



be demonstrated that PECVD  $\text{SiO}_x$  thin films may be successfully used in stone protection as they contribute to: a) prevent the microbial contamination and colonization on the stone surface; b) protect the stone substrate from aggressive environmental agents such as acid solution; c) maintain the aesthetic appearance/colour of the stone and limit darkening of the stone façade (soiling).

PECVD  $\text{SiO}_x$  thin films do not behave as other commonly used consolidants and/or protective varnishing, as they do not fill up the already existing holes or cracks, nor they consolidate the flaking and detached stone fragments. However,  $\text{SiO}_x$  films do not impact significantly on the permeability of original limestones, whereas polymeric material usually reduce of 30 %–50 % the stone permeability [72,73], and consequently  $\text{SiO}_x$  films are able to prevent water condensation-related decay [70,71]. The advantages of  $\text{SiO}_x$  coatings deposited by PECVD are related to the short operation time: as a matter of fact, a crack-free film could be obtained in only 20–40 min of deposition. On the other hand, the formation of  $\text{SiO}_x$  coating produced by sol-gel or chemical solution reaction may require months during which cracks in the layer could be induced [36]. Although there is still a dimensional limitation of the objects/artefacts that can be treated in relation to the chamber size, PECVD is still a viable alternative for protecting small stone artefacts. The results of this research can also provide the basis also applicable for other work, for instance, protective coatings can be deposited on stone façade when proper atmospheric plasma devices will be designed.

In fact, further research is currently being proposed for funding on the development of low-cost, portable and easy-to-use plasma instruments that could be used by conservators/restorers for the deposition of thin  $\text{SiO}_x$  films on outdoor stone facades, buildings, statues, monuments and Cultural Heritage multi-matric assets. A recent study showing the feasibility of depositing  $\text{SiO}_x$  thin film on different metals from different monomers (TEOS, HMDSO) in outdoor conditions [74], giving value and probability to the large scaled application of this conservation methodology.

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