

## Weather and climate versus mortality in Lisbon (Portugal) since the 19th century



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### ABSTRACT

A renewed interest on the impacts of climate change has spurred several studies on climate/health relationships. This study aims to detect and explain any changes in the relationships between climate and mortality in Lisbon from 1835 until 2012. The evaluation of mortality seasonal rhythms over time is based on the 100-Index per decades, annual Winter-Summer ratio, as well as other descriptive statistics. A change in the seasonal rhythm of mortality over the last 177 years was found. In the mid-19th century mortality peaked in summer, whereas in the 1890s and the 1900s there was slight monthly variability. On the contrary, a winter maximum has occurred since the 1940s, although a secondary summer peak of mortality may emerge during the most severe heat-waves. Although long term positive temperature trends were confirmed, no systematic positive mortality trends were found in the last three decades. The results suggest that mortality rhythm changes during the 19th and 20th century are not directly related to climatic reasons alone (except in the case of extreme weather events), but rather to improvements in hygienic, sanitary and nutrition conditions and advances in medicine. However, given the possible increase of summer heat waves in the future, and individuals increasing vulnerability, particularly in urban areas, such secondary peaks of mortality will tend to happen more frequently, unless adaptation of populations to hotter conditions takes place and/or measures are taken to protect people from high temperatures.

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### Introduction

The influence of weather on human health has been recognized as early as the 5th–4th century BC by Hippocrates. The current discussion on climate change, particularly the global warming issues and the foreseen possible negative impacts on public health, has caused a renewed interest in these topics (Huang et al., 2011; McMichael & Lindgern, 2011; Woodward et al., 2014). Some publications suggest that extreme weather events, such as heat waves, are (and will be) one of the main causes of high mortality peaks

(Intergovernmental Panel on Climate Change [IPCC], 2012, 2013; Johnson & Wilson, 2009; Kovats & Hajat, 2008; Waylen, Keellings, & Qiu, 2012), e.g. the 2003 European heat wave and the 2010 Russian heat wave that resulted in tens of thousands of deaths (Robine, Cheung, Le Roy, Van Oyen, & Herrmann, 2007). Hajat and Kosatky (2010) studied 64 sites in different geographic settings and have shown that “in almost half the locations, the risk of mortality increased between 1% and 3% per 1 °C change in (...) temperature”. This study has been carried out mainly in urban areas that house 51.6% of world population; 10.2% of the urban population is over 60 years of age (United Nations [UN], 2013) and hence particularly vulnerable to extreme weather events (European Environment Agency [EEA], 2012). Moreover, the urban heat island effect enhances the temperature rise (Alcoforado & Andrade, 2008; Barata et al., 2011; Merbitz, Buttstädt, Michael, Dott, & Schneider, 2012). A recent temperature increase has been detected in most continental areas of the Northern hemisphere (IPCC, 2013) and it is expected that mortality will increase with progressive warming of the

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atmosphere (Almeida, Casimiro, & Calheiros, 2010; Hajat, Vardoulakis, Heaviside, & Eggen, 2014). Moreover, according to Casimiro, Calheiros, Santos, and Kovats (2006), mortality caused by high temperatures in Lisbon (Portugal) will increase from 5.8 to 6 deaths per 100,000 inhabitants (in 1980–88) to 8.5–12.1 in 2020 and to a maximum of 29.5 in 2050, if no adaptation takes place. According to Hajat and Kosatky (2010) “measures designed to minimize heat islands in cities, to keep the interiors of buildings cool and to improve the general care of the elderly are likely to play a key role in reducing future heat burdens”.

On the contrary, other authors state that there is insufficient evidence that climate change (more precisely global warming) has been affecting human health (Deschenes, 2012; Dessai & Hulme, 2004; Ebi, 2014; Harlan & Ruddell, 2011; McMichael & Lindgren, 2011; Wardekker, Jong, Bree, Turkenburg, & Sluijs, 2014). According to Deschenes (2012), the empirical identification of the response function linking extreme temperatures to health is difficult due to the nonlinearity and heterogeneity of the response functions. These can reflect complex relationships, misconstructions due to omitted variables bias, and secular or seasonality changes over time and across countries. Furthermore, very little is known about the health-preserving effects of adaptation in response to extreme temperatures.

Carson, Hajat, Armstrong, and Wilkinson (2006) showed that in London “there was a progressive reduction of temperature related deaths over the 20th century, despite an ageing population”. The authors conclude that this trend reflects more favourable environmental, social and health care conditions. In accordance with the latter, Goklany (2009) states that the decreasing trend of mortality in the U.S. is mostly related with the reduction of vulnerability and Barreca, Clay, Deschenes, Greenstone, and Shapiro (2013) found “that the mortality effect of an extremely hot day declined by about 80% between 1900–1959 and 1960–2004” in the U.S., partly due to the use of domestic air conditioning.

Furthermore, the relationship weather/mortality is not stable in time and depends also on the seasons. Any research on this topic must look into the seasonality of mortality rates and to compare

them with changing temperature rates. Rau (2007) showed that mortality seasonality changes in place and in time. Since the mid 19th century a Winter maximum has occurred in most North-European and North-American countries. In half a century (between 1870 and 1920) this seasonal pattern changed in the U.K. into a Winter peak and a Summer low (Rau, 2007). This shift in such a short period is most probably due to a “considerable improvement in hygiene which almost completely eradicated intestinal diseases” (Rau, 2007:30–31) and not necessarily to climate change alone.

Lisbon is located at 38°43′ latitude N and it lies on a hilly area on the northern bank of the Tagus estuary, 30 km to the east of the Atlantic (Fig. 1). Its climate is ‘Mediterranean’, with a dry Summer and rainfall occurring mostly in the cold season. Throughout the 20th century the social, economic and demographic changes were particularly significant in consequence of a fast moving urbanization process.

The main objectives of this paper are: (i) to retrieve mortality and climatic data of the early 19th century in Lisbon; (ii) to compare the seasonal rhythm of mortality and climatic variables over time and (iii) to look for causal relationships between climate and mortality and investigate if they have changed over time.

Data is described in the next section, followed by the methodology selected to assess seasonality of mortality and temperature trends throughout time and by the Results Section. The results are analyzed in Discussion Section and the main conclusions are presented in the last section.

## Data and methods

Meteorological and mortality data pertaining to the early 19th century were compiled by Marino Miguel Franzini, a military, scientist and politician who restarted meteorological observations in Lisbon in the early 19th century, at the request of Bernardino Gomez, a doctor who strived to understand why the seasonal patterns of mortality in Portugal (attaining then a maximum in Summer) were different from those of Belgium and other countries of Northern and Western Europe, where a maximum in mortality occurred in Winter (Franzini, 1842). These old data were brought to

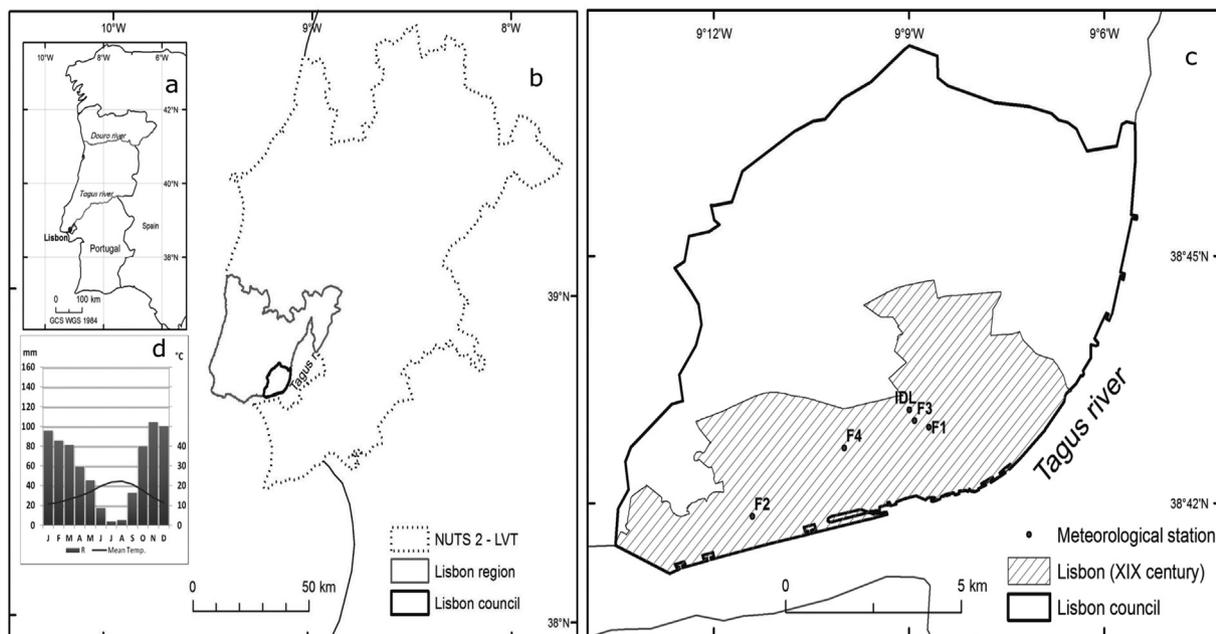


Fig. 1. Lisbon: Mortality data spatial units (a and b), meteorological station sites (c) and monthly temperature and precipitation chart (d). See also text and Table 2.

**Table 1**  
Lisbon mortality data: spatial coverage and sources.

Period	Spatial units	Source
1835–1841	7 parishes (including 5 urban parishes)	RUL/JMS Periodical Publications (Franzini)
1843		RUL/JMS
1845		RUL
1849		RUL/LAS
1855		DG
1857		DG
1887–1896	Lisbon County	Statistical Publications (INE)
1901–1910	Lisbon Council	
1913–1925	Lisbon County	
1929–1987		
1988–1989	Lisbon Region	
1990–1995	NUTS2 – Lisbon and Tagus Valley	
1996–2012	Lisbon Region	

RUL: *Revista Universal Lisbonense*; JMS: Journal of Medical Sciences; LAS: Lisbon Academy of Sciences; DG: Diary of Government; INE: Statistics Portugal.

light during the project KLIMHIST: Reconstruction and model simulations of past climate in Portugal using documentary and early instrumental sources (17th–19th century, <http://clima.ul.pt/klimhist-project>).

#### Mortality data

Franzini collected mortality data from Lisbon's parishes between 1835 and 1857 (albeit with some gaps); some of the records were published in newspapers, either technical (Journal of Medical Sciences, Royal Academy of Sciences, Government or general Publications (*Revista Universal Lisbonense*)). The first official records of demographic data in Portugal published by the National Institute of Statistics (INE – Statistics Portugal) date back to 1887. However, some gaps in the mortality data still remain despite the official systematization of data collection in the early twentieth century. Nevertheless, it was possible to compile 129 complete years of monthly mortality data, starting in 1835. This can be regarded as an illustrative and reliable data base of mortality distribution, once the aim of this work is not to calculate a mathematical trend for all the analysed period.

Nonetheless, mortality data do not always pertain to the same geographical area, which can range from small administrative units (parish) to large statistical units, such as the *Nomenclature of Territorial Units for Statistics* (NUTS) (Table 1; Fig. 1). In order to overcome the absence of exact spatial coincidence of mortality data throughout the series and to allow comparisons of seasonality in time, data were standardized and comparative tests were carried

out in years for which data from different spatial units pertained to the same period of time (e.g., 1887, 1997).

Fig. 2 shows that the seasonal rhythm is similar in each period, regardless of the unit considered (NUTS2 Lisbon and Tagus Valley – LTV, Lisbon Region and Lisbon Council), attesting that these spatial differences do not hinder the current research: in 1997, not only the differences are very small (maximum of 0.6%), but also the seasonal rhythm is exactly the same with a pronounced Winter peak. In 1887, the curves are also comparable, although with higher values of mortality in Summer and Autumn (differences lower than 1%).

#### Climatic data

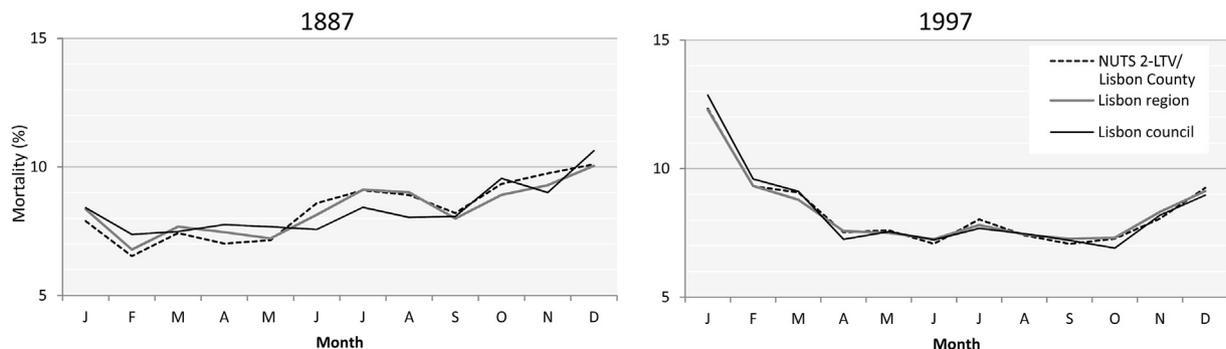
There were hardly any meteorological observations in continental Portugal during the two decades that followed the early ones of the 1770s to the 1790s (Alcoforado, Vaquero, Trigo, & Taborda, 2012). Marino Miguel Franzini's meteorological observations started in December 1815. His instruments, including their graduation scales, were carefully built and described as were the locations of the four Lisbon stations (Fig. 1c and Table 2). Data from the first two years (December 1815–December 1817) were published in the Memoirs of the Lisbon Academy of Sciences (Franzini, 1817, 1821).

From 1818 until 1826 and from 1835 until 1854 meteorological data were divulged in journals and newspapers (Table 2), together with data on mortality in some of Lisbon parishes. Two series of monthly meteorological data were compiled: 1815–1826 and 1835–1859 and the preliminary homogeneity analysis of monthly mean temperature series (Pettitt Test, Standard Normal Homogeneity Test – SNHT, Buishand Test, Neumann Test) showed that the two series are not statistically different (Table A.1).

Between 1826 and 1835 there was a civil war in Portugal in which Franzini was involved; the war disrupted scientific research and it is the reason why there is a gap in the mortality data in that period. Official meteorological observations began in Lisbon in December 1854 at the *Instituto Geofísico D.Luís* (IDL) of the University of Lisbon, not far from two Franzini's stations (F1 and F3, Fig. 1, Table 2).

#### Mortality time patterns

The “100-Index” and Winter-Summer Ratio were deemed appropriate (e.g. Rau, 2007) to assess mortality seasonality and its changes over time (year and season). In fact, these indexes are used not only in historical demography surveys (Wrigley et al., 1997, cited in Rau, 2007), but also in contemporary mortality studies (Fargues & Nassour, 1992, cited in Rau, 2007). “In 1912, Lucien March calculated an index for which he standardized the annual number of deaths to 1000” (Rau, 2007:40). Some recent studies



**Fig. 2.** Comparison of seasonal rhythm of mortality in Lisbon in 1887 and in 1997, for different spatial units (Monthly percentage of yearly mortality). Data source: see Table 1.

**Table 2**  
Lisbon meteorological data from 1815 until present (see also Fig. 1c).

Period	Station location in Lisbon	Source
1815 (December)	F1 – S. Pedro de Alcântara	Franzini's Meteorological Observations (Periodical publications)
1816–1820		
1821–1825	F2 – R. Cordoaria da Junqueira	
1826 (January to July)		
1827–1834	Gap	
1835 (March to December)	F3 – Patriarcal Queimada	
1836–1843		
1844 (January to August)		
1847 (December)	F4 – Calçada das Necessidades	
1848–1853		
1849		
1850		
1854 (December)	F4 and IDL	Franzini data, IDL publications and ECA & D
1856–1859		
1860–2012	IDL	IDL publications and ECA & D

Fx: Franzini's stations; IDL: Dom Luiz Institute (WMO Station Code: 8535); ECA & D: European Climate Assessment & Dataset.

have replaced the radix 1000 by 1200. “Thus, the expected number for each month in a uniform distribution is 100 which makes it more apprehensible for users of the decimal system” (Rau, 2007). The “100-index” ( $I_{100}$ ) assumes that the annual value is equivalent to 1200, *i.e.* in a non-seasonal series the  $I_{100}$  monthly value would be 100.  $I_{100}$  is calculated as shown in Equation (1):

$$I_{100} = \frac{X_i}{X_{annual}} \times 100 \quad (1)$$

where:

$I_{100}$  – 100-index (mortality) of month  $i$ ;  
 $X_i$  – Mortality of month  $i$   
 $X_{annual}$  – Mean Annual mortality

The ratio between data of each month and the annual mean indicates if that specific month has recorded higher ( $I_{100} > 100$ ) or lower ( $I_{100} < 100$ ) values than would be expected in a non-seasonal series.

The Winter-Summer Ratio (W/S) allows the comparison of seasonal mortality over time, using Equation (2):

$$W/S = \frac{\sum_{i=DEC}^{MAR} D_i}{\sum_{j=JUN}^{SEP} D_j} \quad (2)$$

where:

W/S – Winter-Summer Ratio;  
 $D_i$  – Winter mortality (December, January, February and March);  
 $D_j$  – Summer mortality (June, July, August and September).

When the ratio is higher than 1, Winter mortality ( $D_i$ ) is higher than Summer's one ( $D_j$ ), and vice-versa. Based on the literature (Falagas et al., 2009; Office for National Statistics [ONS], 2013), March was integrated in the Winter period (December–March) and September in the summer period (June–September). This decision was based on the typical climatic conditions of Mediterranean climate: in some years, extreme temperatures occur in these months, frequently associated with extreme events such as cold spells (in March) or heat waves (in September) that can cause a mortality excess. Moreover, the mean air temperature in September in Lisbon (20.8 °C) is higher than in June (19.8 °C). The inclusion of

this month in the Summer period is also important due to the “September phenomenon in Mediterranean countries” (Falagas et al., 2009), *i.e.* the fact that September has the lowest mortality of the Summer period, provided that no heat waves occur in that month.

Summary statistics were further carried out to quantify W/S and  $I_{100}$  changes throughout time: the W/S average and the  $I_{100}$  standard deviation by decade (Table A.2). Furthermore, Winter and Summer percentages of mortality were computed for each group of years, from the 19th century onwards, and are shown in Table A.3.

#### Climatic time patterns

Several studies have shown that temperature is one of the main climatic factors that influence mortality (Anderson & Bell, 2009; Basu, 2009; D'Ippoliti et al., 2010; Guirguis, Gershunov, Tardy, & Basu, 2014; Hajat & Kosatky, 2010; Leone et al., 2013); therefore, Mann–Kendall tests (Kendall, 1975; Mann, 1945) were applied to the temperature series of Lisbon's meteorological station (IDL, 1856–2012, Table 2, Fig. 1) in order to determine the presence of a trend (Rau, 2007; Sousa, García-Barrón, & Jurado, 2007). Mann–Kendall tests are widely used in climatological data series trend analysis (Mavromatis & Stathis, 2011) because they are non-parametric tests and hence have low sensitivity to abrupt breaks in non-homogeneous time series (Tabari, Marofi, Aein, Talae, & Mohammadi, 2011). Daily maximum, minimum and mean temperature series went through the common quality control procedures and through several homogeneity tests (ECA & D project). Two kinds of Mann–Kendall tests were used: the classic trend test and seasonal Mann–Kendall test, using XLSTAT software (a 0.05 level of significance was set for both tests).

Classical Mann–Kendall trend tests were performed for every month, throughout the period 1856–2012; series of mean, maximum and minimum temperatures, as well as extreme maximum (minimum) temperatures, *e.g.* the highest (lowest) temperature value within one month were analysed (Table A.4). Additionally the same test was run for the Summer season (not shown).

Seasonal Mann–Kendall tests accounts for seasonality by computing the test on a number of “seasons” defined by user and combining the results afterwards. January data will be compared only with January data, February with February, etc. In this analysis, month was defined as “season” and positive trends were found for maximum, minimum, mean and extreme maximum and minimum temperatures for the period 1856–2012 (Table A.5).

Concurrently and with the aim to analyse the variation of temperature extremes in Lisbon between 1835 and 2010, the

frequency of days with particular air temperatures (Espírito Santo, Lima, Ramos, & Trigo, 2014) was computed by decade (Table A.6) and for particular years (Table A.7), namely: *Hot Days* (TX25: maximum  $>25$  °C), *Very Hot Days* (TX35: maximum  $>35$  °C), *Tropical Nights* (TN20: minimum  $>20$  °C), *Frosty Nights* (minimum  $<0$  °C), as well as the number of *Cold Nights* (minimum  $<5$  °C).

## Results

Overall mortality has been decreasing since the end of the 19th century and infant mortality in particular has decreased even faster. In the late nineteenth century (1887), Lisbon's Council mortality rate was 25.69‰, but infant mortality rate reached 235.2‰. In 1960 overall mortality had diminished to 10.5‰ (and infant mortality to 45.6‰); finally by 2013 mortality rates had dropped to 3.4‰ and infant mortality had further decreased to 3‰ (INE, 2013).

We did not calculate the mortality trends since 1835, due to the gaps in our data between 1858 and 1886. The results would have been biased. However, in order to analyse if Summer mortality had any trend in recent decades (1980–2012) the classical Mann–Kendall test was performed. As the computed p-value (0.379) is greater than the significance level  $\alpha = 0.05$ , the null hypothesis  $H_0$  (no trend in the series) is not rejected.

However, the seasonality of mortality changed throughout time. In the beginning of the 19th century, the peak of mortality occurred in Summer as opposed to what happened in the Northern European countries. In 1842, Franzini published data on mortality in Lisbon and in Belgium (Franzini, 1842). He compares mortality data from several parishes in Lisbon for the period 1837–1841 and for 10 years for “all cities in Belgium” as well (although Franzini does not name his source, nor does he identify the 10 year period). In order to show the difference in the seasonality of mortality in Portugal and in Belgium (Fig. A.1), we have calculated  $I_{100}$  index for Belgium and for the parishes Belem and Ajuda. The highest mortality in Lisbon in late Summer is clearly opposed to the Winter peak in Belgium.

The ratio between Winter and Summer mortality (W/S) has increased from mid-19th century onwards, although in a somewhat irregular way and with great inter-annual variability (Fig. 3). Until the beginning of the 20th century, mortality is most frequently higher in Summer than in Winter. As indicated in Table A.2, in the 19th century the values are lower than 1 (in the period of 1835–1860 the average was 0.92), showing that Summer mortality

is higher than the Winter one. In the first decade of the 20th century, despite some years with W/S lower than one, the W/S average increased to 1.2, in what appears to be the beginning of the predominance of mortality during the Winter period (modern seasonal mortality pattern). The second-half of 20th century shows an increase of mortality during the Winter period with average W/S values around 1.3–1.4, more marked in the 1961–1970 and 1991–2000 decades. W/S was very high in 1918, due to the “Spanish flu” that increased considerably the number of deaths in Autumn and in Winter.

The  $I_{100}$ , displayed in Fig. 4 for successive decades, confirms and provides more details about the seasonal and monthly variability of mortality. Fig. 4a shows data from the mid-19th century collected by Franzini and the Summer/Autumn maximum versus the Winter/Spring minimum are apparent. Although average temperatures continue to peak in July and August (Fig. 4b), towards the end of the 19th century mortality values vary little throughout the year. As time progresses maximum mortality shifts towards Winter, particularly after the 1940s, and a Summer minimum is clear. The standard deviation of mortality  $I_{100}$  is the highest after the decade 1951–60 (Table A.2, with values between 15.3 and 17.4), while at the end of the 19th century the same parameter was 9.9, confirming that monthly mortality variability was smaller then.

In fact, the difference between Winter and Summer mean mortality percentage is lower than 3% (Table A.3) in the 19th century. Throughout the studied period, the relative importance of mortality in the Winter months increases 18% when compared with the 1st half of 19th century, whereas Summer mortality decreases 12% (Table A.3). Such evolution is also clear on a decadal basis (Fig. 4c and following ones).

The application of Mann–Kendall tests to the Lisbon's series (1856–2012) shows a positive trend for every temperature series (mean, maximum, minimum and maximum and minimum extreme temperatures) and every month and season (Table A.4 and A.5). The analysis of frequencies of maximum and minimum temperature extremes by decade in Lisbon (1839–2010) also shows that the 1940s was the warmest decade with the highest TX25 (1101 days) and TX35 (56 days) observed. An increase in the number of days with TX25, TX35 and particularly in the TN20 is evident since the 1980s (minimum temperature may still be increased due to urban heat island effect). On the other hand the number of frosty and cold nights (minimum  $<5$  °C) have greatly decreased (Table A.6).

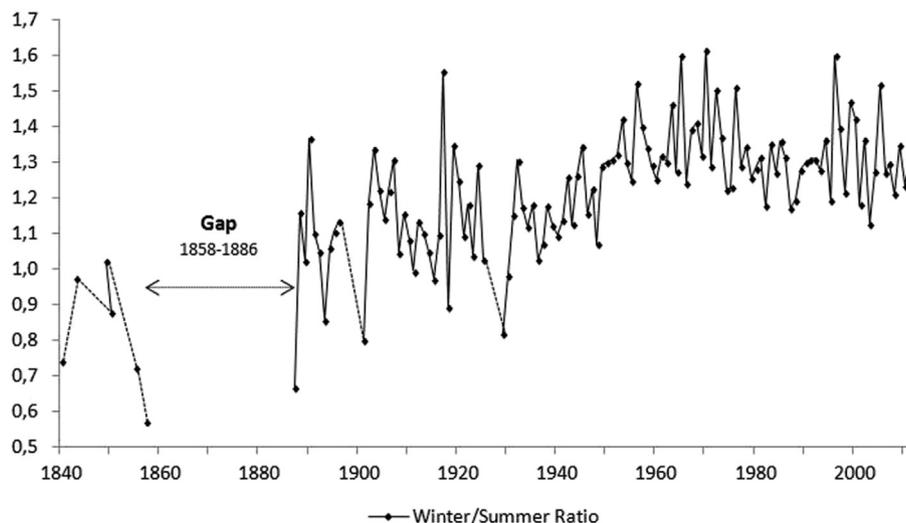
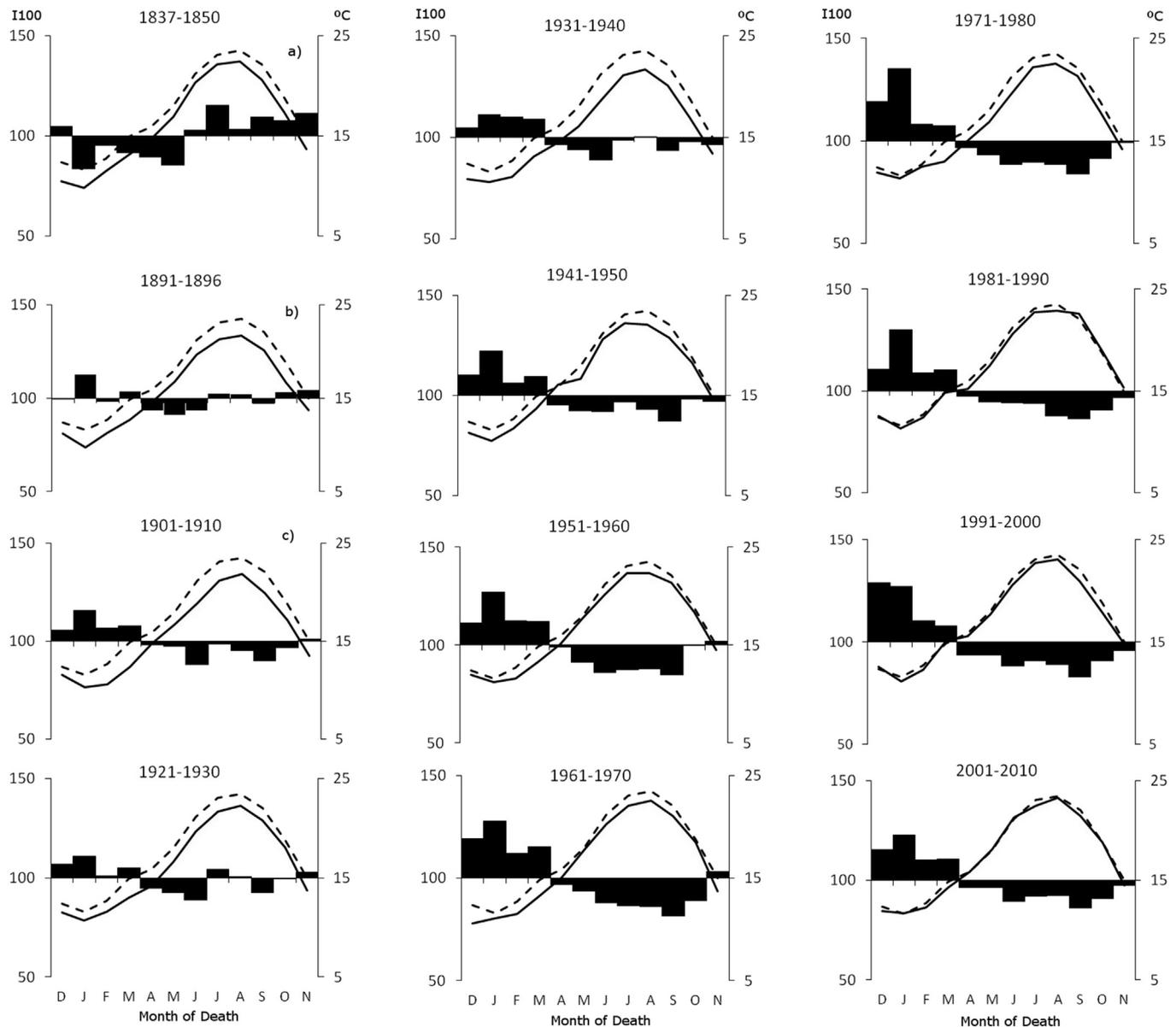


Fig. 3. Winter/Summer ratio of mortality in Lisbon (1840–2012). Data source: see Table 1. Solid line: no gaps; dashed line: gaps between yearly data.



**Fig. 4.** Seasonal mortality ( $I_{100}$ ) and mean monthly temperature in Lisbon per decade (1837–2010). Bars:  $I_{100}$  mortality; black line: mean temperature for each period in IDL- Lisboa/ Geofisico meteorological station ( $^{\circ}\text{C}$ ); dotted black line: mean temperature for the reference period 1981–2010 in the same station ( $^{\circ}\text{C}$ ). Left Y Axis –  $I_{100}$ , Right Y Axis:  $^{\circ}\text{C}$ . Sources: see Tables 1 and 2.

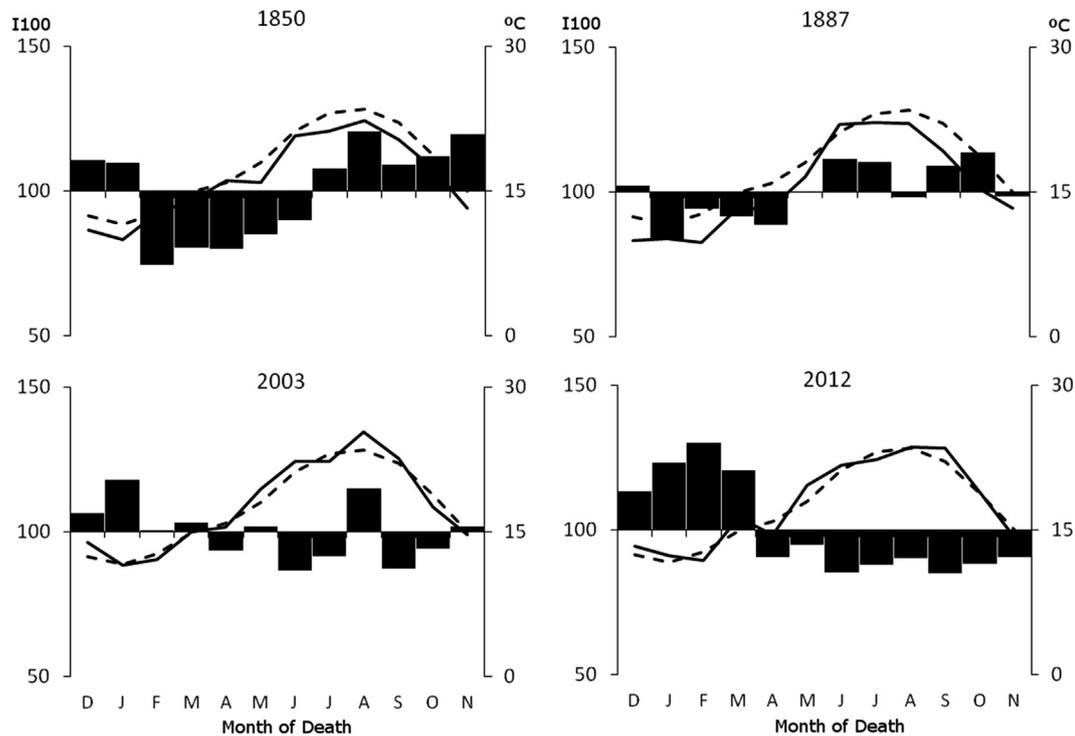
Notwithstanding this general evolution, some years may present a particular pattern of seasonal variability. Fig. 5 shows some years with different patterns of seasonal mortality, although the maximum temperature occurs always in July or August. 1850 is a typical year of the 19th century with maximum mortality in the Summer. 1887 shows the characteristics of a transition period between the 19th century and the current mortality patterns, whereas 2012 displays a more recent mortality pattern with a peak of mortality during Winter ( $I_{100}$  of monthly mortality clearly higher than 100), regardless of the higher frequency of cold and frosty nights in 1850 or hot days in 2012 (Table A.7). In 2003, besides the Winter mortality peak, a secondary one occurs in August.

This is clear in the graphic for the year 2003, which is bi-modal, as the number of deaths increased in Summer: +395 deaths in August ( $I_{100} = 115.1$ ) in Lisbon most probably due or related to the heat wave (Botelho et al., 2004), as compared with August 2012 ( $I_{100} = 90.2$ ), that had a cool Summer and a “normal” seasonal

mortality pattern. The 2003 heat wave in Lisbon lasted from July 29th until August 17th and was exceptionally hot with fifteen days registering maximum temperatures higher than  $32^{\circ}\text{C}$  (the average maximum temperature in Lisbon in August is  $28.3^{\circ}\text{C}$ ). In short, in a mortality modern pattern, there can be a secondary peak when severe Summer heat-waves occur. In recent years the secondary peak was clear in 2003 and 1981 heat-wave ( $I_{100}$  values  $> 115$ ). At the same time a smaller increase of mortality is identified during other heat-waves (with  $I_{100}$  slightly above than 100), such as July 1991 ( $I_{100} = 100.9$ ), May 2001 ( $I_{100} = 104$ ), July 2004 ( $I_{100} = 100$ ) and July 2006 ( $I_{100} = 100.6$ ).

## Discussion

There was undoubtedly a change of mortality seasonality throughout the last 177 years in Lisbon. In the 1850s the mortality peaked in the late Summer. According to Franzini, in Summer the



**Fig. 5.** Seasonal Mortality ( $I_{100}$ ) and mean monthly temperature in Lisbon in particularly years. Bars:  $I_{100}$  mortality; black line: mean temperature for each period in IDL-Lisboa/Geofísico meteorological station ( $^{\circ}\text{C}$ ); dotted black line: mean temperature for the reference period 1981–2010 in the same station ( $^{\circ}\text{C}$ ). Left Y Axis –  $I_{100}$ . Right Y Axis:  $^{\circ}\text{C}$ . Sources: see Tables 1 and 2.

less affluent classes consumed bad fruits, which induced serious gastric problems and fevers, which were also “due to exhalation of harmful gases that the intense heat and the very dry air produce in the low and swampy lands ...” (Franzini, 1842:493). At the end of the 19th century, mortality was quite uniform throughout the year and in the 20th century there was an increase in the Winter/Spring mortality. Omran (2005) summarized these changes by developing the theory of epidemiological transition that explains changes in patterns of health and disease based on interactions between these patterns and their demographic, economic and sociologic determinants and consequences.

Our results show that Portugal exhibited a “delayed” mortality pattern since the Summer peak of mortality still occurred in the mid-19th century, as opposed to what happened in most northern countries, such as England, Estonia and parts of France (Rau, 2007), where the transition occurred in the 17th and 18th centuries and southern countries, such as Italy, Spain and southern France, that had a Summer maximum mortality until the 18th century (Rau, 2007).

Several authors have shown the direct relationships between temperature and mortality (Hajat et al., 2014; Harlan & Ruddell, 2011). As mentioned above, there was a significant positive trend of monthly maximum, minimum and mean temperature both on a yearly basis and by month (Table A.4 and A.5). Furthermore, the number of hot and very hot days and tropical nights has been increasing since mid 19th century and the number of cold and very cold nights has been diminishing (Table A.6). This could have increased Summer mortality and decreased the number of Winter deaths; we have shown that this was not the case. Therefore the main causes of these changes do not appear to be solely climatic.

During the 20th century, Portugal became an industrialized and urbanized country; this modernization process had important consequences in the decline of mortality rates (Nadal, 1988). Modernization brought about a change in mortality type from

deaths of children due to transmissible, infectious pathologies (in Summer) to deaths of older people due to non-transmissible pathologies (in Winter) (Nazareth, 1996; Omran, 2005; Rau, 2007). In fact, until the beginning of the 20th century there was a high mortality rate of children up to 5 years of age, due to illnesses like gastroenteritis and enterocolitis that peaked in the Summer (Barbosa & Godinho, 2001); these facts reveal the poor hygienic-sanitary infrastructures and the social and economic underdevelopment. As the Lisbon’s swamps were dried, the malaria hazard decreased; this probably helped to accelerate the course of the epidemiological transition, by decreasing Summer mortality.

The “Summer” pattern gave way to the “Winter” one, characterized by deaths affecting mainly the elderly and largely caused by chronic and degenerative diseases. Donaldson and Keatinge (2002) and Lowen, Mubareka, Steel, and Palese (2007) state that influenza cannot explain Winter excess mortality in warmer Winters, as the virus transmission is boosted in colder weather as more people tend to gather indoors.

However, as mentioned above, a secondary Summer peak of mortality may occur associated with more intense heat waves. Assuming IPCC (2012, 2013) projections concerning the future increase of heat waves frequency, particularly in southern Europe, annual and even decadal mortality seasonal pattern may change again and become bi-modal, peaking in Winter and in Summer.

In this case, the causes of death will be strongly related to weather and climate, unless prevention and emergency plans (Nogueira & Paixão, 2008), that already prevent many deaths, may be further improved and adapted to the various population groups with different vulnerabilities to extreme heat.

The urban heat island effect (Alcoforado & Andrade, 2008; Alcoforado, Lopes, Alves, & Canário, 2014; Johnson & Wilson, 2009) and human activities may further increase high

temperature and poor air quality hazards in the cities; moreover urban areas concentrates vulnerable population (from the economic, social and cultural points of view). As a result, risk of mortality is increased in urban areas (Canário, 2010; Johnson, Stanforth, Lulla, & Luber, 2012). As risk varies a lot spatially, several studies are being developed to assess “place-based risk” (Hattis, Ogneva-Himmelberger, & Ratick, 2012; Johnson et al., 2012; Johnson & Wilson, 2009; Waylen et al., 2012). An ongoing study of mortality per civil parish during heat waves in Lisbon’s Metropolitan Area, which includes several cities (Andrade, Nogueira, & Canário, 2012; Canário, 2010), has showed a large spatial diversity of mortality patterns related with age, health, living conditions, unemployment and poverty.

## Conclusion

This study shows a definite modification of mortality seasonality throughout the last one and a half centuries in Lisbon and strongly suggest that the cause of seasonal mortality is not due to climate change alone.

In the 1850s, mortality peaked in late Summer and at the end of the 19th century it remained quite uniform throughout the year, whereas in the 20th century there was a relative growth of Winter/Spring mortality. In Portugal, the transition from Summer to Winter mortality occurred in the late 19th and beginning of the 20th centuries, contrasting with most European countries where the transition occurred earlier in time. The cause of this difference is probably related to the circumstance that demographic and socioeconomic changes, better hygienic, sanitary and nutrition conditions and advances in medicine occurred later in Portugal than in other western European countries.

Although there is a significant positive trend of monthly maximum temperature, which might be considered a cause for possible increase of Summer mortality, no Summer mortality trend was found in Lisbon in the last three decades.

Nevertheless, in years of maximum mortality in Winter, a secondary Summer mortality peak occurs occasionally in connection with intense heat waves. As the IPCC projects an increase of heat wave frequency in southern Europe and as urban population is increasing, it is possible that annual and even decadal mortality seasonal pattern may become bi-modal, peaking in Winter and in Summer. In this case, prevention and emergency plans should be further improved and adapted in order to deal with the population’s vulnerability to extreme heat.

## Acknowledgements

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## Appendix A. Supplementary data

Supplementary data related to this article can be found at <http://dx.doi.org/10.1016/j.apgeog.2014.12.017>

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## Appendix

### **Weather and climate versus mortality in Lisbon (Portugal) since the 19th century**

Maria João Alcoforado, David Marques, Ricardo Garcia, Paulo Canário, Fátima Nunes,  
Helena Nogueira and Ana Cravosa

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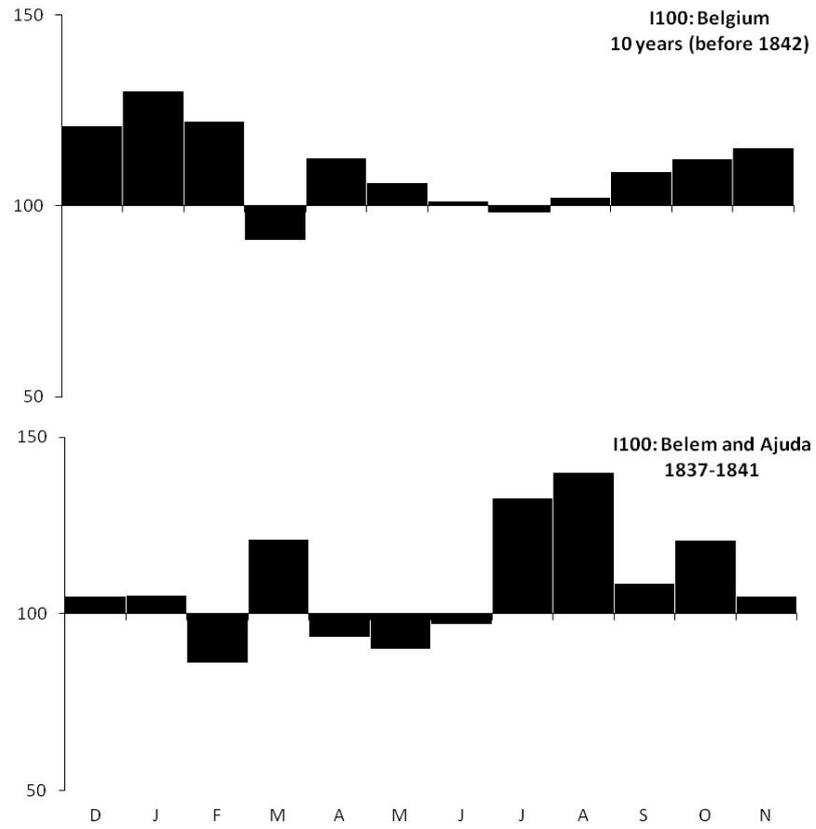
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**Figure A.1** “100 index” for 10 cities in Belgium and for the parishes of Belem and Ajuda (Lisbon- 1837-1841). No time period available for the Belgium cities. Data source: Franzini (1842).

**Table A.1** Homogeneity tests of mean monthly temperature from two Lisbon temperature series (1815-1826 and 1835-1859). Data collected by Franzini. Data sources: see Table 2.

Months	Pettitt Test	Standard Normal Homogeneity Test (SNHT)	Buishand Test	von Neumann Test
Jan	0.33	0.76	0.65	0.79
Feb	0.65	0.45	0.41	0.56
Mar	0.62	0.44	0.32	0.59
Apr	0.25	0.43	0.22	0.29
May	0.04*	0.06	0.06	0.01*
Jun	0.94	0.72	0.82	0.82
Jul	0.62	0.32	0.53	0.50
Aug	0.10	0.25	0.21	0.19
Sep	0.12	0.43	0.16	0.35
Oct	0.59	0.79	0.65	0.65
Nov	0.56	0.37	0.57	0.39
Dec	0.82	0.97	0.97	0.98

Note: p-value <0.05 for all tests except when marked \*( $H_0$  not rejected)

**Table A.2** Mortality in Lisbon during the period 1837-2012: Winter/Summer ratio (W/S) average and  $I_{100}$  standard deviation by decades. Data source: see Table 1.

Decades	Average W/S	Standard Deviation $I_{100}$
1837-1860	0.9	11.3
1891-1896	1.1	9.9
1901-1910	1.2	10.8
1921-1930	1.1	12.6
1931-1940	1.1	9.6
1941-1950	1.2	12.1
1951-1960	1.3	15.3
1961-1970	1.4	16.8
1971-1980	1.3	16.3
1981-1990	1.3	15.3
1991-2000	1.4	17.4
2001-2020	1.3	13.1

**Table A.3** Mean percentage of seasonal mortality in Lisbon. Winter (Dec-Mar), Summer (Jun-Set). Data source: see Table 1.

Period	# years	Mean Mortality Percentage	
		Winter	Summer
19th century - 1st half (a)	8	32.7	33.8
19th century - 2nd half	9	35.2	32.4
20th century - 1st half	42	35.8	31.0
20th century - 2nd half	50	39.0	29.2
Beginning of 20th century (b)	12	38.5	29.8
Beginning of 20th century (without heat-waves years) (c)	9	39.2	29.6
Range (%) (b/a)		17.7	- 11.8
Range (without heat-waves years) (%) (c/a)		19.9	- 12.4

**Table A.4** Monthly Mann-Kendall trend tests for maximum, minimum and mean temperature (Lisbon- 1856-2012). Data source: see Table 2.

<b>Mann-Kendall Statistics</b>				
<b>Month</b>	<b>Max. temp.</b>	<b>Min. temp.</b>	<b>Mean temp.</b>	<b>Positive trend</b>
Jan	7008	3789	4807	Yes
Feb	4864	2975*	3566	Yes
Mar	4522	5392	4843	Yes
Apr	3625	2890*	3229	Yes
May	3361	2811*	3251	Yes
Jun	3321	2805*	3063*	Yes
Jul	3686*	2586**	3028*	Yes
Aug	3725	2863*	3947	Yes
Sep	4604	3085	4576	Yes
Oct	4622	3850	4965	Yes
Nov	4465	3126	3813	Yes
Dec	5779	4342	4751	Yes

Note: p-value <0.0001 for all tests except when marked \* (p-value<0.001) or \*\* (p-value<0.002).

**Table A.5** Annual Mann-Kendall trend tests for several temperature parameters (Lisbon- 1856-2012). Data source: see Table 2.

<b>Response variable</b>	<b>MK statistic</b>	<b>p-value</b>	<b>Positive trend</b>
Maximum temperature	1791	0.0060	Yes
Average temperature	5864	<0.0001	Yes
Minimum temperature	3689	<0.0001	Yes
Extreme maximum temperature	2384	0.0017	Yes
Extreme minimum temperature	3884	<0.0001	Yes

**Table A.6** Frequencies of maximum and minimum temperature extremes by decade (Lisbon 1839-2010). Data source: see Table 2.

Decades	TX25	TX35	TN20	TN<0°C	TN <5°C
1839-1850	609	27	59	8	178
1861-1870	966	15	139	1	147
1871-1880	577	14	74	0	175
1881-1890	579	15	77	4	189
1891-1900	774	17	119	1	113
1901-1910	681	14	106	0	101
1911-1920	717	19	123	0	76
1921-1930	769	31	168	1	85
1931-1940	668	20	61	3	155
1941-1950	1101	56	88	2	149
1951-1960	954	19	62	7	123
1961-1970	966	43	100	0	115
1971-1980	848	15	71	0	66
1981-1990	977	36	161	0	66
1991-2000	938	34	194	0	57
2001-2010	1042	40	217	0	66

**TX25:** Hot days - Number of days with daily maximum temperature >25°C; **TX35:** Very hot days - Number of days with daily maximum temperature >35°C; **TN20:** Tropical nights - Number of days with daily minimum temperature >20°C; **TN<0°C:** Frosty nights - Number of days with daily minimum temperature <0°C; **TN<5°C:** Cold nights - Number of days with daily minimum temperature <5°.

**Table A.7** Frequencies of maximum and minimum temperature extremes in some years in Lisbon. Data source: see Table 2.

Decades	TX25	TX35	TN20	TN <0°C	TN <5°C
1850	88	6	15	4	17
1887	75	0	17	3	21
2003	106	9	36	0	8
2012	122	5	17	0	6

**TX25:** Hot days - Number of days with daily maximum temperature >25°C; **TX35:** Very hot days - Number of days with daily maximum temperature >35°C; **TN20:** Tropical nights - Number of days with daily minimum temperature >20°C; **TN<0°C:** Frosty nights - Number of days with daily minimum temperature <0°C; **TN<5°C:** Cold nights - Number of days with daily minimum temperature <5°.