



# *Pinus pinea* (L.) nut and kernel productivity in relation to cone, tree and stand characteristics

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**Abstract** *Pinus pinea* stands have been identified as one of the target species for agroforestry systems in Europe. Its fruit yield is of importance to the local development, especially in the Mediterranean basin, due to its highly nutritional kernels and its economic value. The objectives of this study were to analyze the relation between pine nut and kernel weight and its efficiencies in relation to cone and tree traits for different stand structures. The statistical analysis was carried out with correlation, multiple correlation analysis, hurdle-gamma regression, principal component and cluster analysis, with a dataset of about 3300 cones collected in four plots and 3 years. The results indicate that pine nut and kernel and its efficiencies depend on stand structure, year and tree

characteristics. The principal component analysis and the cluster analysis enabled the identification of four groups of trees related to the pine nut and kernel efficiencies. The higher efficiencies per tree are attained in stands managed for fruit production, increasing with the decrease of the density.

**Keywords** Hurdle-gamma regression · Pine nut and kernel efficiency · Principal component analysis · Stem and crown diameter · Weight

## Introduction

Agroforestry and silvopastoral systems combining forest trees, agriculture (e.g., pasture) and grazing, are known for the balance between facilitation and competition, thus enabling to optimize the use of the growing space (Jose et al. 2019). The several products and yields derive in economically viable systems (Jose et al. 2004, 2019; Eichhorn et al. 2006; Cabbage et al. 2012; Nerlich et al. 2013; Pasalodos-Tato et al. 2016; Miah et al. 2018). The different components of the system are designed to optimize the spatial and temporal use of the growing space (Jose et al. 2019). It includes maintaining or improving pasture and forage quantity and quality (Orefice et al. 2019; Pang et al. 2019a, b), especially under drought conditions (Eichhorn et al. 2006; Ford et al. 2019) while

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maintaining tree productivity. These systems enhance biomass and carbon storage (Cubbage et al. 2012; Pantera et al. 2018; Adhikari et al. 2019; Aryal et al. 2019; López-Santiago et al. 2019) provide several ecosystem services such as regulation of microclimate, hydrological and nutrient cycling, soil conservation, reduction of fire risk and a range of social and cultural services (Reisner et al. 2007; Jose 2009; Cubbage et al. 2012; Miah et al. 2018; Pantera et al. 2018; Jose et al. 2019; Orefice et al. 2019). The European Mediterranean countries have the largest areas of agroforestry and silvopastoral systems (den Herder et al. 2017) and one of the target forest tree species for this system is *Pinus pinea* (Reisner et al. 2007).

*Pinus pinea* L. (umbrella pine) forest stands are usually managed as agroforestry systems, providing several products (Agrimi and Ciancio 1994; Calama et al. 2011; Nerlich et al. 2013). The stands have frequently low density and fruit is their main production (Agrimi and Ciancio 1994; Mutke et al. 2012). Fruit production presents inter-annual variability (Mutke et al. 2005a; Calama et al. 2011) in cycles of 3–6 years (Agrimi and Ciancio 1994). In the Mediterranean countries of Europe, umbrella pine nuts are economically important because of the high nutritional value, due to the high protein, carbohydrate, fat, vitamin and mineral content of the kernels (e.g., Cañellas et al. 2000; Nergiz and Dönmez 2004; Nasri and Triki 2007; Costa et al. 2008; Evaristo et al. 2008, 2010).

The estimation of cone and seed production are of primordial importance for tree regeneration (Redmond et al. 2016). In conifers in general, and in *Pinus* spp. in particular, the number of cones per tree, the number of pine nuts per cone and the seed efficiency (defined as the percent of the number of fully developed pine nuts in relation to the total number of pine nuts per cone) are determinant for the seed availability. In literature was reported a wide variability of cone production per tree for pine species (e.g., Agrimi and Ciancio 1994; Mutke et al. 2005a; Zlotin and Parmenter 2008; Ganatsas and Thanasis 2010; Gonçalves et al. 2017), as well as for the number of pine nuts per cone and the seed efficiency for *Pinus strobus* (Noland et al. 2006; Owens and Fernando 2007; Parker et al. 2013), *Pinus sylvestris* (Bilir et al. 2008), *Pinus albicaulis* (Owens et al. 2008), and *Pinus pinea* (Saraiva 1997; Montero et al. 2004; e.g., Calama

and Montero 2007; Evaristo et al. 2008, 2010; Ganatsas et al. 2008). This variability is related with soil fertility and climate change. Several studies for *Pinus* spp. referred that the increase in soil nutrients availability, whether by silvicultural practices (e.g., thinning or cuts) or fertilization, produced a larger number of cones and pine nuts (Turner et al. 2007; Ortiz et al. 2012) as pines under low nutrient availability invested their resources first on growth and postpone their fruit production (Goubitz et al. 2002; Eugenio and Lloret 2006). Climate change, with temperature rise and changes in rainfall patterns, led to a cone yield reduction (Mutke et al. 2005a).

For umbrella pine the number of nuts per cone varied from 2 to 183 and their weight ranged from 28.4 to 57.1 g (Saraiva 1997; Montero et al. 2004; Calama and Montero 2007; Evaristo et al. 2008; Ganatsas et al. 2008). Also, several authors (Calama and Montero 2007; Evaristo et al. 2008; Ganatsas et al. 2008; Boutheina et al. 2013) referred a rate of undeveloped pine nut per cone ranging between 2 and 34%. Kernel weight per cone varied between 10 and 27 g (Saraiva 1997; Evaristo et al. 2008).

Many studies have been made on umbrella pine cone production whether on quantity or on spatial and temporal variability (e.g., Montero et al. 2004; Calama and Montero 2007; Calama et al. 2008, 2011; Ganatsas et al. 2008; Gonçalves and Pommerening 2012), on mechanical harvest (e.g., Castro-García et al. 2012; Gonçalves et al. 2016), on the effect of pest on cones (Calama et al. 2017), on the effect of water and light in the seedlings and trees survival and growth (e.g., Pardos et al. 2009; Calama et al. 2013; Manso et al. 2014; De-Dios-García et al. 2015; Mayoral et al. 2016), and on cone, pine nut and kernel characteristics (e.g., Agrimi and Ciancio 1994; Saraiva 1997; Nergiz and Dönmez 2004; Evaristo et al. 2008; Ganatsas et al. 2008; Evaristo et al. 2010). Other studies reported a high variability of the number of pine nuts and kernels per cone and their efficiencies (Calama and Montero 2007; Evaristo et al. 2008; Ganatsas et al. 2008). Additionally, the profitability of umbrella pine stands managed for timber and fruit is related to the number of cones per tree and the cone market price (Pasalodos-Tato et al. 2016). The shortcoming of these studies is that they used a limited number of cones and did not related them with the tree dendrometric variables and stand structure.

There are many variables to measure tree' dimensions and stand structure. Also, each tree has a large variability in cone, pine nut and kernel productions, which may correspond to a large data set. To analyze this kind of data set several statistical techniques are available. Principal component analysis (PCA) has been used in forestry to narrow down a large variable set to the most explaining variables (del Campo et al. 2007; Liu et al. 2018; Bueis et al. 2018). Several authors used PCA to select a subset of environmental variables that accounted for the highest variability of edaphic, climatic and physiographic variables on tree establishment and growth (e.g., del Campo et al. 2007; Bueis et al. 2018) while others used it to identify groups with different traits (e.g., Liu et al. 2018) and others still correlated site and nut production variables (e.g., del Campo et al. 2007; Ugese et al. 2010). Hurdle models were used to model cone production variation of *Pinus palustris* Mill. and dealt with the high occurrence of zeros (Haymes and Fox 2012). Hurdle models have the advantage of providing further insight into production dynamics by analyzing those factors driving production occurrence and yield separately (Taye et al. 2016).

The main goal of this study is to understand the relations of stand type, tree' dimensions and cone weight on the number and weight of pine nut and weight of kernel per cone and its efficiencies with a large data set. In more detail, the hypotheses of this study are: (1) heavier cones have higher number, weight and efficiency of fully developed pine nuts; (2) trees with large diameter at breast height and crown diameter produce higher number, weight and efficiency of fully developed pine nuts per cone; (3) heavier cones have higher kernel weight and efficiency; (4) trees with large diameter at breast height and crown diameter produce higher kernel weight and efficiency; (5) groups of trees can be identified as function of the number and weight of pine nuts and kernels or its efficiencies, and stand structure and tree dendrometric variables.

## Materials and methods

### Materials

The data was collected in four plots located in Alcácer do Sal, Portugal; plot 1, Herdade do Pai Sobrado; plot

2, Mata de Valverde; plot 3, Herdade do Monte Novo; and plot 4, Quinta de Sousa (Table 1). The plots are representative of agroforestry (1, 3, and 4) and forestry (2) systems. Plots 1, 3 and 4 are managed as silvopastoral systems, with wide spacing to promote stem and crown diameter growth, mainly through thinning. Their productions are fruit and cattle grazing on natural (plots 1 and 3) and artificial (plot 4) pastures. Plot 2 is a pure even-aged stand, with a silvicultural model that includes thinning and pruning to promote stem growth. Plot 2 has total and stem height higher than the other tree plots, 46–51% and 20–30%, respectively. Inversely, crown radii are in average 15–23% smaller in plot 2 than in plots 1, 3 and 4. None of the plots is irrigated, grafted or fertilized, except for plot 4 where the understory pasture is fertilized. All plots were pruned and control of natural vegetation was carried out periodically to reduce fire risk, with cycles depending on their development. The following dendrometric variables were measured in all trees in each plot, for all individuals with diameter at breast height larger than 10 cm: diameter at breast height, total height, stem height, height of the beginning of the crown, and four crown radii in the north, south, east and west directions. In 120 trees per plot cone were harvested, 30 manually and 90 mechanically, during three years. Trees were allocated to manual and mechanical harvest through a random stratified sampling, with strata defined by 0.1 m diameter at breast height classes (for details see Gonçalves et al. 2016). In each harvest, 3 cones were selected randomly per tree (Gonçalves et al. 2017).

The pine nuts were extracted from the dry cones, cleaned, separated in fully developed pine nuts and undeveloped pine nuts, weighted and counted. The pine nuts from each cone were broken manually and the kernels weighted. Weights were recorded with a precision scale to 1 mg. Seed efficiency per cone ( $s_{ef}$ , Eq. 1, in %) was computed by the number of fully developed pine nuts in relation to the total number of pine nuts. Pine nut efficiency ( $PN_{efw}$ , Eq. 2, in %) and kernel efficiency ( $K_{efw}$ , Eq. 3, in %) per cone on a fresh weight basis were defined as the relation between the fully developed pine nut weight and kernel weight per cone in relation to the cone fresh weight.

**Table 1** Plots locations and characteristics

Variable	Plot			
	1	2	3	4
Central coordinates	38° 21' 34" N 8° 31' 07" W	38° 19' 28" N 8° 32' 36" W	38° 29' 35" N 8° 38' 35" W	38° 33' 55" N 8° 35' 15" W
System	Agroforestry	Forestry	Agroforestry	Agroforestry
Main production	Fruit	Timber	Fruit	Fruit
Soils	Chromic regosols	Chromic podzol regosols	Chromic regosols	Cambic podzol regosols
Composition	Pure	Pure	Pure	Pure
Structure	Even-aged	Even-aged	Even-aged	Even-aged
Mean age (years)	≈ 60	≈ 60	≈ 60	≈ 60
Plot area (ha)	1.5	0.6	1.6	2.0
Number of trees (trees ha <sup>-1</sup> )	95	233	103	66
Basal area (m <sup>2</sup> ha <sup>-1</sup> )	9.8	25.3	10.7	8.1

$$s_{ef} = \frac{\text{Number of fully developed pine nuts per cone}}{\text{Total number of pine nuts per cone}} \quad (1)$$

$$PN_{efw} = \frac{\text{Fully developed pine nut weight per cone}}{\text{Cone fresh weight}} \quad (2)$$

$$K_{efw} = \frac{\text{Kernel weight per cone}}{\text{Cone fresh weight}} \quad (3)$$

Data set is composed of pine nuts (seed with shell) and kernels (seed without shell) of 3313 cones. The data set used is valuable for two reasons. First, it is composed by a large number of samples thus enabling data to include most of the variability of pine nuts and kernels per cone. Second, harvests were done in stands not affected by *Leptoglossus occidentalis*, resulting in a pre-damage data that can be used as a standard or baseline for the diagnosis of the stands affected by the seed bug.

### Statistical analysis

Normality was evaluated with Shapiro-Wilk test and homogeneity of variance with Levene test. When the assumptions of normality and homogeneity of variance were not met, nonparametric tests were used in the analysis. Linear correlations between fresh and dry cone weight, number and weight of pine nuts per cone,

weight of kernels per cone and pine nut, kernel and seed efficiencies were evaluated using Pearson's *r* coefficient. Kruskal-Wallis test, followed by Fisher LSD multiple comparisons test applied to ranks with Holm method for adjusting p values (Wright 1992; Sheskin 2007), were used to test differences in the number and weight of pine nuts per cone and in its efficiencies between plots and years. The analysis of the differences in the average number of undeveloped pine nuts per cone between trees was done with a hurdle-gamma regression (Zuur and Ieno 2016), due to the high occurrence of zeros in the dataset. The explanatory variables considered were year, plot, average of cone fresh weight per tree, average of the cone moisture content per tree (quotient between the difference of fresh and dry cone weight and cone fresh weight), average number of pine nuts fully developed per cone and tree, average seed efficiency, tree characteristics (diameter at breast height, total height, stem height, crown length, height of the beginning of the live crown, crown diameter) as well as the second order interactions. Collinearity was evaluated with Generalized Variation Inflation Factor for the model's main effects. Likelihood ratio tests were used to compare goodness of fit between nested models and Akaike's information criterion for non-nested models. Additionally, Hosmer–Lemeshow test and pseudo  $R^2$  MacFadden were used to assess goodness of fit in logistic part and the adjusted  $R^2$  for the gamma part. Principal component analysis (PCA) (Johnson and

Wichern 2007) was used in multivariate data analysis of average efficiency production per tree (fresh cone weight, pine nut, kernel and seed efficiencies) and tree characteristics. Original variables were standardized to zero mean and unit variance, because they were measured in different units. Year and plot were considered as supplementary variables. Kaiser's rule was used to decide how many components were to be retained: only the principal components with eigenvalues greater than one were selected. In addition, to identify clusters of trees that may correspond to the profiles identified with PCA, it was applied a non-hierarchical cluster analysis (NHCA), considering as variables the coordinates of the trees in the retained components. The statistical analysis was performed using R Project, version 3.3.0 (R Core Team 2016). The level of significance used was 0.05.

## Results

### Pine nuts

More than half of the cones (54%) had only fully developed pine nuts. For the remaining 46%, 28.7% had up to 5 undeveloped pine nuts, 7.5% from 6 to 10, 7.1% from 11 to 30, and 2.7% more than 30. The overall mean proportion of undeveloped pine nuts was 5.4%, ranging from 0.7 to 93.1%, corresponding to an average of seed efficiency of 94.6%. The overall number of fully developed pine nuts per cone ranged from 1 to 152, with a median of 78 (Table 2). The weight of these pine nuts ranged from 0.8 to 124.4 g, with a median of 54.8 g (Table 2) that corresponded to pine nut efficiency between 16.2 and 21.4%. Noteworthy is that half of the samples had a number of pine nuts between 57 and 98 (IQR) and a weight between 36.5 and 70.5 g (IQR). The weight and the number of pine nuts per cone were strongly linear positive correlated (Pearson's  $r = 0.891$ ). Very strong and strong linear positive correlations were found between fresh and dry cone weight with the number and weight of fully developed pine nuts per cone (Table 3). The number and weight of fully developed pine nuts per cone differed significantly between plots ( $\chi^2_3 = 1147.7$ ,  $p < 0.001$  and  $\chi^2_3 = 1128.2$ ,  $p < 0.001$ , respectively) and between years ( $\chi^2_2 = 158.5$ ,  $p < 0.001$  and  $\chi^2_2 = 212.8$ ,  $p < 0.001$ , respectively). Plot 4 had the heaviest pine

nuts and the highest pine nut efficiency while plot 2 had less and lighter pine nuts as well as the lowest pine nut efficiency (Table 2). The pine nut efficiency followed the same pattern as the weight and number of fully developed pine nuts.

Total height, crown length, height of the beginning of the live crown, crown diameter, and the average of the cone moisture content per tree did not contribute to explain either the existence or the average number of undeveloped pine nuts per cone and per tree (Table 4). The odds of a cone having undeveloped pine nuts were lower for trees in plot 2 and higher for trees in plot 4 and plot 1, in this last plot only in 2003 (Table 4). The odds were highest in 2005 in all plots. For all plots and years, the odds were lower for cones with high number of pine nuts fully developed, however these odds increased with the stem height of the tree.

Among the cones with undeveloped pine nuts, the average number of undeveloped pine nuts per cone and per tree decreased  $\exp(-0.008) = 0.992$  times with a unit increase in diameter at breast height and increased  $\exp(0.656) = 1.927$  times with a unit increase in stem height (Table 4). For each unit increase in seed efficiency this average decreased 17.2% ( $= (1 - \exp(-0.075)) \times 100$ ). In all plots, this average was smaller in year of 2004 and higher in 2005. With a unit increase in the average of the fresh cone weight per tree the average number of pine nuts undeveloped increased 1.004 times in plot 2, 1.002 times in plot 1 and 1.992 times in plot 4, and decreased 0.926 times in plot 3.

The number of fully developed pine nuts differed significantly among diameter at breast height classes (grouped in 0.1 m classes) ( $\chi^2_4 = 14.249$ ,  $p = 0.007$ ) and a marginally significant difference was detected in the weight of fully developed pine nuts ( $\chi^2_4 = 8.363$ ,  $p = 0.079$ ). A similar trend was observed for crown diameter (grouped in 1 m classes) in the number of pine nuts ( $\chi^2_{10} = 22.340$ ,  $p = 0.013$ ), with significant differences in pine nut weight among crown diameter classes ( $\chi^2_{10} = 41.538$ ,  $p < 0.001$ ). The pine nut efficiency did not differ with diameter at breast height ( $\chi^2_4 = 4.691$ ,  $p < 0.320$ ; Fig. 1 left), however differed with crown diameter ( $\chi^2_{10} = 34.951$ ,  $p < 0.001$ ; Fig. 1 right). The trees with diameter at breast height between 0.4 and 0.6 m were those with the lowest number of pine nuts. The trees

**Table 2** Median  $\pm$  interquartile range (IQR) of the number and weight of pine nuts, weight of the cones and kernels per cone, pine nut and kernel efficiencies and seed efficiency (in %) (different letters indicate significant differences among plots or years, at  $p < 0.05$ )

Plot	Year	n	Fresh cone weight (g)	Number of pine nuts per cone		Weight of pine nuts per cone (g)		Average weight of kernels per cone (g)	Average cone moisture content per tree (%)	Seed efficiency	Pine nut efficiency	Kernel efficiency
				Fully developed	Undeveloped	Fully developed	Undeveloped					
1	All	764	234.8 $\pm$ 98.3	69.5 <sup>b</sup> $\pm$ 33.0	0.0 $\pm$ 6.0	41.0 <sup>b</sup> $\pm$ 24.2	0.0 $\pm$ 0.3	9.5 <sup>b</sup> $\pm$ 5.9	29.9 $\pm$ 2.2	100 $\pm$ 8.1	17.8 <sup>b</sup> $\pm$ 4.6	4.2 <sup>b</sup> $\pm$ 1.2
2		668	219.8 $\pm$ 114.6	55.0 <sup>a</sup> $\pm$ 36.0	0.0 $\pm$ 0.5	35.0 <sup>a</sup> $\pm$ 31.0	0.0 $\pm$ 0.3	8.0 <sup>a</sup> $\pm$ 7.1	30.6 $\pm$ 3.3	100 $\pm$ 8.9	16.6 <sup>a</sup> $\pm$ 6.2	3.8 <sup>a</sup> $\pm$ 1.4
3		1002	315.1 $\pm$ 103.3	78.0 <sup>c</sup> $\pm$ 33.0	0.0 $\pm$ 3.0	59.1 <sup>c</sup> $\pm$ 25.4	0.0 $\pm$ 0.2	13.3 <sup>c</sup> $\pm$ 5.5	29.5 $\pm$ 2.9	100 $\pm$ 2.9	18.7 <sup>c</sup> $\pm$ 3.6	4.2 <sup>b</sup> $\pm$ 0.9
4		879	324.6 $\pm$ 91.7	102.0 <sup>d</sup> $\pm$ 23.0	1.0 $\pm$ 3.0	72.3 <sup>d</sup> $\pm$ 22.3	0.1 $\pm$ 0.2	16.0 <sup>d</sup> $\pm$ 5.1	29.3 $\pm$ 2.7	99.1 $\pm$ 3.1	22.4 <sup>d</sup> $\pm$ 2.7	4.9 <sup>c</sup> $\pm$ 0.8
All	2003	1091	240.6 $\pm$ 115.8	68.0 <sup>a</sup> $\pm$ 40.0	0.0 $\pm$ 0.0	44.9 <sup>a</sup> $\pm$ 32.9	0.0 $\pm$ 0.0	10.9 <sup>a</sup> $\pm$ 7.3	28.6 $\pm$ 3.2	100 $\pm$ 0.0	18.9 <sup>b</sup> $\pm$ 5.6	4.5 <sup>b</sup> $\pm$ 1.2
	2004	1144	306.0 $\pm$ 96.7	83.0 <sup>c</sup> $\pm$ 33.0	0.0 $\pm$ 2.0	61.0 <sup>c</sup> $\pm$ 26.2	0.0 $\pm$ 0.2	13.5 <sup>c</sup> $\pm$ 6.1	30.6 $\pm$ 2.6	100 $\pm$ 2.5	19.8 <sup>c</sup> $\pm$ 4.0	4.4 <sup>b</sup> $\pm$ 0.9
	2005	1078	302.6 $\pm$ 133.4	82.0 <sup>b</sup> $\pm$ 45.8	4.0 $\pm$ 7.0	56.0 <sup>b</sup> $\pm$ 38.2	0.2 $\pm$ 0.4	12.5 <sup>b</sup> $\pm$ 8.2	29.8 $\pm$ 2.1	95.5 $\pm$ 11.5	18.1 <sup>a</sup> $\pm$ 6.3	4.1 <sup>a</sup> $\pm$ 1.4
All	All	3313	285.0 $\pm$ 122.2	78.0 $\pm$ 41.0	0.0 $\pm$ 4.0	54.8 $\pm$ 34.0	0.0 $\pm$ 0.2	12.5 $\pm$ 7.3	29.7 $\pm$ 2.8	100 $\pm$ 4.3	19.1 $\pm$ 5.2	4.3 $\pm$ 1.1

**Table 3** Pearson correlation matrix between fresh and dry cone weight, with the number and weight of fully developed pine nuts and weight of kernels per cone. All correlations are significant at 1%

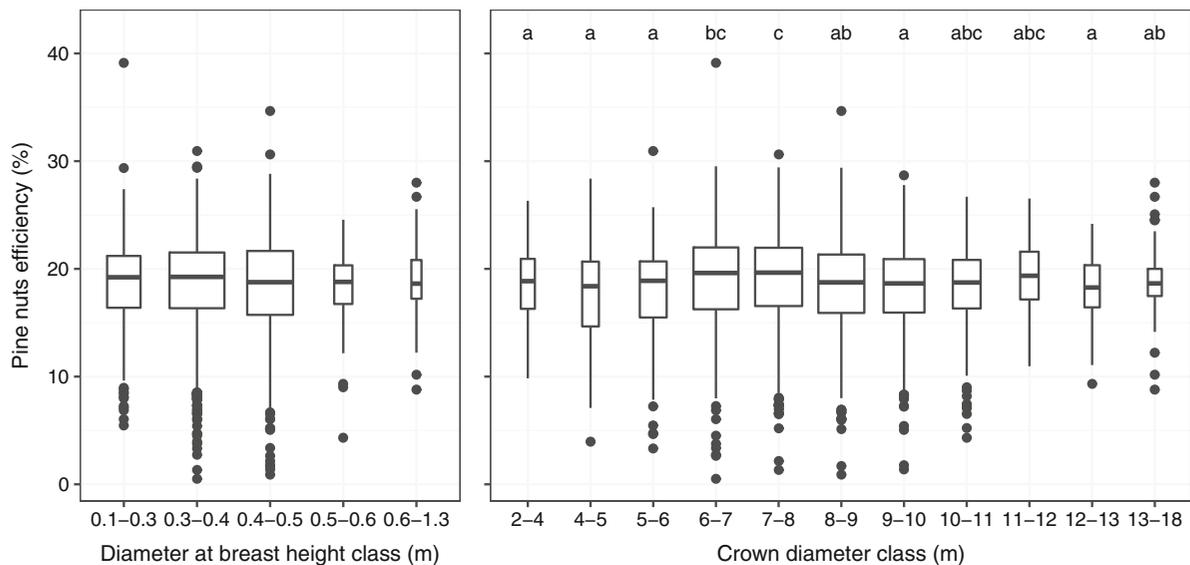
Plot	Year	Fresh cone weight (g)			Dry cone weight (g)		
		Number of pine nuts	Weight of pine nuts (g)	Weight of kernels (g)	Number of pine nuts	Weight of pine nuts (g)	Weight of kernels (g)
1	All	0.718	0.908	0.864	0.719	0.911	0.867
2		0.772	0.911	0.840	0.774	0.917	0.853
3		0.728	0.891	0.854	0.722	0.902	0.865
4		0.577	0.855	0.808	0.587	0.884	0.829
	2003	0.722	0.926	0.893	0.717	0.934	0.901
All	2004	0.702	0.887	0.843	0.713	0.898	0.846
	2005	0.766	0.905	0.897	0.772	0.912	0.904
All	All	0.747	0.905	0.874	0.751	0.916	0.885

**Table 4** Hurdle-gamma regression estimated coefficients (B), standard errors (SE) and *p* values, for the variable associated with the average number of pine nuts per tree undeveloped

Variables	Logistic part <sup>a</sup>			Gamma part <sup>b</sup>		
	B	SE	<i>p</i>	B	SE	<i>p</i>
Constant	0.047	1.048	0.964	6.933	0.211	< 0.001
Year (ref: 2004)						
2003	0.648	0.665	0.330	0.593	0.069	< 0.001
2005	14.144	5.086	0.005	0.710	0.052	< 0.001
Plot (ref: 2)						
1	0.794	0.399	0.047	0.656	0.202	0.001
3	0.786	0.344	0.022	0.131	0.237	0.579
4	2.614	0.465	< 0.001	0.878	0.272	0.001
Stem height	− 0.163	0.147	0.268	0.656	0.202	0.001
Diameter at breast height				− 0.008	0.002	0.001
Average fresh cone weight				0.004	0.001	< 0.001
Average number of pine nuts	− 0.021	0.012	0.086			
Average seed efficiency				− 0.075	0.002	< 0.001
Year 2003 × Plot 1	1.792	0.388	< 0.001			
Year 2003 × Plot 4				− 0.427	0.143	0.003
Year 2005 × Plot 4				− 0.285	0.086	0.001
Year 2003 × Average number of pine nuts	− 0.039	0.008	< 0.001			
Year 2005 × Average number of pine nuts	− 0.061	0.056	0.273			
Stem height × Average number of pine nuts	0.005	0.002	0.010			
Average fresh cone weight × Plot 1				− 0.002	0.001	0.019
Average fresh cone weight × Plot 3				− 0.001	0.001	0.126
Average fresh cone weight × Plot 4				− 0.002	0.001	0.005

<sup>a</sup> N = 1148, R<sup>2</sup> McFadden = 0.406, Hosmer–Lemeshow test:  $\chi^2_8 = 1.474$ , *p* = 0.993, AUC = 0.889, sensitivity = 73.6%, specificity = 87.7%, cutoff point = 0.642

<sup>b</sup> N = 747, Adjusted R<sup>2</sup> = 0.821



**Fig. 1** Pine nut efficiency per diameter at breast height classes (left), and crown diameter classes (right) (different letters indicate significant differences in pine nut weight between

with the median crown diameters were those with the highest number and weight of pine nuts and efficiency.

### Kernels

Per cone, the weight of kernels ranged between 0.2 and 27.7 g with a median of 12.5 g (IQR = 8.5–15.8 g), which corresponded to a kernel efficiency between 3.7% and 4.9% (Table 2). The weight and efficiency of the kernels were lower in plot 2 and higher in plot 4 (Table 2). Per year analysis (Table 2) revealed that the heaviest kernels were attained in 2004 (IQR = 10.4–16.5 g), followed by 2005 (IQR = 8.0–16.2 g) and 2003 (IQR = 7.2–14.5 g), and presented a similar tendency to the weight of fresh cone. A different pattern was observed for kernel efficiencies where the highest efficiency occurred in 2003 (IQR = 3.8–5.0%) and 2004 (IQR = 3.9–4.8%), followed by 2005 (IQR = 3.3–4.6%) (Table 2).

Fresh and dry cone weight were strongly and positively correlated with kernel weight per cone, and the strength of the correlation varied among plots and years (Table 3). The correlations with kernel efficiency were weaker, though statistically significant (all Pearson's  $r < 0.55$ ). Per tree, the average kernel weight per cone was strongly and positively correlated with the average of the weight and the mean number of pine nuts fully developed per cone as well as with the

diameter classes, at  $p < 0.05$ ). Boxes are drawn with widths proportional to the square-roots of the number of observations in the groups

average of dry cone weight (Table 5). Weaker but significant negative correlations were found between kernel weight and height of the beginning of the crown, stem height and total height, and a positive correlation between kernel weight and crown length and crown diameter. No significant correlation was found between kernel weight and diameter at breast height.

There was a statistically significant difference between the kernel weight by crown diameter classes ( $\chi_{10}^2 = 31.752, p < 0.001$ ) but not by diameter at breast height classes ( $\chi_4^2 = 7.678, p < 0.104$ ). Trees with median crown diameters have heavier kernel per cone and also the highest variability in kernel weight. The kernel efficiency differed significantly by diameter at breast height classes ( $\chi_4^2 = 11.026, p < 0.026$ ; Fig. 2 left) and by crown diameter classes ( $\chi_{10}^2 = 38.431, p < 0.001$ ; Fig. 2 right). The efficiency decreased with the increase of diameter at breast height but no marked trend in the efficiency was observed by crown diameter.

### Effect of stand, tree and cone characteristics in pine nut and kernel weight

PCA and NHCA were applied to tree characteristics and pine nut and kernel average efficiencies, and the results are shown in the biplot graphs, PC1–PC2

**Table 5** Pearson’s correlation matrix between the characteristics of the trees, cones, pine nuts and kernels

	Diameter at breast height	Total height	Stem height	Height of the beginning of the live crown	Crown length	Crown diameter	Average of dry cone weight per tree	Average of the mean number of pine nuts fully developed per cone and per tree	Average of the weight of pine nuts per cone and per tree	Average of the weight of kernels per cone and per tree
Total height	0.497**									
Stem height	0.011	0.693**								
Height of the beginning of the live crown	0.146**	0.742**	0.827**							
Crown length	0.442**	0.218**	− 0.312**	− 0.481**						
Crown diameter	0.757**	0.262**	− 0.230**	− 0.076*	0.467**					
Average of dry cone weight per tree	0.005	− 0.194**	− 0.323**	− 0.460**	0.430**	0.133**				
Average of the mean number of pine nuts fully developed per cone and per tree	− 0.060*	− 0.364**	− 0.426**	− 0.533**	0.306**	0.025	0.771**			
Average of the weight of pine nuts per cone and per tree	− 0.017	− 0.267**	− 0.381**	− 0.514**	0.410**	0.084**	0.922**	0.907**		
Average of the weight of kernels per cone and per tree	− 0.033	− 0.281**	− 0.369**	− 0.508**	0.386**	0.072*	0.896**	0.878**	0.964**	

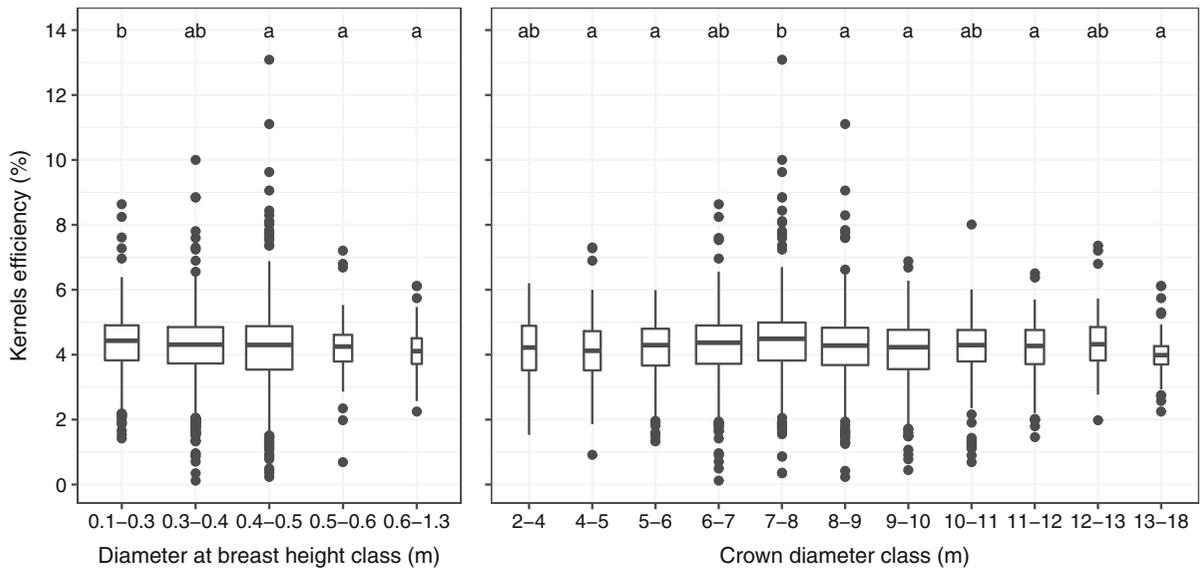
\*Correlation is significant at the 0.05 level (2-tailed)

\*\*Correlation is significant at the 0.01 level (2-tailed)

(Fig. 3 left) and PC2–PC3 (Fig. 3 right). The variables are represented by arrows and the trees by points colored according to their cluster. There were 3 principal components (PC) identified through eigenvalues larger than 1 and explained 79.1% of the total variance of the data.

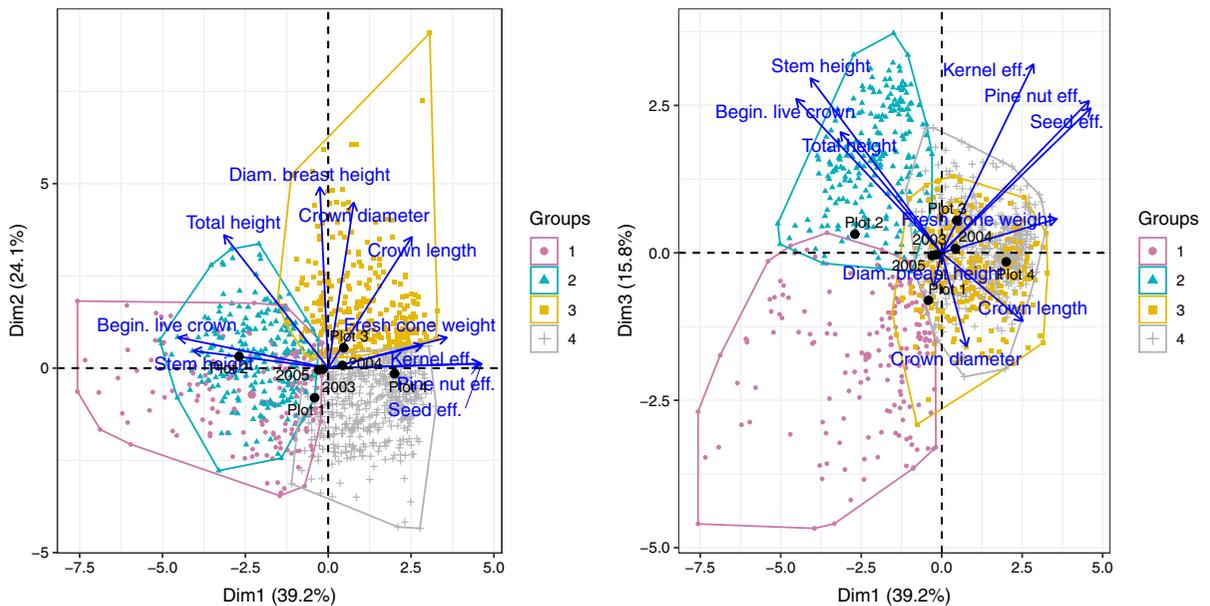
The first component (PC1), containing the largest possible amount of information, was strongly correlated with the trees’ dimensions (diameter at breast height, total height, stem height, height of the

beginning of the live crown, crown length, crown diameter), cone fresh weight, pine nut and kernel efficiencies (Fig. 3 left). It was possible to name the PC1 as cone production intent, since it opposed fruit production efficiencies to tree height. The second component (PC2) associated diameter at breast height with the crown diameter. The third component (PC3) was related to seed efficiency and stem height (Fig. 3 right). In the plots it was possible to clearly identify 3 profiles (Fig. 3): P1) trees for timber production; P2)



**Fig. 2** Kernel efficiency per diameter at breast height classes (left), and crown diameter classes (right) (different letters indicate significant differences in kernel weight between

diameter classes, at  $p < 0.05$ ). Boxes are drawn with widths proportional to the square-roots of the number of observations in the groups



**Fig. 3** Biplot of trees and variables in the first three dimensions of principal component analysis of tree characteristics and their average efficiency production. Biplot of PC1 and PC2 (left), biplot of PC1 and PC3 (right). The clusters identified by NHCA are also represented

large trees with high pine nut and kernel efficiencies; P3) small trees with high pine nut and kernel efficiencies. Trees in plot 2 were linked to profile 1 and trees in plot 4 to profile 3. The plots managed for fruit production (plots 1, 3 and 4) had higher pine nut and kernel weights and efficiencies when compared

with that managed for timber (plot 2). The years were not related with the obtained components. Four homogeneous groups of trees were identified with NHCA, which corresponded to the aforementioned profiles and are represented in Fig. 3: G1) The trees in this cluster had low seed, pine nut and kernel

efficiencies which is associated to P1. Cluster G1 has 15% of the total number of trees. Half of the trees of this cluster were from plot 1, which corresponded to one third of the trees of this plot; G2) Clustered nearly 20% of the total number of trees. The trees in cluster G2 had characteristics similar to those of G1, but were taller trees. Nearly 80% of the trees from plot 2 belonged to G2, and 86% of the trees in G2 were from plot 2; G3) Cluster G3 has 25% of the total of trees. The characteristics of the trees in this cluster corresponded to those described in P2. More than half of the trees of this group were from plot 3; G4) Trees in cluster G4 had the characteristics of P3 which corresponded to 40% of the total number of trees. Almost 70% of the trees from plot 4 belonged to G4.

## Discussion

### Pine nuts

The number and weight of fully developed pine nuts per cone as well as their efficiency differed significantly among years. The absence of relation between annual, spring and autumn precipitation and the number, weight and efficiency of pine nuts, can be partially explained by the air relative humidity. On average, the air relative humidity is higher than 70% per year as well as for spring, and is higher than 60% for the dry months, June, July and August (SNIRH 2007), which may reduce water stress. This reduction can be due to the deposition of water in the leaves that cool them, to the absorption of water by the leaves, and to the mist precipitation with the increase of water in the soil (Baguskas et al. 2016). Thus, the inter-annual tree irregular fruiting patterns of umbrella pine could be the determinant factor for the variability of production (Agrimi and Ciancio 1994; Saraiva 1997; Mutke et al. 2005b).

There are significant differences among different stand structures, for the number and weight of fully developed pine nuts per cone as well as for their efficiencies. The highest number of pine nuts, the heaviest weight of pine nuts and kernels per cone, was attained in the stand with lowest density (cf. Gonçalves et al. 2017). The overall number of fully developed pine nuts per cone in this study (1–152 pine nuts per cone) was in the range presented by other authors (Saraiva 1997; Montero et al. 2004; Calama

and Montero 2007; Evaristo et al. 2008; Ganatsas et al. 2008; Boutheina et al. 2013). However, the proportion of fully developed pine nuts (94.6%) is higher (75.6–90.0%) than the referred by other authors for umbrella pine (Saraiva 1997; Ganatsas et al. 2008; Boutheina et al. 2013). It is also higher than that reported for other timber oriented pine species, for example 75–78% for *Pinus strobus* (Parker et al. 2013), 63% for *Pinus sylvestris* (Bilir et al. 2008) 59% for *Pinus albicaulis* (Owens et al. 2008) and 80–83% for *Pinus halepensis* (Ortiz et al. 2012). The proportion of fully developed pine nuts is related to stand structure, year, stem height and average of number of pine nuts per cone. The stands with low density have trees with large diameters at breast height and crown diameters, and produced heavier cones which in turn had more and heavier fully developed pine nuts. The results of this study show that the trees with diameter at breast height lower than 0.4 m and crown diameter between 6 and 9 m were those with the highest number and weight of fully developed pine nuts, which correspond to the diameter at breast height and crown diameter classes with the heaviest cones (cf. Gonçalves et al. 2017). There were also differences in the proportion of fully developed pine nuts among years (years). This could be, at least partially, explained by the inter-annual variability in cone production (Agrimi and Ciancio 1994; Saraiva 1997; Mutke et al. 2005b; Calama et al. 2008; Rodrigues et al. 2014; Gonçalves et al. 2017). The position of the trees in the stand vertical profile may also affect their fruiting pattern. Stem height and, thus, tree crown position in the canopy may, at least partially, justify the decrease of fully developed pine nuts per cone. This can be related to pollination as umbrella pine is wind pollinated, in the upper canopy layer less pollen may reach the female flowers, with the consequent decrease of fully developed pine nuts per cone (Mutke et al. 2012).

The positive correlations between cones and pine nut weight and its efficiencies indicated that the heavier the cone the heavier the pine nut weight and the higher the efficiency. Similarly, Sirois (2000) for *Picea mariana*, Parker et al. (2013), Noland et al. (2006) and Rajora et al. (2002) for *Pinus strobus* and Bilir et al. (2008) for *Pinus sylvestris*, reported that the larger the cones the larger the number of pine nuts and the higher the number of fully developed pine nuts. In this study the higher the crown diameter the heavier the pine nuts per cone. Likewise, Parker et al. (2013)

reported a positive correlation between crown area and pine nut production for *Pinus strobus*. These relations seem to be linked to stand structure, especially with vigorous trees with large crowns and nutrient availability for fruit and seed development (Rajora et al. 2002; Noland et al. 2006). The weakest correlations found in 2004, between fresh cone weight with the number of fully developed pine nuts per cone and seed efficiency, could be, at least partially, explained by the higher cone moisture content due to rainfall prior to harvest (cf. Gonçalves et al. 2017).

## Kernels

Kernel weight and efficiency differed among plots and years. There seems to be a similar trend between pine nut and kernel weight per cone, with larger values for the heavier cones, which in turn are found in the plots with lower density. According to Gonçalves et al. (2017) the heavier cones come from stands with low competition between trees. Considering that plots are under a climate with a dry season (from May/June to September), characteristic of the Mediterranean region, lower densities enable less water stress, as referred for other pine species by some authors (Bueis et al. 2018). As cone, pine nut and kernel yield is affected by the amount of water available (Mutke et al. 2005a), the lower densities tend to promote heavier cones with more fully developed pine nuts and kernels.

Strong positive correlations were found between fresh and dry cone weight with kernel weight per cone and weak positive linear correlation with kernel efficiency. Similar results were reported for other pine species (Rajora et al. 2002; Noland et al. 2006; Bilir et al. 2008). Noland et al. (2006) suggest that these results denote high efficiency of pollination and the allocation of resources to the development of the kernels. Kernel weight per cone seemed to be linear independent of diameter at breast height, while kernel efficiency decreased with the increase of the diameter at breast height. In the four plots the trees with larger diameters were also the tallest. It seems that crown diameter affects kernel weight and efficiency.

Plot 4 has the highest pine nut number as well as the highest pine nut and kernel weight and efficiencies per cone. When compared to the other plots, the difference could be related to the pasture fertilization that benefit the umbrella pine trees and their fruiting. A similar

trend is referred by Turner et al. (2007) and Ortiz et al. (2012).

## Effect of stand, tree and cone characteristics in pine nut and kernel weight

PCA identified three profile and NHCA four groups of trees, using tree dimensions and cone weight, as function of the pine nut and kernel weight and efficiency. The higher pine nut and kernel weights were associated to the plots with low density and trees in free growth, whether with small or large stem and crown diameters. As plots are under similar soil and climatic conditions, it seems that competition among trees for light, water and nutrients, were the drivers of the weight and efficiency of pine nuts and kernels. Some authors refer the primordial role of water availability for growth, cone yield and pine nut production of *Pinus halepensis* (del Campo et al. 2007; Bueis et al. 2018). In this study the higher pine nut and kernel weights and efficiencies are attained in the plots with the low densities, and thus with higher growing space and lower competition per tree. In a simulation study Pasalodos-Tato et al. (2016) attained a similar trend.

## Conclusions

The weight and efficiency of pine nuts and kernels at tree level depends on the stand structure and year. Significant correlations were found between cone fresh weight and the number of pine nuts, the weight of pine nuts and kernels. Thus, from a silvicultural perspective, practices, such as thinning, directed to tree free growth, where trees are subjected to lower stress levels (e.g., competition for light, water and nutrients) will enhance higher pine nut and kernel efficiencies at tree level. Also, the low densities of umbrella pine stands are well suited to agroforestry and silvopastoral systems as it is possible to associate high pine nut yield and an efficient production of pasture and grazing, due to its low forest stand density, as well as simultaneously providing other services, such as regulation of climate, hydrological and nutrient cycles, soil conservation and reduction of fire risk. However, there are some gaps in knowledge, thus future research should study the effects of stand management on pine nut and kernel productions, in

particular the effects of biotic and abiotic disturbances.

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