



# ABERRANT PROMOTER HYPERMETHYLATION OF AHR GENE IN HUMAN GLIOMAS

METHYLATION STATUS ANALYSIS AND CLINICOPATHOLOGICAL SIGNIFICANCE

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*Marta Sofia Carranca Barbosa*

Tese apresentada à Universidade de Évora  
para obtenção do Grau de Doutor em Biologia

ORIENTADORES:

*Professor Doutor Javier Sáenz de Santamaría  
Professora Doutora Áurea Gómez Durán  
Professor Doutor Fernando Capela e Silva*

ÉVORA, SETEMBRO 2014



INSTITUTO DE INVESTIGAÇÃO E FORMAÇÃO AVANÇADA









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*As long as our brain is a mystery, the universe, the reflection  
of the structure of the brain, will also be a mystery.*

**Santiago Ramón y Cajal (1852-1934)**

Spanish pathologist, histologist, neuroscientist  
and 1906 Nobel Laureate for Physiology or Medicine



*To my beloved nephew and godson,  
Tiago*



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Last, but not least, my nephew Tiago, to whom I dedicate this thesis with all my love and affection. You are my greatest source of strength and happiness that allows me to overcome the "*fears in the belly*" of life.

Marta Barbosa



**Título: Hipermetilação aberrante do promotor do gene AHR em gliomas humanos: análise do estado de metilação e significado clinicopatológico****RESUMO**

O silenciamento epigenético de genes supressores de tumores desempenha um papel importante na tumorigénese humana. Embora alguns estudos sugiram um possível papel do gene AHR no desenvolvimento dos gliomas, o mecanismo envolvido é ainda desconhecido. Recentemente verificou-se que o gene AHR se encontra epigeneticamente subregulado em doenças hematológicas malignas devido à hipermetilação do seu promotor.

De modo a desvendar o papel do AHR no glioma humano, o estado de metilação do promotor do AHR foi analisado, por MSP, numa série de 188 gliomas, e foi efectuada análise estatística para investigar a existência de associação entre o estado de metilação do AHR e os parâmetros clínico-patológicos dos pacientes.

O promotor do AHR encontra-se frequentemente hipermetilado, em 46,8% dos casos de glioma, mas não no tecido normal cerebral. Nos astrocitomas difusos, oligodendrogliomas e glioblastomas o promotor do AHR encontra-se hipermetilado em 36%, 35,1% e 55,5% dos casos, respectivamente. Não se detectou hipermetilação nos casos de astrocitomas pilocíticos. Foram encontradas associações significativas entre a hipermetilação do AHR e a idade dos pacientes e o grau histológico do tumor.

Em geral, os resultados mostram que a hipermetilação do promotor do gene AHR é um evento frequente, que é específico das células tumorais e que ocorre na fase inicial do desenvolvimento dos gliomas. Apoiam a hipótese de que o AHR poderá agir como um gene supressor de tumor e que a hipermetilação do DNA poderá desempenhar um papel importante na inactivação funcional do AHR nestes tumores. Assim, o estado de metilação do promotor do AHR poderá representar um biomarcador útil para a detecção precoce e diagnóstico em pacientes com glioma e, portanto, esta temática deverá ser alvo de mais investigações.

**PALAVRAS CHAVE:**

Receptor Aril Hidrocarboneto, Gliomas, Hipermetilação do DNA, Epigenética, Biomarcador



## Abstract

**Title: Aberrant promoter hypermethylation of AHR gene in human gliomas: methylation status and clinicopathological significance**

## ABSTRACT

Epigenetic silencing of tumor suppressor genes plays important role in human tumorigenesis. Although some studies suggest a possible role of the AHR in the development of gliomas, the mechanism is still unknown. Recently, AHR gene was found to be epigenetically downregulated through promoter hypermethylation in hematological malignancies.

In order to understand the potential role of AHR in human glioma, the AHR promoter methylation status was investigated, by MSP, in a series of 188 gliomas, and statistical analyses were conducted to investigate the association between AHR methylation status and the patient's clinicopathological parameters.

AHR promoter was frequently hypermethylated, in 46.8% of glioma cases, but not in normal brain tissue. Diffuse astrocytomas, oligodendrogiomas and glioblastomas presented AHR promoter hypermethylated in 36%, 35.1% and 55.5%, respectively. No hypermethylation was found in pilocytic astrocytomas. Significant associations were found between AHR promoter hypermethylation and patient age and histological tumor grade.

Overall, results show that aberrant hypermethylation of AHR promoter is a frequent event, is tumor specific and occurs in an early phase in the development of human gliomas. Support the hypothesis that AHR may act as a tumor suppressor and DNA hypermethylation might play an important role in the functional inactivation of AHR in these tumors. Thus, the methylation status of AHR promoter might represent a valuable biomarker for early detection and diagnosis in glioma patients and deserves further investigations.

## KEYWORDS:

Aryl Hydrocarbon Receptor, Gliomas, DNA hypermethylation, Epigenetic, Biomarker



**Título: Hipermetilación aberrante del promotor del gen AHR en los gliomas humanos: estado de metilación y el significado clínicopatológico****RESUMEN**

El silenciamiento de los genes supresores de tumores juega un papel importante en el desarrollo tumoral. Aunque algunos pocos estudios sugieren un posible papel de AHR en el desarrollo de los gliomas, el mecanismo sigue siendo desconocido. Recientemente, se ha encontrado que en neoplasias hematológicas, el gen AHR se encuentra regulado negativamente a través de la hipermetilación de su promotor.

Con el fin de determinar el papel potencial de AHR en glioma humano, se ha investigado el estado de metilación de su promotor mediante MSP en una serie de 188 gliomas y se han analizado estadísticamente los resultados para establecer la asociación entre la hipermetilación del promotor de AHR y las características clínico-patológicas de los pacientes.

El promotor de AHR se encuentra frecuentemente hipermetilado en gliomas, 46.8% de los casos, pero no en tejido cerebral normal. Astroцитomas difusos, oligodendrogliomas y glioblastomas presentan hipermetilación del promotor de AHR en un 36%, 35.1% y 55,5% de los casos, respectivamente. En astrocitomas pilocíticos no se observó hipermetilación del promotor de AHR en ninguno de los casos estudiados. Al mismo tiempo, se evidenció asociación estadísticamente significativa entre la hipermetilación del promotor de AHR y la edad del paciente y el grado histológico del tumor.

En conclusión, los resultados muestran que la hipermetilación aberrante del promotor del gen AHR es un evento frecuente, específico de tumor y que se produce en una etapa temprana en el desarrollo de los gliomas humanos. Los datos obtenidos permiten plantear la hipótesis de que AHR podría actuar como supresor tumoral, y la hipermetilación de su promotor desempeñaría un papel importante en la inactivación funcional de AHR en estos tumores. Por otro lado, el estado de metilación del promotor de AHR podría constituirse como un biomarcador útil en la detección temprana y el diagnóstico en pacientes con glioma, sin embargo, a tal efecto más estudios precisan llevarse a cabo, abriendo así una interesante línea de investigaciones futuras.

**PALABRAS CLAVE:**

Aril Hydrocarbon Receptor, gliomas, hipermetilación del ADN, epigenética, biomarcadores



## ABBREVIATIONS

<b>AHR</b>	Aryl Hydrocarbon Receptor
<b>AHRR</b>	Aryl Hydrocarbon Receptor Repressor
<b>ALL</b>	Acute lymphoblastic leukemia
<b>ARNT</b>	Aryl hydrocarbon receptor nuclear translocator
<b>BBB</b>	Blood brain barrier
<b>BCNU</b>	Carmustine
<b>BRAF</b>	V-raf murine sarcoma viral oncogene homolog B1
<b>CBTRUS</b>	Central Brain Tumor Registry of the United States
<b>CIMP</b>	CpG island methylator phenotype
<b>CNS</b>	Central Nervous System
<b>CSF</b>	Cerebrospinal Fluid
<b>DNA</b>	Deoxyribonucleic acid
<b>DNMT</b>	DNA methyltransferase
<b>EGFR</b>	Epidermal growth factor receptor
<b>FDA</b>	Food and Drug Administration
<b>FFPE</b>	Formalin-fixed paraffin-embedded
<b>GFAP</b>	Glial fibrillary acidic protein
<b>H&amp;E</b>	Hematoxylin-Eosin
<b>IDH</b>	Isocitrate dehydrogenase
<b>LOH</b>	Loss of Heterozygosity
<b>LTBP-1</b>	Latent TGF-beta binding proteins
<b>MCL</b>	Mantle cell lymphoma
<b>MGMT</b>	O(6)-methylguanine-DNA methyltransferase
<b>MMR</b>	Mismatch Repair Gene
<b>mRNA</b>	Messenger Ribonucleic acid
<b>MSP</b>	Methylation Specific Polymerase Chain Reaction
<b>NCCN</b>	National Comprehensive Cancer Network
<b>NF1</b>	Neurofibromatosis type 1
<b>OS</b>	Overall Survival
<b>PCR</b>	Polymerase Chain Reaction
<b>PFS</b>	Progression - free Survival

## Abbreviations

<b>PTEN</b>	Phosphatase and tensin homology
<b>RT</b>	Radiotherapy
<b>TCDD</b>	2,3,7,8-tetrachlorodibenzo-p-dioxin
<b>TGF-β</b>	Transforming Growth Factor Beta
<b>TMZ</b>	Temozolomide
<b>TP53</b>	Tumor Suppressor Protein p53
<b>TRAMP</b>	Transgenic Adenocarcinoma of the Mouse Prostate
<b>TTF</b>	Tumor Treating Fields
<b>VEGF</b>	Vascular Endothelial Growth Factor
<b>WHO</b>	World Health Organization

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## INTRODUCTION

Gliomas are the most common tumors of the human Central Nervous System, accounting for about 65% of primary brain tumors. Gliomas are classified by the World Health Organization (WHO) into four malignancy grades, from I to IV. WHO grade I and II are regarded as low grade gliomas whereas grade III to IV as high grade gliomas.

Glioblastoma is the most common and most aggressive of all human gliomas. At present there are limited therapeutic options for advanced or recurrent glioblastoma and despite multimodal aggressive treatment comprising surgery, radio and chemotherapy, the median survival of glioblastoma patients, after diagnosis, is still approximately 12 to 14 months.

Although histologic evaluation remains the gold standard for glioma diagnosis, diagnostic difficulty may arise from tumor heterogeneity, overlapping morphologic features and tumor sampling. An understanding of the genetic and epigenetic background processes involved in the gliomagenesis is therefore critical for the diagnosis, prognosis and development of rational, targeted therapies.

Epigenetic can be defined as mitotically heritable changes in gene expression that are not due to changes in the primary deoxyribonucleic acid (DNA) sequence. Individually or in combination with genetic mechanisms, epigenetic alterations, such as aberrant promoter hypermethylation, affect the expression of tumor suppressor genes and DNA repair genes, leading to their silencing. A distinguishing feature of epigenetic change, as compared with genetic change, is its reversibility, which makes aberrant DNA methylation an attractive target and offers a good opportunity for the development of epigenetic therapy, diagnosis and prevention in cancer management.

Gene silencing by hypermethylation in gliomas affects genes involved in key cellular functions like cell cycle, tumor suppression, DNA repair, tumor invasion and apoptosis. However, and despite the several studies that have been made in recent years, the pattern of epigenetic gene silencing remains diffusely characterized in gliomas.

The best known is O6-methylguanine-DNA methyltransferase gene promoter methylation, determining tumors response to DNA alkylating agents and being an independent prognostic factor for patient survival.

The aryl hydrocarbon receptor (AHR) is a transcription factor which has been attributed a role in human carcinogenesis, cell cycle progression and transforming growth factor- $\beta$  signalling.

The role of AHR in gliomagenesis, although suggested, has not been elucidated yet, and the number of studies on the potential role of AHR signalling in gliomas is still very limited. Recently, AHR has been found to be silenced by promoter hypermethylation in a significant number of acute lymphoblastic leukaemia and mantle cell lymphoma cases. Based on that, it was sought to determine whether AHR could also be deregulated in gliomas due to hypermethylation of its promoter.

## AIMS OF THE STUDY:

Glioma carcinogenesis has been demonstrated to be a multifactorial process that possibly involves genetic and epigenetic factors. For innovating early diagnosis, biomarkers and new therapeutic strategies, it is necessary to explore the molecular mechanisms of glioma development and progression, among them, epigenetic alterations.

The **main aim**, in the present study, was to evaluate the potential role of AHR gene in human gliomas development and progression. For this reason, it was performed an analysis of the AHR promoter methylation status, using the technique of methylation specific polymerase chain reaction (MSP), in a series of 188 human gliomas of different grades (WHO grade I,II, III and IV) and 20 samples of human normal brain tissue.

The **specific aims** of the study were, **1)** to evaluate the AHR gene promoter methylation status in normal brain tissue, **2)** to evaluate the AHR gene promoter methylation status in human gliomas (grades I,II,III and IV), **3)** to determine the frequency of AHR gene promoter methylation in human gliomas, **4)** to analyze the association between the AHR methylation status and patient's clinicopathological features (age, gender, tumor location, overall survival and MGMT promoter methylation status) and, finally, **5)** to reflect and hypothesize about the possible role of the AHR in the origin and progression of gliomas and to identify possible mechanisms involved.

# CHAPTER 1 | Review of literature

## 1.1. Anatomy and Histology of the Central Nervous System

The Nervous System is the most complete system of the body whose main components, brain, spinal cord, peripheral nerves and nodes, are closely intertwined. This system performs sensory, motor, cognitive, memory and autonomic functions (Rubin and Strayer, 2011).

The brain and spinal cord form the Central Nervous System (CNS). These structures consist of nerve cells, neurons, and a group of specialized cells that are designated for supporting glial cells, including astrocytes, oligodendrocytes, the ependymal cells (which form macroglia) and microglial cells (Stevens and Lowe, 2002).

Glial cells are derived from neuroectoderm (macroglia) or bone marrow (microglia). Glial cells interact structurally and metabolically with neurons and are critical in a variety of normal functions and mechanisms in response to injury such as inflammation, repair, fluid balance and energy metabolism (Kumar et al., 2010). Astrocytes are glial cells of larger size, which feature a star shaped and oval or slightly irregular nucleus with open chromatin pattern. Its star shaped is due to the existence of multiple cytoplasmic terminations departing from body cells, which are rich in glial fibrillary acidic protein (GFAP) (Stevens and Lowe, 2002).

There are two types of astrocytes: fibrous astrocytes are present in greater amounts in the cerebral white matter and their terminations are very rich in GFAP while protoplasmic astrocytes are more numerous in the gray matter and their terminations are not as rich in GFAP (Kumar et al., 2010).

A special feature is the ability of astrocytes to interact with the blood vessels of the brain, forming plaque and inducing changes in the vascular endothelium level, causing a blood brain barrier (BBB) that allows to control the exchanges between blood, cerebrospinal fluid and brain. Astrocytes are also the main cells responding to injury (Stevens and Lowe, 2002).

Oligodendrocytes are the cells responsible for the production of CNS myelin, and each cell has several cellular extensions that myelinated multiple neurons. Have small round nuclei with dense chromatin and cytoplasm which forms a thin halo around the core resulting from a histological artifact of preparation (Kumar et al., 2010; Stevens and Lowe, 2002).

## 1.2. Tumors of the Central Nervous System

In 2012 were diagnosed worldwide, about 14 million of new malignant tumors, of which about 2% are malignant tumors of the CNS. In Europe, CNS tumors have an incidence rate of 5.5/100.000 and a mortality rate of 3.8/100.000, are more prevalent in males and in the age group from 50 to 75 years (Ferlay et al., 2013).

In Portugal, CNS tumors have an estimate incidence rate of 5.3/100.000. The latest data published nationally relate to the year 2007, during which 752 new cases of CNS tumors were diagnosed: 13%, 44% and 19% of these new cases were diagnosed as astrocytoma, glioblastoma and oligodendrogloma, respectively (RORENO, 2013).

CNS tumors, despite being part of tumors with lower incidence rate, are among the group of the five tumors with the higher ratio incidence/mortality which means that they are extremely lethal tumors and present a frustrating challenge for oncologists and pathologists worldwide.

## 1.3. Grading of tumors of the Central Nervous System

Primary CNS tumors comprise a heterogeneous group of benign and malignant tumors, the most common of which are tumors of glial cells, collectively referred to as gliomas. The gold standard for CNS tumors diagnosis is still based on the histologic examination of sampled tissue. The histological classification of CNS tumors is important because tumors category and subtyping predicts biological behavior and prognosis more precisely and affect therapeutic decisions.

The first systematic classification of gliomas according to defined histological criteria and their putative histogenetic origin dates back to the publication of Bailey and Cushing in 1926 (Bailey and Cushing, 1926).

Today, the World Health Organization (WHO) classification of CNS tumors, revised in 2007, is the standard accepted and used worldwide classification system (Louis et al., 2007) (Annex 1). According to this classification, gliomas are classified based on the presumed cell of origin in astrocytomas (derived from astrocytes or their precursors), oligodendroglomas (derived from oligodendroglomas or their precursors), oligoastrocytomas (mixed lineage) or ependymal tumors (derived from ependymal or their precursors), and subdivided inside a malignancy scale from grade I to IV.

WHO grade I comprise biologically benign lesions with low proliferative potential and high chances of cure after surgical resection alone. The WHO grade II are generally infiltrative tumors which although present low proliferative activity, often recur, and tend to progress to higher grades of malignancy, namely grade III and later grade IV tumors. Denominated anaplastic tumors, WHO grade III, are rapidly growing tumors showing histological evidence of malignancy including nuclear atypia and brisk mitotic activity. The highest malignancy grade, WHO grade IV, includes tumors presenting malignancy features like mitotic activity, angiogenesis and necrosis. These tumors are resistant to radio and chemotherapy and are associated with rapid disease evolution and a fatal outcome (Louis et al., 2007).

The WHO grading is one of a group of criteria helping in predict response to therapy and outcome, together with clinical findings, radiological features, surgical resection and genetic alterations (Louis et al., 2007).

## **1.4. Astrocytic tumors**

Astrocytic tumors, or astrocytomas, are the most common intracranial neoplasm, accounting for approximately 75% of all gliomas (Ostrom et al., 2013). Despite sharing a same cell of origin, tumors included in this category present different characteristics with respect to location, age and gender, morphological features, growth, invasiveness and progression, and clinical course.

Four major clinicopathological entities are recognized: pilocytic astrocytoma (WHO grade I), diffuse astrocytoma (WHO grade II), anaplastic astrocytoma (WHO grade III) and glioblastoma (WHO grade IV).

### **1.4.1. Pilocytic astrocytoma (WHO grade I)**

#### **1.4.1.1. Epidemiological and clinical features**

Pilocytic astrocytoma represent 5 to 6% of all gliomas and has an incidence of 0.37 per 100 000 persons per year. Is the most frequent brain tumor in children, usually develops within the first two decades of life, and has similar frequencies in both males and females (Louis et al., 2007; Ostrom et al., 2013).

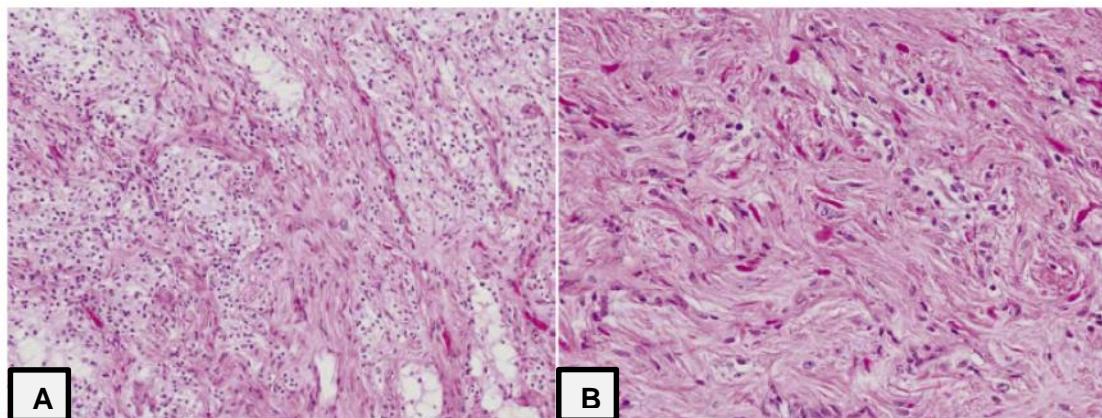
Signs and symptoms may include focal neurological deficits but also non-localized signs such as macrocephaly, headache, endocrinopathy and increased intracranial pressure. Arise most frequently in the optic nerve, optic chiasm and hypothalamus, thalamus and basal ganglia, cerebral hemispheres, cerebellum and brain stem, and less frequently in the spinal cord (Louis et al., 2007).

Pilocytic astrocytoma occurs sporadically and in association with neurofibromatosis type 1 (NF1). Approximately 15% of patients with NF1 develop pilocytic astrocytoma, particularly of the optic nerve, and about one third of patients with a pilocytic astrocytoma in optic nerve have NF1 (Lewis et al., 1984).

#### 1.4.1.2. Macroscopy and Histopathology

These tumors appear as soft and grey nodules, and variably sized cysts are common (Weidner et al., 2009). Cyst formation is an important diagnostic feature in WHO grade I tumors (Palma et al., 1983).

Pilocytic astrocytomas are highly vascular, exhibit low to moderate cellularity and typically show a biphasic pattern of growth, with varying proportions of compacted bipolar cells with Rosenthal fibers and loose-textured multipolar cells with microcysts and granular hyaline droplets. Hyperchromatic and pleomorphic nuclei, rare mitosis, glomeruloid vascular proliferation and infarct-like necrosis can be present (Figure 1) (Louis et al., 2007).



**Figure 1** \_ **Histological features of pilocytic astrocytoma (WHO grade I).** **A** - Pilocytic astrocytomas are usually biphasic, with cystic and fascicular patterns. **B** - Fascicular areas are populated by elongated bipolar astrocytes and Rosenthal fibers. (Figures A and B adapted from Weidner et al., 2009).

### **1.4.2. Diffuse astrocytoma (WHO grade II)**

#### **1.4.2.1. Epidemiological and clinical features**

Diffuse astrocytoma, or low grade astrocytoma, as some prefer to designate it, represent about 10% of all gliomas. According to Central Brain Tumor Registry of the United States (CBTRUS) data, diffuse astrocytoma has an annual incidence rate of 1.3/1 million population. The peak incidence is in young adults between the ages of 30 and 40 years, with a male gender preference and a male: female ratio of 1.18:1 (Louis et al., 2007; Ostrom et al., 2013).

Subtle signs such as speech difficulties, changes in sensation, vision and motor change may be present in an early phase, but the commonest symptom are seizures (Louis et al., 2007).

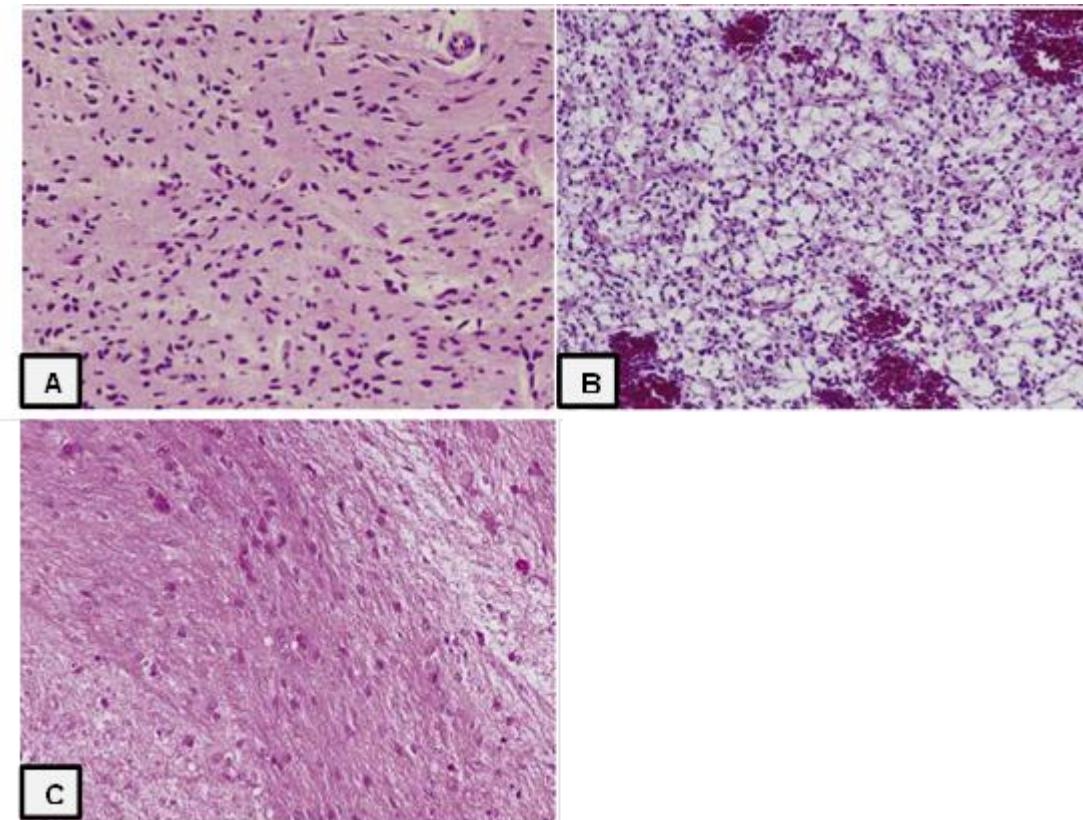
#### **1.4.2.2. Macroscopy and Histopathology**

About a third of cases of diffuse astrocytomas develop preferentially in the frontal and temporal lobes, but it may be located in any region of the CNS (Louis et al., 2007).

Macroscopically, are often difficult to distinguish from adjacent invaded structures, with enlargement and distortion of these anatomical structures. Local mass lesions are grey or yellow-white, with indistinct boundaries, smaller or larger cysts, granular areas and zones of firmness or softening may be seen (Louis et al., 2007).

Diffuse astrocytoma is composed of well differentiated fibrillary, gemistocytic or, rarely, protoplasmic astrocytes on the background of a loosely structured, often microcystic tumor matrix. When compared with the normal brain tissue, the cellularity is moderately increased. Occasional nuclear atypia is present, and mitotic activity is generally absent, however, a single mitosis is not sufficient for the diagnosis of anaplastic astrocytoma. Other histologic features suggestive of anaplasia, such as, necrosis or microvascular proliferation are not present in these tumors (Louis et al., 2007).

Normal astrocytes have an oval-to-elongate or round nucleus and a cytoplasm which show no Hematoxylin-Eosin (H&E) staining. Reactive astrocytes present an enlarged, eccentric nucleus and a stainable eosinophilic, defined cytoplasm (Figure 2) (Louis et al., 2007).



**Figure 2 – Histological features of diffuse astrocytoma (WHO grade II).** **A** – Increased cellularity and some nuclear atypia. **B** – Microcystic change is a diagnostic feature of astrocytoma but is also seen in oligodendrogloma. **C** – Minimally hypercellular astrocytoma with an irregular distribution of elongated nuclei and nuclear atypia. (Figures adapted from Weidner et al., 2009).

#### 1.4.3. Anaplastic astrocytoma (WHO grade III)

##### 1.4.3.1. Epidemiological and clinical features

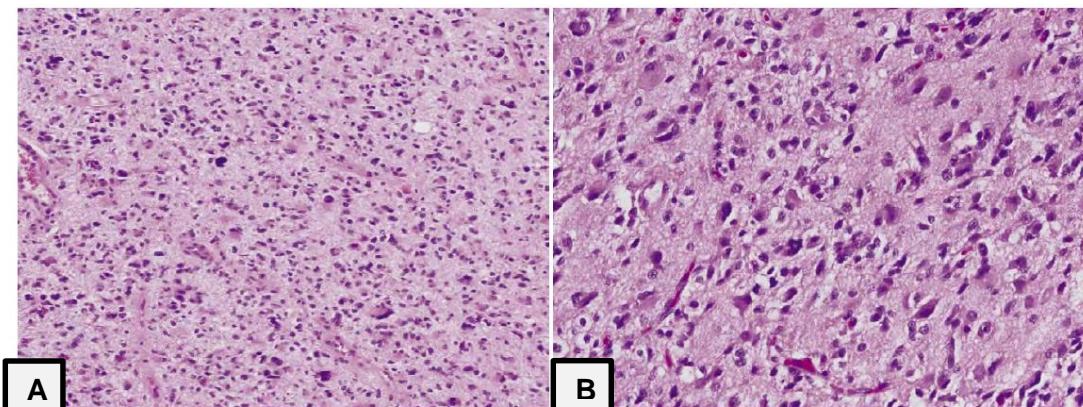
Anaplastic astrocytoma is a high-grade glioma, that may arise from diffuse astrocytoma (WHO grade II) or de novo (without evidence of a less malignant precursor lesion).

These tumors account for approximately 6% of all gliomas, affect males more frequently than females (ratio 1.1:1) and the peak of incidence in adults aged between 30 to 50 years. In most cases, anaplastic astrocytoma will progress to glioblastoma, showing a mean time to progression of about 2 years (Louis et al., 2007; Ostrom et al., 2013).

### 1.4.3.2. Macroscopy and Histopathology

Like in diffuse astrocytoma, anaplastic astrocytoma present as a mass with tendency to infiltrate the surrounding brain tissue leading to marker enlargement of neighbour structures. However cysts are uncommon and areas of granularity, opacity and soft consistency are frequently present (Louis et al., 2007).

Anaplastic astrocytoma exhibit hypercellularity, cytologic anaplasia and mitotic activity (more than one figure). Show a variable degree of cytoplasmatic pleomorphism or nuclear elongation and pleomorphism, or both, when compared with diffuse astrocytoma. Microvascular proliferation and necrosis are absent (Figure 3) (Louis et al., 2007).



**Figure 3 – Histological features of anaplastic astrocytoma (WHO grade III). A and B –** Characteristic hypercellularity, elongation and hyperchromasia of nuclei, atypia and brisk mitotic activity. (Figures A and B adapted from Weidner et al., 2009).

### 1.4.4. Glioblastoma (WHO grade IV)

#### 1.4.4.1. Epidemiological and clinical features

Glioblastoma, also termed glioblastoma multiforme, is the most frequent brain tumor and represent about 15% of all intracranial neoplasm and 60 to 75% of astrocytic tumors (Ohgaki and Kleihues, 2005).

The peak of incidence of these tumors is between 45 and 75 years of age and the clinical history is short, frequently less than 3 months, characterized by signs and symptoms such as, intracranial pressure, headache, nausea, epileptic seizures and also neurological symptoms like personality changes (Louis et al., 2007).

The majority of glioblastoma cases (>90%) are primary glioblastoma that develop rapidly de novo, without clinical or histological evidence of a less malignant precursor lesion. Secondary glioblastoma, represent about 5% of all glioblastoma, and develop slowly through progression from diffuse astrocytoma (WHO grade II) or anaplastic astrocytoma (WHO grade III) (Louis et al., 2007).

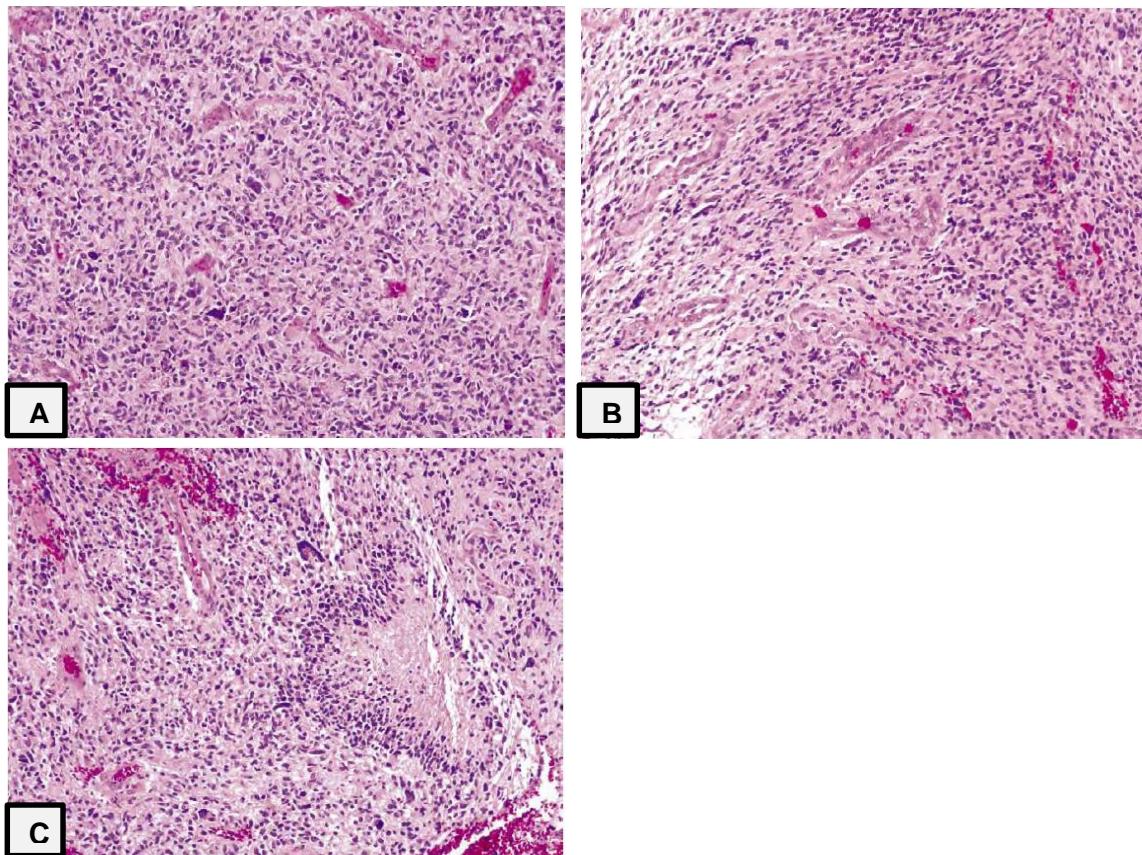
The mean age of primary glioblastoma is about 60 years of age and it develop more frequently in male (male/female ratio of 1.33), whereas secondary glioblastoma develop in younger patients (45 years) and is more frequent in women (male/female ratio of 0.65). The median survival of primary and secondary glioblastoma is 4.7 and 7.8 months, respectively (Ohgaki et al., 2004; Ohgaki and Kleihues, 2005).

#### **1.4.4.2. Macroscopy and Histopathology**

Typical histopathological features include nuclear atypia, cellular pleomorphism, mitotic activity, vascular thrombosis, microvascular proliferation and necrosis (Louis et al., 2007).

Microvascular proliferation and necrosis are histopathological hallmarks of glioblastoma diagnosis. Microvascular proliferation consists of multi-layered, mitotically active endothelial cells together with smooth muscle cells (Nagashima et al., 1987). Necrosis may comprise more than 80% of the tumor and consists of multiple, small, irregularly-shaped band-like or serpiginous foci, surrounded by radially oriented, densely packed, small fusiform gliomas cells in a “pseudopalisading” pattern (Figure 4) (Louis et al., 2007).

Primary and secondary glioblastoma are histologically indistinguishable, but a growing number of evidence has been suggesting that these two entities evolve through different molecular mechanisms.



**Figure 4 – Histological features of glioblastoma (WHO grade IV).** **A** – Cytologic pleomorphism and severe nuclear atypia. **B** – Microvascular proliferation. **C** – Pseudopalisading necrosis. (Figures adapted from Weidner et al., 2009).

## 1.5. Oligodendroglial tumors

Oligodendroglial tumors account for approximately 8.4% of all gliomas (Ostrom et al., 2013). Based on their degree of malignancy and other histopathological features, two histological subtypes are distinguished: oligodendrogloma (WHO grade II) and anaplastic oligodendrogloma (WHO grade III).

### 1.5.1. Oligodendrogloma (WHO grade II)

#### 1.5.1.1. Epidemiological and clinical features

Oligodendrogloma represents about 2.5% of all primary brain tumors and 5-6% of all gliomas (Ohgaki and Kleihues, 2005). The incidence rate is about 0.27 per 100,000 persons and is more frequent in males than in females by a ratio of 1.2:1. The average age on onset of oligodendrogloma is between 35 and 55 years (Ostrom et al., 2013).

Seizures are the most frequently symptom encountered in oligodendro glioma, followed by headache, increased intracranial pressure, focal neurological deficits and cognitive or mental changes (Lebrun et al., 2004).

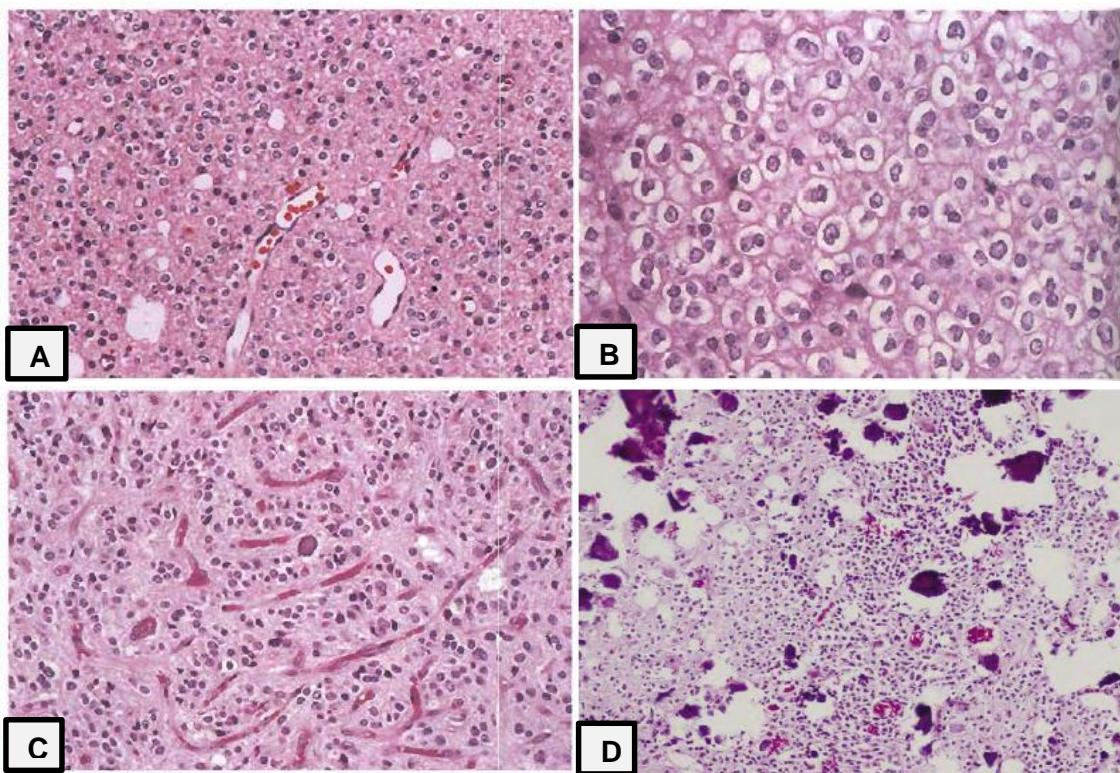
### **1.5.1.2. Macroscopy and Histopathology**

Oligodendro gliomas exhibit predominantly cerebral hemispheric locations favouring the frontotemporal region, in about 50 to 65 % of cases, followed by temporal, parietal and occipital lobes, respectively.

Macroscopically, oligodendro glioma appear as soft, gelatinous grayish-pink masses with well delineated borders. In some areas the tumor may present a grilly texture due to the presence of calcifications. Regions of cystic degeneration and intratumoral haemorrhages can be also present (Louis et al., 2007).

The microscopic appearance of most oligodendro glioma is highly distinctive; the tumor it is diffusely infiltrating with moderate cellularity and is composed of monomorphic cells with uniform, round nuclei, perinuclear halo and increased chromatin density. The perinuclear halos, denominated honeycomb pattern, are artifacts, resulting from the processing of tissue, seen in the paraffin embedded tissue but not in smear preparation nor in frozen sections (Louis et al., 2007).

Microcalcifications, cystic degeneration, dense network of branching capillaries (chicken wire pattern), satellitosis and occasionally mini-gemistocytes are also present. Nuclear atypia can be present and there are occasional mitotic figures (Figure 5) (Louis et al., 2007).



**Figure 5 – Histological features of Oligodendrogioma (WHO grade II).** **A** – Cells perinuclear halos forming the honeycomb pattern. **B** – Cells with clear cytoplasm and well defined plasma membrane. **C** – Dense network of branching capillaries. **D** – Microcalcifications. (Figures A, B and C adapted from Louis et al., 2007. Figure D adapted from Weidner et al., 2009).

### 1.5.2. Anaplastic oligodendrogioma (WHO grade III)

#### 1.5.2.1. Epidemiological and clinical features

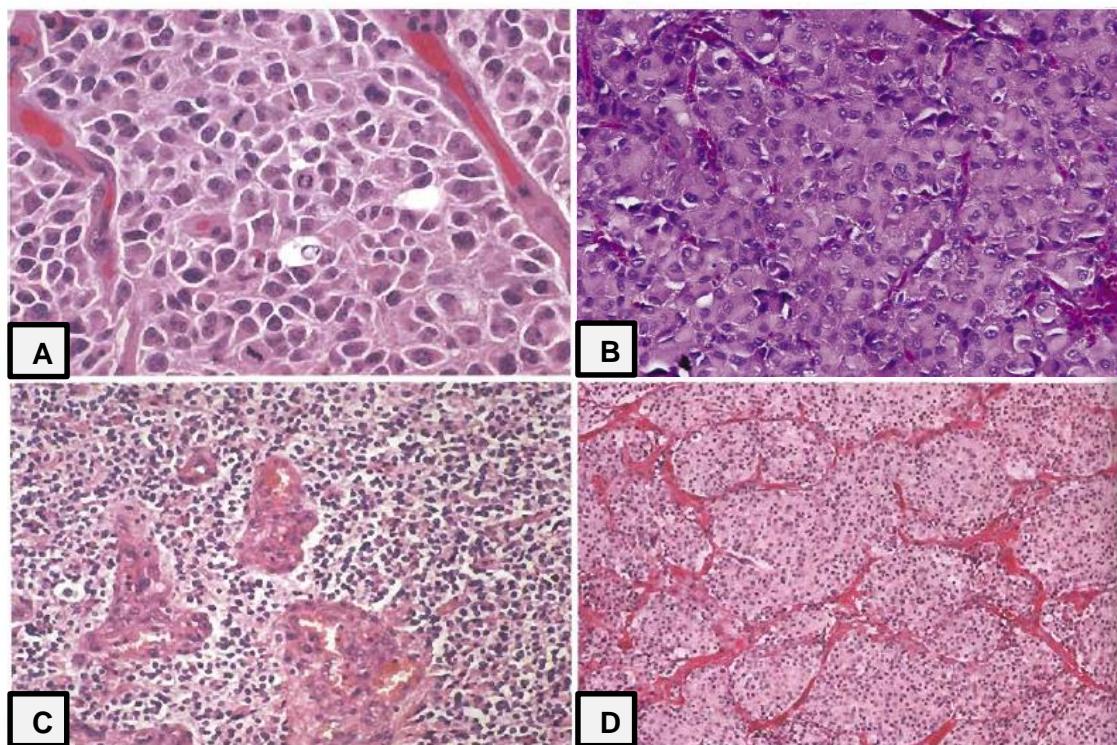
Anaplastic oligodendrogioma accounts for approximately 1.2% of all primary brain tumors and represents about 20% of all oligodendroglial tumors (Ohgaki and Kleihues, 2005).

Anaplastic oligodendrogioma have an annual incidence of approximately 0.11 per 100,000 population and its incidence peak is between 45 and 50 years. They are slightly more common in men, with a male / female ratio of 1.3 / 1 (Ostrom et al., 2013).

Anaplastic oligodendrogioma may develop *de novo* or be the result of a progression from a grade II oligodendrogioma. The median time to progression between oligodendrogioma and the development of anaplastic oligodendrogioma is about 6 to 7 years. Regarding anaplastic oligodendrogioma developed *de novo*, the most important symptom is seizures (Lebrun et al., 2004).

### 1.5.2.2. Macroscopy and Histopathology

Like oligodendrogloma grade II, its preferential locations are frontal and temporal lobes (Louis et al., 2007). Macroscopically, the criteria for distinguishing grade II and grade III oligodendrogloma are not as well defined as they are for astrocytomas. Marked mitotic activity, high cellularity, microvascular proliferation, or necrosis are diagnostic features of an anaplastic oligodendrogloma (Figure 6) (Louis et al., 2007).



**Figure 6 – Histological features of Anaplastic Oligodendrogloma (WHO grade III).**

**A** – Marked nuclear atypia and mitotic activity. **B** – Minigemistocytes. **C** – Microvascular proliferation. **D** – High cellularity. (Figures A, C and D adapted from Louis et al., 2007. Figure B adapted from Weidner et al., 2009).

### 1.6. Prognostic factors of gliomas

Older age at diagnosis and large size of tumor are factors that have been associated to less favorable clinical outcome in astrocytic and oligodendroglial tumors, while gross total resection is significantly associated with longer survival (Louis et al., 2007).

Some glioblastoma may develop from a diffuse or anaplastic astrocytoma (secondary glioblastoma) or it may manifest de novo (primary glioblastoma). Secondary glioblastoma prognosis is better than in primary glioblastoma. However, this fact seems to be related with the fact that, on average, patients with a primary glioblastoma are older than those with secondary ones (Louis et al., 2007).

Studies have shown that patients with diffuse astrocytomas have worse prognosis when compared to oligodendroglomas, and the tumor malignancy grade is a proved independent prognostic factor in gliomas .The survival of patients and choice of treatment depends mostly on the malignancy grade of gliomas (Louis et al., 2007).

Presence of mitotic activity is in general a bad prognostic sign and is valid to all glial tumors (Louis et al., 2007). According to WHO classification, the presence of a single mitosis is not a criterion for anaplastic astrocytoma diagnosis. The literature provides contradictory data concerning prognostic significance of a single mitosis. However, several authors suggested that a single mitosis does not determine a poor prognosis. They found that survival of patients with astrocytomas with single mitosis is similar to that of patients with WHO grade II astrocytomas and is significantly better than that with astrocytomas with a larger number of mitoses (Coons and Pearl, 1998; Giannini et al., 1999; Perry et al., 1999).

In general, higher proliferation rates significantly correlate with worse prognosis (Louis et al., 2007). The most used technique to evaluate cell proliferation is to perform immunohistochemistry technique using KI-67/MIB-1 antibodies. This antibody is not expressed only in cells in the resting phase G0. The growth fraction, as determined by the antibodies KI-67/MIB-1, is usually less than 4% (with a median of 2.5%) in diffuse astrocytoma, range between 5 to 10% in anaplastic astrocytoma and 15 to 20% in glioblastoma, and below 5% in oligodendroglomas.

Microvascular proliferation, a histopathological hallmark of glioblastoma, plays a large role in the tumor growth and spread, and is an indicator of a high malignancy grade glioma. Leon et al have shown that density of microvessels is a prognostic factor of astroglial tumors (Leon et al., 1996).

Necrosis is also a distinguishing feature of glioblastoma and is incompatible with a diagnosis of anaplastic astrocytoma, though it may be present in anaplastic oligodendroglomas. Clinical studies indicate that as the degree of necrosis advances, the patient's prognosis worsens, and so necrosis is pointed as one of strongest predictors of bad prognosis in gliomas patients (Raza et al., 2002).

Karnofsky's ten-tiered scale is used to evaluate the patient's ability to manage every-day life and work. Karnofsky Performance Status (KPS) at diagnosis and other measures of mental and physical functionality also predict survival for glioblastoma and anaplastic astrocytoma patients; a higher preoperative Karnofsky score is a strong predictor of a more favorable clinical outcome (Louis et al., 2007).

Besides the clinical prognostic factor presented, several molecular prognostic factor have also been identified in gliomas, and will be presented later in the appropriate sections.

### **1.7. Immunophenotype of gliomas**

Immunohistochemical markers are important and rapidly evolving tools in the classification and neuropathological diagnosis of malignant gliomas. Currently, the most clinically useful of these markers, for classification of gliomas, are GFAP, OLIG2, Synaptophysin, S-100, keratins and vimentin.

Glial fibrillary acidic protein (GFAP) is an intermediate filament expressed by normal glial cells and glial tumor cells whose sensitivity as a marker of glial differentiation is around 100%. GFAP can be detected in nearly all malignant gliomas but is negative in carcinomas, lymphomas, melanomas and sarcomas. The fibrillary matrix, composed by neoplastic cell processes and reactive astrocytes, may forms a diffuse GFAP positive background. Despite GFAP is expressed more intensely and more frequently in astrocytomas it is also expressed in oligodendroglomas (Brat et al., 2008; Louis et al., 2007). In retrospective studies of GFAP expression by immunohistochemistry, staining was noted in 100% of astrocytic tumors, in 58% of oligodendroglomas and 79% of anaplastic oligodendroglomas (Cosgrove et al., 1989; Dehghani et al., 1998).

Cytokeratin expression usually indicates epithelial differentiation supporting a diagnosis of metastatic carcinoma rather than malignant gliomas. However, the evaluation of the cytokeratins in gliomas should be cautious because malignant gliomas often show immunoreactivity to cytokeratins, especially CK AE1/3 (Cosgrove et al., 1993; Kriho et al., 1997). CK AE1/3 staining was found in 66% of diffuse astrocytomas, 83% of anaplastic astrocytomas and 83% of glioblastomas (Cosgrove et al., 1993). In a different study, CK AE1/3 expression was present in 96% of glioblastoma, while only 4% showed expression of CK8.18, CK7 and CK20 (Oh and Prayson, 1999). This immunoreactivity is attributed to a cross-reactivity phenomenon of the antibodies with GFAP. For these reason, epithelial differentiation in metastatic carcinoma should be preferably investigated using cytokeratins

antibodies which are negative in astrocytomas, such as CK8.18 or even other epithelial marker like epithelial membrane antigen (EMA).

S-100 protein is expressed in almost 100% of malignant gliomas and for this reason is not a good marker for differential diagnosis from other S-100 positive tumors, such as, melanoma (Nakapoulou et al., 1990).

Vimentin, an intermediate filament protein, shows a pattern of immunoreactivity similar to that of GFAP and vimentin-positive cells may lack GFAP expression. Vimentin is expressed in astrocytic tumors, and a tendency to be expressed more consistently is found in high grade astrocytomas. In oligodendrogloma, vimentin is infrequently expressed in low grade tumors and more often found in anaplastic oligodendrogloma (Louis et al., 2007; Dehghani et al., 1998).

There is no distinct single antibody available to discriminate reliably between oligodendroglial and astrocytic tumors. OLIG2 immunoreactivity is slightly stronger in oligodendrogloma but is also seen in other glial tumors (Ligon et al., 2004). MAP2 is constantly expressed in oligodendrogloma, but also found in 92% of diffuse astrocytoma and glioblastoma (Blümcke et al., 2004). WT1 in oligodendrogloma is usually restricted to single WT1 positive tumor cells or completely absent, while in high grade astrocytic tumor is strongly expressed in 83-92% (Schittemel et al., 2009). Nogo-A is found in 71% oligodendrogloma and 24% glioblastoma but is absent in diffuse astrocytoma (Kuhlmann et al., 2008).

## **1.8. Genetic alterations in gliomas**

In last decades, several genetic alterations were identified in gliomas that may be used to facilitate glioma classification, especially in cases that present inconclusive or borderline histological features, and possibly impact future treatment strategies.

Many of these genetic alterations have been investigated in regard to their diagnostic, prognostic and or predictive implications, but only few were qualified as clinically relevant biomarkers. A prognostic factor is any measurement available at the time of surgery that correlates with disease-free or overall survival in the absence of systematic adjuvant and thus conveys information on the natural course of the disease. In contrast, a predictive factor should provide information associated with the response to a given therapy (Riemenschneider et al., 2010).

### 1.8.1. EGFR gene amplification/overexpression

First reported by Libermann and co-workers in 1985, epidermal growth factor receptor (EGFR) gene amplification and overexpression is a hallmark of glioblastoma, specifically primary glioblastoma, affecting approximately 40% of these tumors. This alteration is rare in secondary glioblastomas (<10%) (Ohgaki et al., 2004; Ware et al., 2003; Yip et al., 2008; Watanabe et al., 1996).

Many glioblastoma showing EGFR gene amplification has EGFR mutations. EGFRvIII is the best described and most common mutant type and is present in more than 50% of cases. This truncated mutant variant is characterized by genomic deletion of exons 2-7 what results in an active oncogenic form (Sugawa et al., 1990; Aldape et al., 2004; Gan et al., 2009).

The presence of EGFR amplification and EGFRvIII can be used to support high grade glioma diagnosis when histologic criteria are doubtful. Their prognostic value remains unclear, existing several contradictory studies (Nikiforova and Hamilton, 2011; Heimberger et al., 2005).

EGFR signaling pathway is an attractive target for the development of new therapies in glioma (Mischel et al., 2003; Kuan et al., 2000; Belda-Iniesta et al., 2008). Although the predictive value of these changes is not yet clear, there are some studies showing that EGFR amplification and EGFRvIII expression may provide a response to tyrosine kinase inhibitor therapies, especially when there is PTEN expression (Mellinghoff et al., 2005; Haas-Kogan et al., 2005).

A recent study demonstrates that EGFR amplification and rearrangement are early events in tumorigenesis and EGFRvIII expression is restricted by epigenetic mechanisms, suggesting that drugs that modulate the epigenome might be used successfully in glioblastoma tumors (Del Vecchio et al., 2012).

### 1.8.2. PTEN gene mutation

The tumor suppressor gene phosphatase and tensin homology (PTEN), located at 10q23.3, present mutations in about 15 to 40% of primary glioblastoma (Dahia, 2000; Knobbe et al., 2002; Koul et al, 2008), being rare in secondary glioblastoma and other gliomas (Tohma et al., 1998; Ohgaki et al., 2009).

PTEN deletions may occur but in a very low percentage below 2% (Knobbe and Reifenberger, 2003).

Loss of chromosome 10 is associated with mutation or deletions of the PTEN gene in glioblastoma and other human cancers (Colman, 2008).

Loss of heterozygosity (LOH) at 10q, frequently found at 10q23-24, 10q25-pter, 10p14-p15 or with loss of the entire long arm of chromosome 10 (Nikiforova and Hamilton, 2011), is the most frequent genetic alteration in glioblastomas (60-80% of cases). Is common in glioblastomas and anaplastic astrocytomas (35-60%) but is less frequent in anaplastic oligodendrogiomas (10%) (Ohgaki et al., 2009).

Studies suggest PTEN mutations and 10q LOH as poor prognostic markers in WHO grade III and IV gliomas. The loss of 10q is associated with tumor progression (Koul, 2008; Hill et al., 2003).

An inverse relationship between tumor grade and PTEN expression in gliomas revealed that PTEN mutations are relatively late events in these tumors development, occurring during the evolution from low grade to high grade gliomas (Ermoian et al., 2002).

### **1.8.3. TP53 gene mutation**

TP53 is the gene that encodes the tumor suppressor protein p53 and is located at 17q13.1. Somatic mutations of the TP53 gene have been reported to occur frequently in most of human tumors, including gliomas (Greenblatt et al., 1994).

Mutation of TP53 gene is the most common molecular alteration in WHO grade II gliomas being found in about 60% of cases (Parsons et al., 2008). Anaplastic astrocytomas and secondary glioblastomas show a percentage of mutations similar to diffuse gliomas while the rate of primary glioblastomas mutated is significantly lower (about 28%) (Watanabe et al., 1997; Ohgaki et al., 2004). In oligodendrogiomas, TP53 mutations are found in 13% of cases (Okamoto et al., 2004).

TP53 mutations are an early event in diffuse astrocytomas tumorigenesis. This alteration is frequently found in the first biopsy and their frequency does not increase in recurrences (Lang et al., 1994; Riemenschneider et al., 2009; van de Kelft, 1997).

Until now, studies are contradictory and do not confirm TP53 as an independent predictive or prognostic of patient outcome in gliomas, but it has a role in diagnosis as it can help to distinguish tumor grade (Ohgaki et al., 2004; Ohgaki et al., 2005; Schmidt et al., 2002).

#### **1.8.4. IDH gene mutation**

Mutations in the gene encoding the human cytosolic NADPH-dependent isocitrate dehydrogenase (IDH), located on chromosome 2q33, were first described by Parsons et al in about of 12% of glioblastomas, mostly in secondary glioblastomas (Parsons et al., 2008; Riemenschneider et al., 2010).

Subsequent studies identified IDH1 mutations at much higher frequencies in grade II and grade III gliomas, in about 60 to 90% of cases (Balss et al., 2008; Watanabe et al., 2009; Yan et al., 2009; Ichimura et al., 2009; Nobusawa et al., 2009; Sanson et al., 2009; Hartmann et al., 2010).

All the described IDH1 mutations were found in codon 132 (exon 4) for arginine (R132) (Parsons et al., 2009; Ichimura et al., 2009) and appear to be rare in other common human tumors (Bleeker et al., 2009). The R132H mutations constitutes >90% of the IDH1 mutations seen in gliomas (Yan et al., 2009).

Approximately 70% of the secondary glioblastomas carry IDH1 mutations while this is observed only in 5 to 10% of primary glioblastomas, what reinforces the hypothesis that glioblastomas, primary and secondary, have different molecular origins (Ohgaki et al., 2007; Balss et al., 2008; Ichimura et al., 2009; Yan et al., 2009; Watanabe et al., 2009).

The vast majority of low grade diffuse astrocytomas contained both an IDH1 mutation and a TP53 mutation and a similar majority of oligodendrogloma showed both IDH1 mutation and 1p/19q loss. These results suggest that IDH1 mutations are very early genetic events in gliomagenesis, before TP53 mutations or loss of 1p/19q occur, and that diffuse astrocytomas and oligodendroglomas may originate from common glial precursor cells carrying IDH1 mutations (Watanabe et al., 2009; Sanson et al., 2009).

Other studies suggested that IDH1 mutations might occur after formation of a low grade glioma and drive the progression of the tumor to a glioblastoma (Parsons et al., 2009; Yan et al., 2009).

Mutations in IDH2 gene, affecting the arginine in position 172, occurs infrequently in astrocytic gliomas but are present in about 3-5% of oligodendrogiomas (Yan et al., 2009; Hartmann et al., 2010). IDH1 and IDH2 mutations were found to be mutually exclusive (Yan et al., 2009).

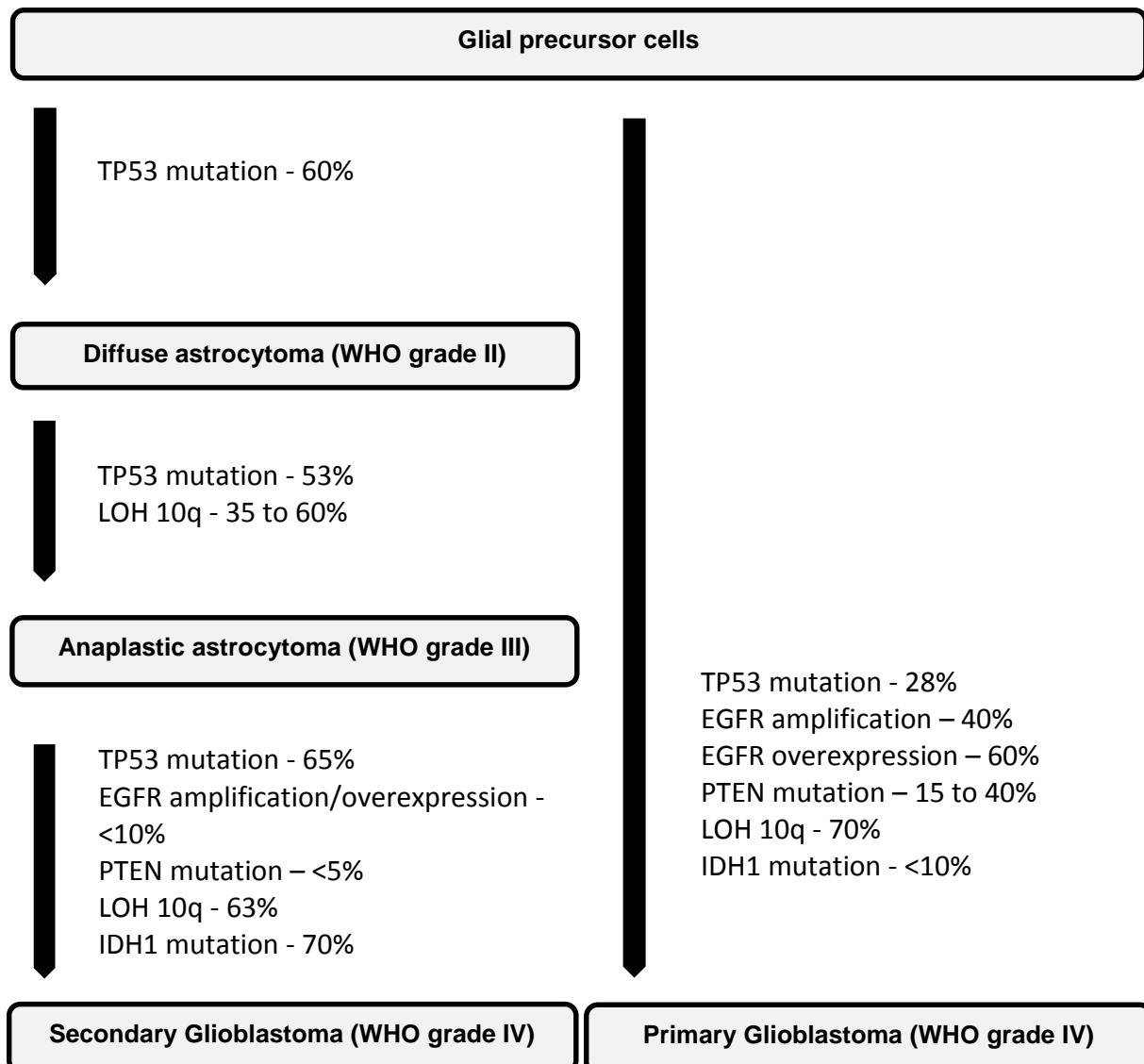
In pilocytic astrocytomas, IDH1 and IDH2 mutations are absent, what possibly means they arise through a different way and may be derived from a different type of glial progenitor (Yan et al., 2009; Watanabe et al., 2009; Ichimura et al., 2009).

Sanson and colleagues (2009) found that 90% of the 1p19q codeleted gliomas have IDH1 mutations and that IDH1 mutations are rare in gliomas with EGFR amplification, what is supported by the fact that 1p19q deletions and EGFR amplification are alterations mutually exclusive (Sanson et al., 2009; Idbaih et al., 2008).

IDH mutations have diagnostic value, are associated with better outcome and young age, and have been shown to be a powerful independent prognostic factor for longer survival in gliomas WHO grade II, III and IV (Sanson et al., 2009; Parsons et al., 2008; Yan et al., 2009; Combs et al., 2011; van den Bent et al., 2010; Nikiforova and Hamilton, 2011). A follow-up study showed improved outcome for IDH1 and IDH2 mutated tumors, with median overall survival 31 months versus 15 months for glioblastoma lacking mutations and 65 months versus 20 months for anaplastic astrocytoma (Yan et al., 2009).

IDH1 and IDH2 mutations analysis can be helpful for discrimination between primary and secondary glioblastoma and in case of a differential diagnosis of low grade glioma versus pilocytic astrocytoma or even low grade glioma versus reactive gliosis, what can sometimes be difficult solely on the basis of histopathological criteria particularly with small biopsies (Horbinski et al., 2009; Korshunov et al., 2009; Watanabe et al., 2009; Yan et al., 2009; Jansen et al., 2010).

For a better perception of the changes that occur in different astrocytic tumors, inclusive the differences between both primary and secondary glioblastomas, see the representation of Figure 7.



**Figure 7 \_ Schematic representation of the molecular pathogenesis of astrocytomas.** Adapted and modified from Ohgaki, 2005.

### 1.8.5. BRAF fusion gene

V-raf murine sarcoma viral oncogene homolog B1 (BRAF) oncogenic activation is extensively documented in several human tumors, usually due to a point mutation with a hotspot at residue 600 (Santarpia et al., 2012; Niault and Baccarini, 2010).

Recently, BRAF gene duplication and fusion, or less frequently point mutation, have been identified in pilocytic astrocytomas and result in mitogen-activated protein kinase (MAPK) signalling pathway activation (Pfister et al., 2008; Jones et al., 2008; Bar et al., 2008; Jones et al., 2012).

A tandem duplication at 7q34 produce a novel oncogenic BRAF fusion gene, KIAA1549:BRAF, which is present in about 66% of pilocytic astrocytomas but rare in diffuse astrocytomas (Jones et al., 2008; Sievert et al., 2009).

KIAA1549: BRAF fusion transcript seems to be a characteristic event in pediatric pilocytic astrocytoma, presenting a frequency of 79% in tumors diagnosed in the first decade of life, while in adult patients older than 40 years, with pilocytic astrocytoma, it frequency is about 7% (Hasselblatt et al., 2011).

BRAF point mutations (V600E and ins 3bp at 598) and SRGAP3:RAF1 fusion can rarely occur in pilocytic astrocytomas and are mechanisms that also originate MAPK pathway activation (Jones et al., 2008; Jones et al., 2009; Eisenhardt, 2011).

Because KIAA1549:BRAF fusion is so rare in diffuse astrocytomas it might allow the differential diagnosis between pilocytic astrocytomas and low grade diffuse astrocytomas especially when used in conjunction with the mutational analysis of the IDH1 gene, since this gene mutations are generally absent in pilocytic astrocytomas (Korshunov et al., 2009; Nikiforova and Hamilton, 2011).

The studies suggest that the BRAF fusion gene do not have any prognostic value but might become an important diagnostic tool and a promising therapeutic target (Jones et al., 2008; Jones et al., 2009; Horbinski et al., 2010; Jeuken and Wesseling, 2010).

### **1.8.6. LOH of chromosomes 1p and 19q**

First described in oligodendroglial tumors by Reifenberger and coworkers in 1994, the combined deletion of chromosomes 1p and 19q is the consequence of an unbalanced translocation between chromosomes 1 and 19 [t(1;19)(q10;p10)] where in most cases the entire 1p/19q arms are involved (Felsberg et al., 2004; Jenkins et al., 2006; Ransom et al., 1992; Bello et al., 1994; Ducray et al., 2009).

1p/19q codeletion is the most common genetic alteration and is present in up to 90% of oligodendroglomas, 50-70% of anaplastic oligodendrogloma and less than 10% of astrocytic gliomas, including glioblastoma (Cairncross and Jenkins, 2008; Aldape et al., 2007). Despite this change is uncommon in glioblastoma, when present seem to predict shorter survival, possibly due true genomic instability (Smith et al., 2000). In anaplastic oligodendroglomas is a good prognostic factor, meaning of longer survival, and a strong predictor of sensitivity to radio and chemotherapy but in grade II oligodendroglomas the data are not clear (Cairncross et al., 1998; Cairncross et al., 2006; Brandes et al., 2006; Kouwenhoven et al., 2009; Bauman et al., 2000; van den Bent et al., 2006).

The higher frequency of 1p/19q codeletion in lower grade tumors and the fact this alteration is retained at the time of progression may suggest this is an early event in tumor formation (Hofer and Lassman, 2010; Lavon et al., 2007).

There is a strong association between 1p/19q codeletion and oligodendroglomas that have the classic features, namely, uniformly round nuclei and small nucleoli with even cellular distribution, prominent perinuclear "halos" and chicken-wire vascular pattern (Burger et al., 2002; Kouwenhoven et al., 2009; Giannini et al., 2008). However morphology alone cannot predict 1p/19q status (Scheie et al., 2008).

Loss of 1p/19q is virtually always associated with IDH1 mutations (Yan et al., 2009) and is mutually exclusive from TP53 mutations, 10q deletion, EGFR and other genes amplifications (Nutt et al., 2005; Bourne et al., 2010; Reifenberger and Louis, 2003).

Is a good prognostic factor, meaning of longer survival, and a strong predictor of sensitivity to radio and chemotherapy, particularly to the combination of procarbazine, lomustine and vincristine (PCV), in anaplastic oligodendroglomas but in grade II oligodendroglomas the data are not clear (Cairncross et al., 1998; Cairncross et al., 2006; Brandes et al., 2006; Kouwenhoven et al., 2009; Bauman et al., 2000; van den Bent et al., 2006).

Because is most common in oligodendroglomas, 1p/19q deletion status is often used to support a diagnosis of oligodendrogloma when the histology is ambiguous (Aldape et al., 2007).

## 1.9. Epigenetics

Epigenetic is described as a heritable change in gene expression without an alteration in the DNA sequence (Holliday, 1987).

In addition to genetic alterations, epigenetic abnormalities are associated with all cancer types and it is now apparent that not only genetic, but also epigenetic changes might be responsible for cancer initiation and progression.

Epigenetic modifications are reversible but nonetheless are very stable and can be generally divided into three main mechanisms: DNA methylation, histone modification and chromatin remodelling. Disruption of any of these three mechanisms leads to inappropriate gene expression, resulting in cancer development and other diseases (Jones and Baylin, 2002). Of these mechanisms, the most frequent and the best studied in mammalian genome is DNA methylation.

### 1.9.1. DNA methylation

DNA methylation is the covalent addition or subtraction of a methyl group to a cytosine nucleotide in a sequence of DNA. In humans, DNA methylation is catalysed by enzymes, named DNA methyltransferases (DNMTs). DNMTs family encompasses three main enzymes, DNMT1, DNMT3A and DNMT3B, which are divided according to their maintenance and/or de novo functions. Maintenance DNMT1 binds methyl groups to the hemimethylated DNA during replication whereas de novo DNMT3A and DNMT3B add methyl groups to CpG dinucleotides of unmethylated DNA (Okano et al., 1999; Esteller, 2008).

In addition to the DNMTs, also play an important role in DNA methylation, demethylases (namely 5-methylcytosine glycosylase and MBD2b), methylation centers triggering DNA methylation and methylation protection centers (Costello and Plass, 2001).

In mammalian cells, methylation is mostly restricted to cytosine (C) residues that precede guanine (G) residues, denominated CpG dinucleotides. In general, CpG dinucleotides are underrepresented in the mammalian genome but can be found at relatively high frequency in short genomic sequences known as CpG islands (Bird, 1986).

The overall frequency of CpG dinucleotides in the genome is substantially lower than what would be mathematically predicted, probably because DNA methylation has progressively

depleted the genome of CpG dinucleotides over the course of time. This depletion is related to the propensity of methylated C to deaminate, forming T, and if this mutation is not repaired, the C to T change remains and represents the most common type of genetic polymorphism in human populations.

CpG islands are DNA segments of 0.5 to 2.5 kb in size, which are rich in cytosine-guanine dinucleotides (have a frequency of CpG dinucleotides approximately five times greater than the genome as a whole) and comprise 1-2% of the entire genome (Bird, 1986).

CpG islands are often located in the promoter or 5' exon sequences of genes. About 50 to 60% of human genes harbour CpG islands in their promoter sequences and these CpG islands are normally protected from methylation, while CpG dinucleotides outside CpG islands are commonly methylated (Larsen et al., 1992; Antequera and Bird, 1993; Costello and Plass, 2001). It has been proposed that these patterns of methylation are responsible for transcriptionally active and inactive zones in human genome (Costello and Plass, 2001).

### **1.9.2. DNA methylation in normal cells**

The deletion of any of three methyltransferases genes from mice is lethal, suggesting that methylation has additional and indispensable functions in mammal (Okano et al., 1999).

In normal cells, CpG islands methylation in the promoter region is a normal event that occurs to regulate gene expression, as mechanism for control of specific gene expression in normal tissues in adults. The most prominent examples are the inactivation of the x chromosome in females (methylation of CpG island is present on the inactivated x chromosome), the control of certain tissue-specific genes which are silenced in almost tissues except malignant tumors and imprinted genes (only the paternally or maternally inherited allele of the gene is expressed, the inactivation of the allele which is not expressed is associated with promoter methylation) (Ideraabdullah et al., 2008).

### **1.9.3. DNA methylation and cancer**

As compared with normal cells, cancer cells show major disruptions in their DNA methylations patterns. Cancer cells exhibit two apparently opposing changes in their pattern of DNA methylation, both playing important roles in tumorigenic process: overall decrease in DNA methylation (DNA Hypomethylation) and increased methylation of CpG islands (DNA Hypermethylation). Whether genome hypomethylation and CpG island hypermethylation are

linked by a common underlying mechanism or result from distinct abnormalities in the cancer is currently unknown.

DNA hypomethylation and hypermethylation occur at specific but distinct sites within cancer genome what suggests different aetiologies and both are present in pre-malignant lesions indicating that they are not simply a consequence of the malignant state. Hypermethylation at promoter CpG islands is the most studied and has a much clear role in carcinogenesis.

Therefore, DNA methylation can act as a double-edged sword, promoting the neoplastic process by local hypermethylation resulting in silencing of tumor suppressor genes and in parallel by global hypomethylation triggering reactivation of cellular proto-oncogenes.

#### **1.9.3.1. DNA Hypomethylation**

The low level of DNA methylation in tumors as compared to their normal tissue counterparts is denominated as DNA hypomethylation. In human tumors, DNA hypomethylation, was identified even earlier than hypermethylation (Feinberg and Vogelstein, 1983) and in human genome is often found in repeated DNA sequences such as satellite DNA, LINE, SINE, viral retrotransposon sequence and single copy genes (Elrich, 2002).

Although analysis of DNA methylation at a genome-wide level has revealed that virtually all human tumors exhibit overall decreased levels of DNA methylation, its role in cancer development remains to be elucidated (Costello and Plass, 2001). Meanwhile, it has been proposed that global hypomethylation may contribute to oncogenesis by generation of chromosome instability, latent retrotransposon activation and oncogene loss of imprinting and consequent activation (Esteller, 2008).

DNA hypomethylation is a common event in solid tumors such as prostate tumors, cervical cancer and in some hematologic malignancies such as leukaemia (Kim et al., 1994; Bedford and van Helden, 1987). Many genes are activated and expressed through hypomethylation in human tumors including HRAS, cyclin D2 and Maspin in gastric cancer, carbonic anhydrase IX in renal cell and S100 calcium-binding protein A4 in colon cancer and melanoma-associated MAGE gene in melanoma and glioblastoma. Human papillomavirus HPV16 is also activated by hypomethylation in cervical cancer (Wilson et al., 2007).

Also, the global hypomethylation seen in a number of cancers like breast, cervical and brain show a progressive increase with the grade of malignancy supporting the idea that hypomethylation is proportional to tumor degree of malignancy and may serve as a biological marker with prognostic value (Ehrlich, 2002).

Although widely found in human cancers, the mechanism by which global DNA hypomethylation contributes to cancer development is still debated, remaining unclear if hypomethylation is an early event causing cancer or just a passive consequence of tumor development without any functional consequences.

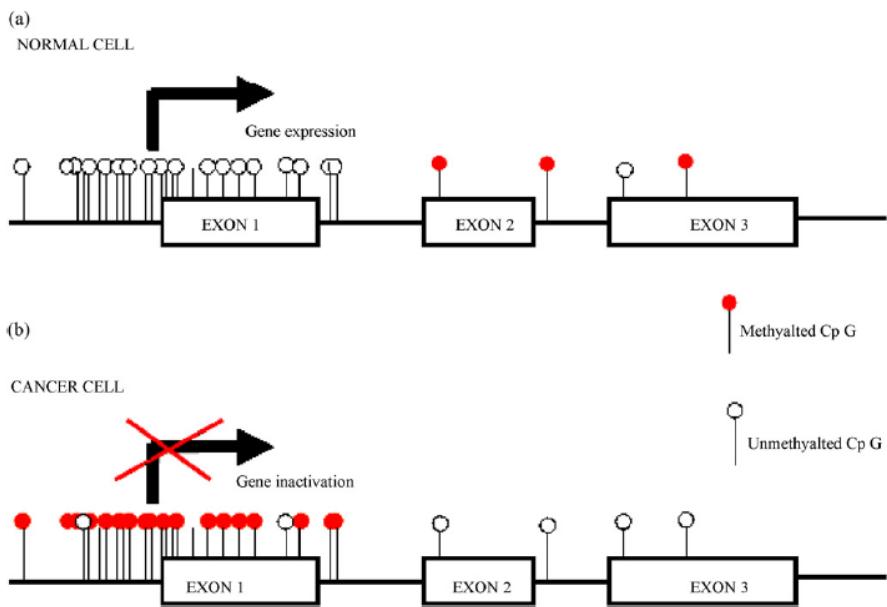
### **1.9.3.2. DNA Hypermethylation**

In cancer, CpG islands of the promoter region are frequently hypermethylated. This promoter hypermethylation is the most well categorized epigenetic change to occur in cancer and it is found in virtually every type of human tumors (Jones and Baylin, 2002; Esteller, 2002).

Hypermethylation of the CpG-island promoter can affect genes involved in the cell cycle, DNA repair, metabolism of carcinogens, cell-to-cell interaction, apoptosis and angiogenesis, all of which involved in the development of cancer (Esteller, 2008).

Methylation of CpG islands in gene promoter regions is associated with aberrant silencing of transcription and is a mechanism for inactivation of tumor suppressor gene (Figure 8) (Bird, 1996).

Several explaining mechanisms for transcriptional repression by DNA methylation have been proposed: through direct interference with the binding of specific transcription factors to their recognition sites in their respective promoters, direct binding of specific transcriptional repressors (proteins or proteins complexes) to methylated DNA which indirectly inhibits the binding of transcription factors by limiting access and also affecting histone modifications and chromatin structure, which in turn, can alter gene expression (Partha and Rakesh, 2004).

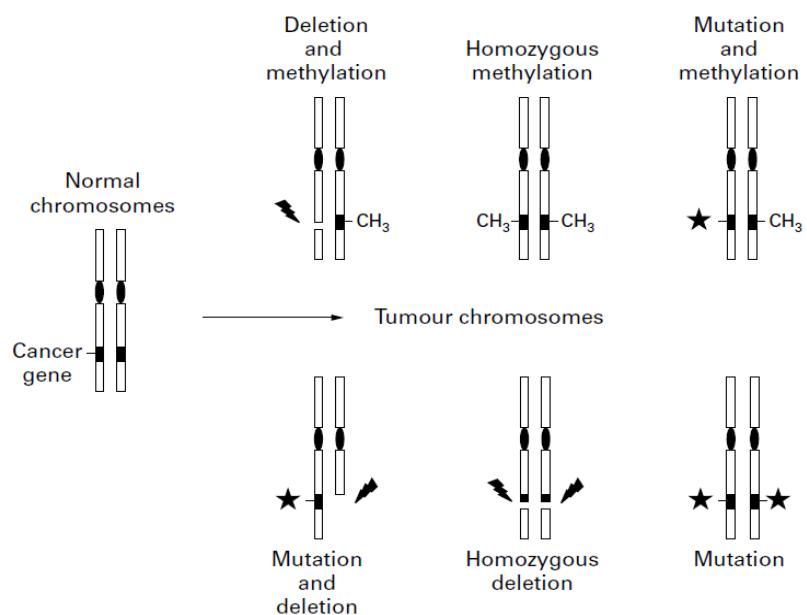


**Figure 8 \_ Gene inactivation by methylation of a promoter CpG island.** In normal cell, most CpG sites within the promoter are unmethylated. In cancer cell, methylation of most CpG sites of the promoter completely blocks transcription.

The importance of hypermethylation of CpG islands during tumor development has been particularly highlighted by a number of examples in which epigenetic, and not genetic, inactivation is the primary mechanism for loss of gene expression during carcinogenesis. In fact, the number of cancer-related genes affected by epigenetic inactivation equals or exceeds the number of genes that are inactivated by mutation (Jones and Laird, 1999).

With the emergence of new studies in epigenetic field has been proposed that the “Two-hit Hypothesis”, postulated by Knudson to explain how mutations in tumor suppressor genes drive cancer, can be a combination of genetic and epigenetic events. Unlike genetic events, DNA methylation can modify the gene activity without changing the gene sequence (Knudson, 1971).

Since tumor suppressor gene have two alleles, in the model proposed by Knudson, both alleles have to be inactivated prior to tumor formation. The inactivation of the second allele was not always understood, until recent research demonstrated that this inactivation can occur by aberrant DNA hypermethylation (Jones and Laird, 1999). Therefore, methylation of CpG island promoters may inactive both alleles of a proven cancer gene or may act together with genetic mechanisms including point mutation or deletion (Figure 9) (Costello, 2003).

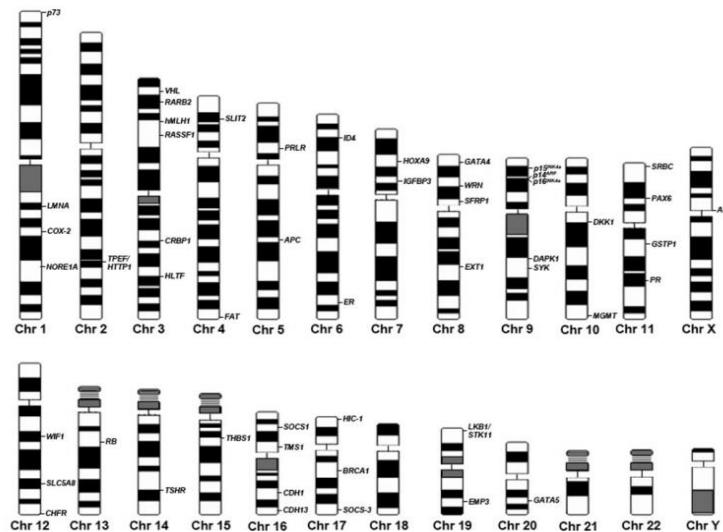


**Figure 9 – Genetic and epigenetic mechanisms that inactivate cancer genes.** The mechanisms can act alone or in various combinations to cause biallelic inactivation of a cancer gene. (Adapted from Costello and Plass, 2001).

This assumption is now being used to identify novel tumor suppressor genes in regions of chromosomal loss where searches for mutated genes have failed to identify candidate cancer genes. Certain chromosomal regions seem to be more prone to hypermethylation events than others, being the short arm of chromosome 3 (3p) the chromosome region where more promoter CpG islands hypermethylation have been identified (Figure 10) (Esteller, 2007).

Many of the genes modified by promoter hypermethylation have classic tumor suppressor function. Aberrant CpG island hypermethylation in tumor suppressor genes or tumor-related genes is a frequent finding in human cancers of various tissue types, and each type has its own distinct methylation pattern. Generally genes frequently methylated in a specific cancer type differed from those methylated in other cancer types. These characteristic allows that different types of cancer can be reliably distinguished by their unique tumor-type and gene-specific DNA hypermethylation pattern. These specific DNA methylation pattern is usually designed by DNA "hypermethylome" (Costello et al., 2000a).

The first discovery of methylation in a CpG island of a tumor suppressor gene in a human cancer was that of retinoblastoma gene (Rb) in 1989 (Greger et al., 1989). Then, the subsequent characterization of tumor suppressor genes, such as, VHL, p16, MLH1 and BRAC1, in which transcriptional silencing was associated with the hypermethylation gave rise to a new interest in epigenetic matter (reviewed in Esteller, 2002).



**Figure 10 \_ Distribution of hypermethylated genes in human cancer.** (Adapted from Esteller, 2007).

The reason why some genes are hypermethylated and others remained unmethylated in specific tissue types is unclear. One explanation is that certain genes become hypermethylated preferentially because their hypermethylation confers a selective growth and survival advantage to a given cancer cell (Park and Claus, 2007). For example, BRCA1 gene undergoes promoter hypermethylation only in breast and ovarian tumors because only in these tumor types does the lack of this transcript have important cellular consequences (Esteller et al., 2000b).

When analysing tumor types regarding to DNA hypermethylation, tumors from the gastrointestinal tract emerge as the most methylated while ovarian tumors and sarcomas are described as the less hypermethylated. These can be explained by the fact that hypermethylated genes in the tumor types described as less hypermethylated have not yet been found or that tumors more exposed to external carcinogen agents, like those from gastrointestinal tract, are for this reason more hypermethylated types (Esteller, 2007).

#### **1.9.4. DNA methylation in pre-malignant lesions**

Promoter hypermethylation is often an early event in the natural history of human cancer and might be a key initiating event (Esteller and Corn, 2001). Alterations of DNA methylation patterns often precede malignancy as extensive CpG island hypermethylation can be detected in benign lesions that precede neoplastic process.

Early occurrence of DNA hypermethylation has been established in preneoplastic pancreatic intraepithelial lesions that precede pancreatic carcinogenesis (Hanoun et al., 2010), in chronic cholecystitis that may be a precursor lesion for gallbladder cancer (García et al., 2009), in endometrial hyperplasia preceding endometrial cancer (Esteller et al., 1999a; Banno et al., 2006), in basal cell hyperplasia squamous metaplasia and carcinoma in situ of the lung (Belinsky et al., 1998), in colorectal adenomas (Judson et al., 2006) and in premalignant stages of gastric carcinoma (Kang et al., 2001).

#### **1.9.5. Aging, DNA methylation and cancer**

The use of methylated genes is based on the assumption that methylation at specific sites in the promoter regions of certain genes is confined to malignancy or premalignant lesions. Other factors, however, may affect gene methylation such as aging, benign diseases and exposure to environmental influences. To be useful as biomarkers, cancer associated or predisposing alterations have therefore to be distinguishable from age-associated DNA methylation changes.

Aging has long been considered one of the most important risk factors for the development of cancers, and has generally been attributed to the cumulative exposure to carcinogens over time, as well as the time required to have the multiple hits needed for the onset of cancer. At the same time, several studies suggested that age-related CpG island methylation is a universal phenomenon and one of the most frequent events contributing to hypermethylation in cancers (Ahuja and Issa, 2000).

Studies for the relationship between aging and DNA methylation have demonstrated that aging is associated with genomic DNA hypomethylation and gene specific promoter DNA hypermethylation. Total methylcytosine contents are prone to decrease by aging, leading to genomic hypomethylation in most vertebrate tissues, whereas promoter regions tend to undergo hypermethylation in many genes.

The first link between aging and changes in DNA methylation was initially reported for the estrogen receptor (ER) gene in normal human colon. ER methylation was undetectable in young patients, but partial methylation of ER can be observed in normal tissues of older patients, and increases with age. ER hypermethylation is then found in all colonic adenomas and cancers (Issa et al., 1994). Posteriorly, other study showed that both N33 and MyoD genes also have a pattern of methylation in the normal colorectal mucosa that increases as a function of age (Ahuja et al., 1998).

Also in colon, when analyzing the methylation status of various genes, Toyota and coworkers found that 70% of genes showed hypermethylation in normal colon tissue with increased hypermethylation in adenomas and colon cancer, while in other genes hypermethylation was found only in cancer (Toyota et al., 1999b). In a similar study in breast cancer, in about half of genes, hypermethylation was present in normal tissue and cancer, while the remaining half is de-novo hypermethylated (Huang et al., 1999).

Supporting these findings, a study performed in monozygotic twins reveals that the patterns of epigenetic modifications in young twins are indistinguishable but diverge as they become older, so differences in epigenetic patterns in genetically identical individuals could be explained by the influence of both external and internal factors, such as aging (Fraga et al., 2005).

The results of the studies also suggest that age-related methylation is gene and tissue-specific and only certain promoter are susceptible to this process. Also, and since age-related methylation is widespread while de-novo methylation in cancer is highly selective for certain genes, it is likely that each is governed by different mechanisms (Ahuja and Issa, 2000).

With regard to this topic, some limitations have been identified in the identification of methylation associated with aging, particularly the percentage of methylated cells present in the analyzed samples. An important point in studying the phenomenon of age-related methylation is that the normal tissue adjacent to cancer may not always be representative of the cell populations that are predisposed to neoplasia (Ahuja and Issa, 2000). Fujii and co-workers (1998) analyzed 39 primary breast cancer tissues and found that HIC-1 gene (Hypermethylated in cancer 1 gene which is a candidate tumor suppressor gene, located at 17p13.3) was hypermethylated in 67% of cases. Interestingly, they also verified that normal breast tissue, largely composed of supportive stromal cells, was unmethylated for HIC-1, while the purified normal breast epithelium was highly methylated.

In human glioblastoma samples, a striking association between age at diagnosis and the incidence of ER and N33 genes methylation status was found (Li et al., 1998). ER and N33 methylation was significantly more frequent in tumors of older patients, however, in normal brain, it was not observed methylation of these two genes. As referred before, in the colon, methylation of ER gene can be observed in normal tissues, and increases with age (Issa et al., 1994). According to Li and co-workers (1998), these apparently discordant results can be explained by age-related methylation in a small population of glial cells that is not detectable by Southern blot analysis (i.e. representing less than 3% of nucleated cells in the brain since 3% was the approximate limit for methylation detection in their studies) that becomes predisposed to glioblastoma tumorigenesis in the process.

#### **1.9.6. Use of demethylating agents to induce gene reexpression**

An usual way to demonstrate that CpG island hypermethylation of a given gene is crucial for the cancer cell is to study the effects of its reexpression. A hypermethylated gene is silent however its structure is intact and so the use of demethylating agent may restore its normal functionality (Esteller, 2002).

Hypermethylation of the CpG island of MLH1 promoter (gene involved in DNA mismatch repair) is found in the majority of sporadic primary colorectal cancers (about of 84%) with microsatellite instability (MSI), and is often associated with loss of MLH1 protein expression. Such methylation also occurred, but was less common, in microsatellite stable tumors, as well as in MSI tumors with known mutations of a mismatch repair gene (MMR).

Reversal of the MLH1 promoter methylation with 5-aza-2'-deoxycytidine, a demethylating drug, in colorectal cancer cell lines not only resulted in reexpression of MLH1 protein, but also in restoration of the MMR capacity in MMR-deficient cell lines. These results suggest that DNA methylation associated with transcriptional silencing of MLH1 is the underlying cause of MMR defects in most sporadic colorectal cancers having a MSI+ phenotype (Herman et al., 1998).

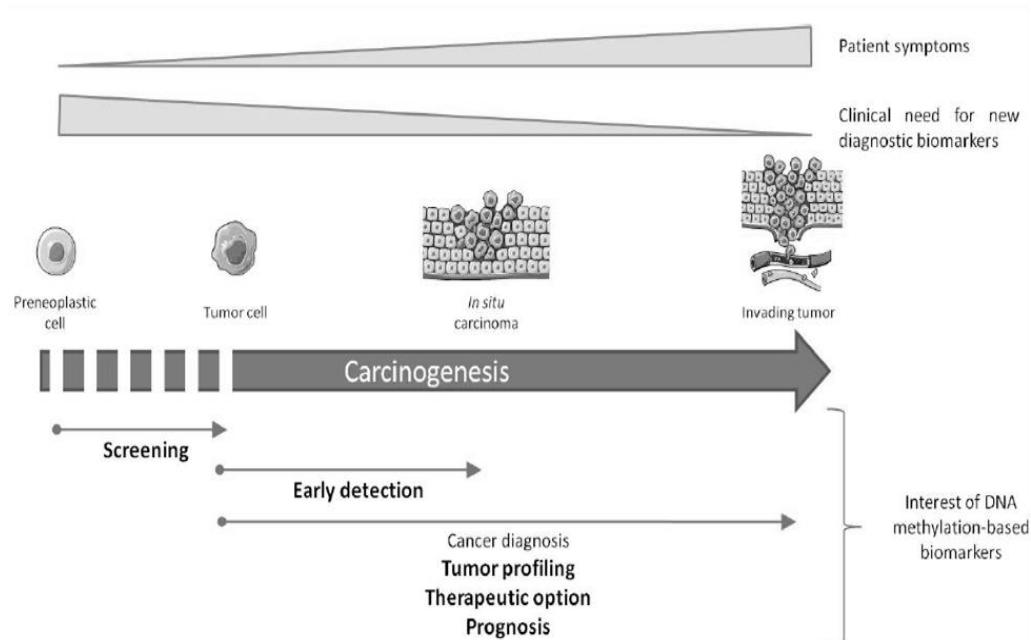
Death-associated protein kinase (DAPK) is a serine/threonine kinase whose expression is required for gamma interferon-induced apoptosis. In leukaemia cell lines, apoptosis induced by gamma-interferon is inhibited by the epigenetic silencing of its mediator DAPK. Treatment of these cell lines with 5-aza-2'-deoxycytidine induce DAPK reexpression by hypomethylating its promoter and cells became sensitive to  $\gamma$  interferon treatment (Katzenellenbogen et al., 1999).

However, the finding that a gene that is not expressed in a cancer cell is reactivated by the use of demethylating drugs is no definitive proof, by yourself, that gene was silencing due to hypermethylation. In fact, demethylating drugs can induce gene reexpression through an indirect manner by damaging the cell or inducing the expression of a transcriptional activator that was really methylated. For this reason, analysis of the methylation status of the CpG island is the only definitive proof (Esteller, 2002).

### 1.9.7. DNA methylation as a biomarker

Over the past two decades the potential use of DNA methylation as biomarker for cancer has been assessed. DNA methylation is attracting increasing attention as a potential biomarker once evidence indicates that aberrant methylation can be used for detection and diagnosis, prediction of response to therapeutic and prognosis of outcome in cancer (Figure 11).

The genes undergoing methylation during the early phases of tumorigenesis are potential markers for identifying individuals at increased risk of developing malignancy or for aiding the diagnosis of early malignancy, while those genes undergoing methylation during progression of malignancy are potential prognostic markers. In addition, measurement of the methylation status of genes involved in drug sensitive and/or resistance may yield therapy predictive information (Duffy et al., 2009).



**Figure 11 \_ DNA methylation as biomarker in cancer diagnosis.** (Adapted from Delpu et al, 2013).

### **1.9.7.1. Diagnostic and tumor classification marker**

One of the most important features of cancer DNA methylation changes, making them useful for cancer detection and classification, is that they are tissue and tumor-type specific (Esteller et al., 2001).

Tumors derived from different tissues and histopathological subtypes of many human tumors show different and unique patterns of DNA methylation changes facilitating further classification. These specific DNA methylation pattern is usually designed by DNA “hypermethylome” (Costello et al., 2000a).

A landmark study demonstrated that methylation patterns of 1184 CpG island were heterogeneous between different types of tumors but quite homogeneous inside a tumor type. Breast, head and neck, and testicular tumors show overall low levels of methylation whereas tumor types such as colorectal tumors, acute myeloid leukemias or gliomas are characterized by high levels of methylation (Costello et al., 2000a).

Other study, investigated the promoter methylation status of 12 genes in 15 major tumor types and revealed that each tumor type displays specific patterns of aberrant promoter methylation and also suggest that panels of only few genes is sufficient to distinguish between the different tumor types (Esteller et al., 2001).

The study of DNA methylation of genes at a large scale in different cancers has revealed the existence of CpG island methylator phenotype (CIMP) characterized by concordant hypermethylation of numerous sequences. Cancers can be classified according to their degree of methylation, and those cancers with high degrees of methylation represent a clinically and aetiologically distinct group that is characterized by epigenetic instability. Furthermore, CIMP-associated cancers seem to have a distinct epidemiology, a distinct histology, distinct precursor lesions and distinct molecular features (Issa, 2004). The CIMP has been observed in several cancer types including gastric, lung, liver and ovarian cancers, gliomas and leukemias (Teodoridis et al., 2008; Noushmehr et al., 2010), but has been excluded in other cancers like breast cancer (Bae et al., 2004).

### 1.9.7.2. Detection of aberrant DNA methylation in biological fluids as early detection tool for cancer

For many types of human tumors, symptoms are often not presented until the primary tumors have invaded surrounding tissue and/or metastasized, therefore the late presentation of neoplastic process prevents the timely detection of cancer, resulting in high mortality. The discovery of epigenetic biomarkers thus may prove to be extremely useful for early detection and prevention of cancer.

The benefits of early detection of cancer have led researchers to evaluate methylation markers as potential screening tools. Although using primary tissue to study methylation profiles and generate new potential biomarkers is of interest, acquiring this biological material is only achievable by means of invasive techniques. Many studies described the application of assays, for methylation markers known to be present in tumors, to other diagnostic samples, namely body fluids, that may contain DNA, such as serum, plasma, blood, urine, saliva, sputum, bronchoalveolar lavage, ejaculates and ductal fluid. These samples can be obtained using minimally or even non-invasive diagnostic techniques.

Promoter hypermethylation of the glutathione S-transferase P1 gene (*GSTP1*) is the most frequent DNA alteration in prostatic carcinoma but is not found in normal prostatic tissue or other normal tissues. Matched specimens of primary tumor, peripheral blood lymphocytes (normal control), and simple voided urine were collected from 28 patients with prostate cancer. From the 22 prostate tumors that were positive for *GSTP1* methylation, in 27% of cases, the corresponding urine-sediment DNA was positive for *GSTP1* methylation, indicating the presence of neoplastic DNA in the urine. There was no case where a urine-sediment DNA gave a positive methylation result in the absence of methylation in the corresponding tumor (Cairns et al., 2001).

In breast cancer patients, from a panel of six genes, *GSTP1*, *RAR\_2*, *p16INK4a*, *p14ARF*, *RASSF1A*, and *DAP-kinase*, aberrant promoter hypermethylation of one or more genes was found in all 22 tumors (100% diagnostic coverage) and identical gene hypermethylation pattern was detected in 18 of 22 (82%) matched nipple aspirate fluid. In contrast, hypermethylation was absent in benign and normal breast tissue and nipple aspirate DNA from healthy women (Krassenstein et al., 2004).

Wong et al reported that *p16* gene was methylated in 16 of 22 patients with hepatocellular carcinoma and of the 16 patients with methylated *p16* in their tumors, 13 also had methylation in their plasma/serum samples. No methylated *p16* sequences were detected in the peripheral plasma/ serum of the six hepatocellular carcinomas cases without these

changes in the tumor, in 38 patients with chronic hepatitis/cirrhosis, or in 10 healthy control subjects (Wong et al., 1999).

Aberrant methylation of eight gene promoters (*CDH1*, *APC*, *MGMT*, *RASSF1A*, *GSTP1*, *p16*, *RAR-2*, and *ARF*) was examined in the tumor and the matched bronchoalveolar lavage (BAL) from 31 patients with primary lung cancer, as well in 10 normal control samples. Promoter hypermethylation of at least one of the genes studied was detected in all 31 lung primary tumors. Methylation was detected in 68% (21/31) BAL samples, and in every case, aberrant methylation in BAL was accompanied by concordant methylation in the matched tumor samples. Aberrant methylation was not detected in the BAL of lung cancer patients without methylation in the corresponding tumor (Topaloglu et al., 2004).

Many of these biological samples can be obtained with minimal invasiveness and thus are suitable for large population screening in the detection of a wide variety of cancers.

#### **1.9.7.3. Detection of aberrant DNA methylation in serum and cerebrospinal fluid in glioma patients**

Examination of serum for circulating tumor DNA with abnormal methylation patterns offers a possible method for early detection of several cancers. Aberrant CpG island hypermethylation rarely occur in non-neoplastic and normally differentiated cells. Therefore, the DNA released from tumor cells can be detected with a notable degree of sensitivity, even in the presence of excess of DNA from normal cells and this represents a remarkable potential clinical application.

There are several sources of extracellular DNA namely necrotic cell death that releases high-molecular-weight DNA into the bloodstream and programmed cell death (apoptosis) (Lavon et al., 2010).

Although brain tumors may shed free DNA into the extracellular space at the same rate as systemic tumors, several anatomic and physiologic differences make it uncertain how much of this DNA may reach systemic circulation while it is still detectable. Primary brain tumors are confined to the cranial vault, where their extracellular space drains largely into the cerebrospinal fluid (CSF), which, following circulation, will eventually clear into the bloodstream (Lavon et al, 2010).

Several studies seem to confirm that the blood–brain barrier (BBB) does not interfere significantly with the leakage of tumor-specific DNA into the global circulation, which makes the detection of methylation biomarkers in the serum of CNS cancer patients possible.

In a series of 20 astrocytic and 20 oligodendroglial tumors and the corresponding serum samples, and serum samples from 10 healthy individuals, p16 gene methylation was reported in 12 of the 20 tissues with astrocytoma, but in only 1 of the tissues with oligodendroglioma. Similar methylations were detected in the serum of 9 of the 12 patients with aberrant methylation in the tumor tissues. No methylated p16 sequences were detected in the peripheral serum of the patients having tumors without these methylation changes or in the 10 healthy controls (Wakabayashi et al., 2009).

Paired tumor-serum samples from 70 patients with high-grade astrocytomas and oligodendrogiomas of various grades were analyzed to ascertain the methylation status of MGMT and PTEN genes promoters by MSP. Statistically significant tumor-serum concordance was found for MGMT methylation in both astrocytic tumors and oligodendroglial tumors allowing the conclusion that serum DNA in glial tumors is informative for aberrant gene promoter methylation analysis during the course of the disease (Lavon et al., 2010).

MSP was also used to determine the methylation status of the promoters for p16/(INK4a), MGMT, p73, and RAR $\beta$  genes within glioma tissue and corresponding plasma. It was found that patients with high grade gliomas have large amounts of DNA in the plasma. Of these primary brain tumors, 90 percent contained methylated gene promoters, and in over 60 percent of these patients the same methylated promoters present in the tumor were also found in the plasma (Weaver et al., 2006).

In a similar study, the methylation profiles of MGMT, RASSF1A, p15INK4B, and p14ARF genes were evaluated in serum free-circulating DNA and the corresponding tumor tissue in a group of 33 primary or metastatic CNS cancer patients. All the tested genes were found to be methylated to a different extent in both serum and tumor samples and the gene methylation profiles observed in serum, in most cases, matched the methylation profiles detected in paired tumor samples (Majchrzak-Celińska et al., 2013).

Likewise, when analyzed gene methylation in primary tumor, blood and cerebrospinal fluid, in patients diagnosed with malignant glioma, a relation was also found. Promoter hypermethylation of four tumor-related genes, MGMT, p16INK4a, TIMP-3 and THBS1, in serum and CSF from glioma patients, is a specific event in that hypermethylation was not detected in any of the 20 control serum and the same methylation profiles were found in the serum, CSF, and the corresponding tumor, while hypermethylation was not detected in the serum or CSF of glioma patients without methylation in the corresponding tumor. Highly

elevated MGMT, p16INK4a, and THBS1 methylation level in gliomas serum was an independent factor predicting inferior overall survival in the cohort in study (Liu et al., 2010).

It has also been suggested that MSP assay in serum DNA could be a good predictive tool for selecting glioblastoma patients to be treated with BCNU or alternatively with the combination of temozolamide plus cisplatin. The methylation status of *MGMT*, *p16*, *DAPK*, and *RASSF1A* genes was assessed in tumor and matching serum of a series of 28 glioblastoma patients treated with either BCNU or temozolamide plus cisplatin. Methylated *MGMT*, *p16*, *DAPK*, and *RASSF1A* were found in serum DNA of glioblastoma patients, with a good and highly significant correlation between serum and primary tumor tissue. Moreover, serum *MGMT* methylation predicted response and time to progression in BCNU-treated glioblastoma patients (Balána et al., 2003).

The results from the studies cited above, illustrate that both circulating tumor-derived DNA and CSF harbor the methylation markers found in the originating tumor tissue and are eligible for clinical biomarker assessment.

It allows the conclusion that DNA methylation patterns measured in peripheral blood and CSF in glioma patients have great potential to be useful and informative biomarkers of cancer risk and prognosis. However, large systematic and unbiased prospective studies will be needed in order to develop clinically feasible assays.

#### **1.9.7.4. Prognostic marker**

Hypermethylation events occur already at the early stages of cancer development and may provide prognostic information, as they might reflect both the growth advantage and malignant potential of cancer cells. Over the years there were several genes whose promoter hypermethylation has been linked to patient prognosis.

Hypermethylation of the E-cadherin gene was significantly associated with shorter disease free survival in diffuse gastric cancer and oral tongue carcinomas, and with early recurrence in gastric stromal tumor (Graziano et al., 2004; Chang et al., 2002; House et al., 2003).

Aberrant methylation of laminin-5 gene was a negative prognostic marker in prostate and bladder tumors tissues (Sathyanarayana et al., 2003; Sathyanarayana et al., 2004).

Promoter hypermethylation of RAS association domain family Protein1A (RASSF1A) gene has been shown to be predictive of poor prognosis in many tumors including prostate (Ge et al., 2014), breast (Martins et al., 2011), endometrial (Jo et al., 2006), lung (Wang et al., 2004) and bladder (Gao et al., 2012) cancers, hepatoblastoma (Sugawara et al., 2007) and clear-cell renal cell carcinoma (Kawai et al., 2010).

#### **1.9.7.5. Predictive marker**

DNA methylation might help to predict the clinical phenotypes in individual patients and may be a proper biomarker to optimize individualized cancer treatment. However, the knowledge of epigenetics in drug response is still in its infancy.

In breast cancer patients, methylation of ESR1 and ARH1 genes can predict survival in tamoxifen-treated and non-tamoxifen treated patients, respectively (Widschwendter et al., 2004), and the estrogen receptor  $\beta$  promoter was associated with positive response to tamoxifen treatment in recurrent breast cancer (Chang et al., 2005). In melanoma, inherent or chemotherapy acquired drug resistance was found to be mediated through the inactivation of APAF-1 gene due to promoter hypermethylation (Soengas et al., 2001). In ovarian cancer, BRAC1 promoter hypermethylation was associated with sensitivity to platinum-based chemotherapy (Chaudhry et al., 2009). In glioblastoma patients, and as will be discussed in more detail further ahead, MGMT promoter methylation status can predict response to alkylating based chemotherapy, and represents the most compelling example of DNA methylation status utility as predictive biomarker until to date (Esteller et al., 2000a) .

#### **1.9.8. DNA methylation as therapeutic target in cancer**

A distinguish feature of epigenetic changes in comparison with genetic changes is that they are reversible. Inhibition of DNA methylation and consequent re-activation of genes related to tumor development is an attractive avenue for the development of novel therapeutics (Figure 12). This strategy is particularly appealing because, in normal cells these genes are not normally regulated by DNA methylation and therefore the toxicity of inhibitors of DNA methylation to non-cancer cells could potentially be well below that seen with conventional cytotoxic anti-cancer agents.

### 1.9.8.1. DNMT Inhibitors

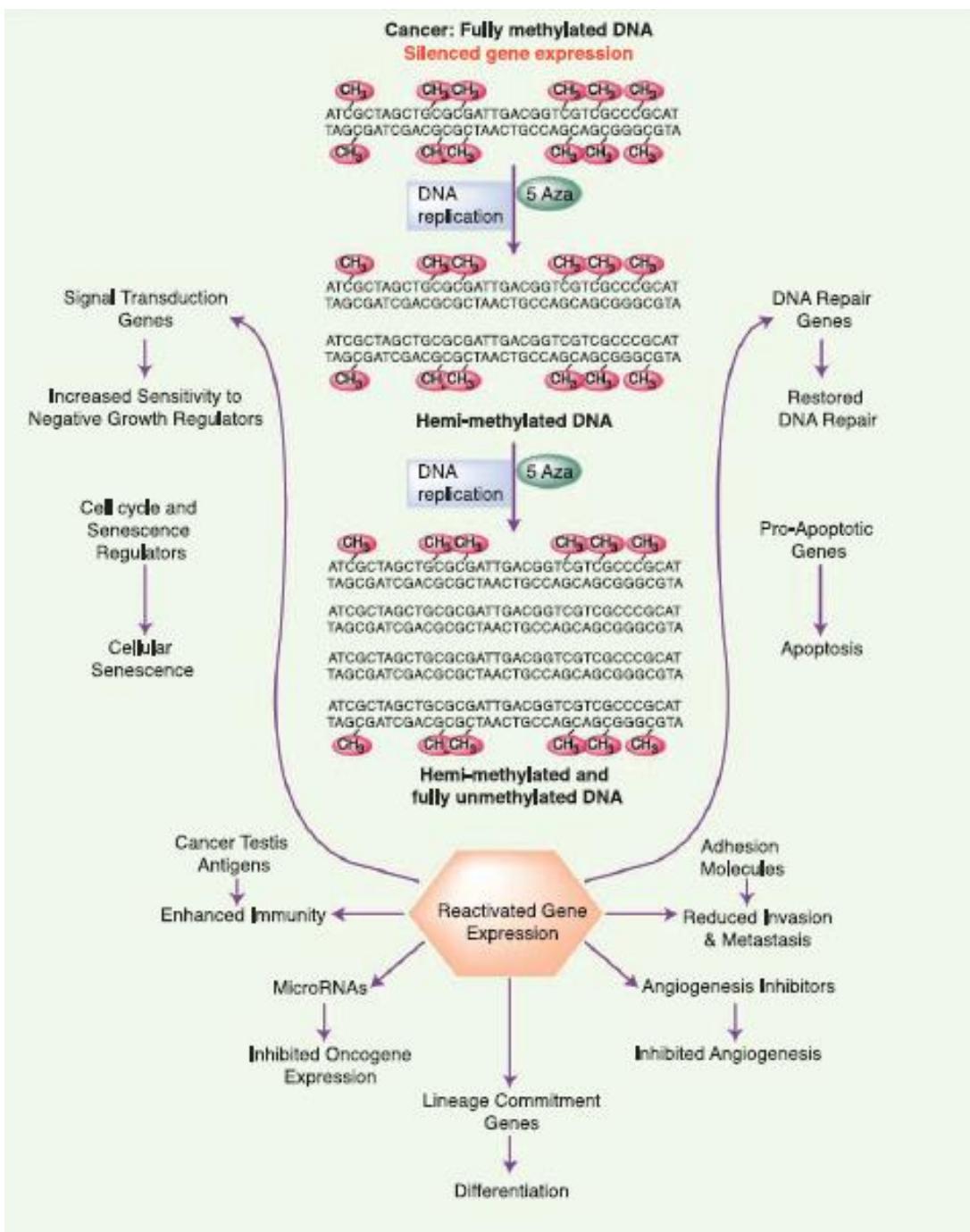
Since DNA methylation is established and maintained by enzymatic reactions, aberrant DNA methylation patterns are potentially reversible by DNMT inhibitors. DNA methylation inhibitors are divided in nucleosidic and non-nucleosidic DNMTs inhibitors. Although many of them mediate inhibitory effects of DNA methylation in preclinical studies, only few of them are clinically used.

The nucleosidic DNA methylation inhibitors are incorporated in replicating DNA and/or RNA, trap DNMTs and target these enzymes for degradation. DNA synthesis in the absent of DNMTs results in hypomethylation in the cells and results in gene expression reactivation. There are three main nucleosidic DNA methylation inhibitors: Azacytidine or 5-azacytidine (Vidaza®, Celgene, Summit, NJ, USA), Decitabine or 5-aza-2'-deoxycytidine (Dacogen, MHI Pharma, Bloomington, MN, USA) and Zebularine or 1-(b-D-ribofuranosyl)-1,2 dihydropyrimidin-2-one (Tocris Bioscience) (Delpu et al., 2013).

Azacytidine and Decitabine are approved by the U.S. Food and Drug Administration (FDA) and currently used for the treatment of acute myeloid leukemia (AML) and myelodysplastic syndrome (Issa and Kantarjian, 2009; Delpu et al., 2013). Promising results, in recent studies in xenografted mouse models, demonstrate that low doses of Azacytidine and Decitabine have antitumor effects on solid tumors such as those from breast, colon and lungs (Tsai et al., 2012).

Zebularine is mostly studied for its therapeutic activity on AML, however it has not been pursued clinically because of toxicity in preclinical models (Issa and Kantarjian, 2009; Delpu et al., 2013).

Various non-nucleoside analogues were also reported to inhibit DNA methylation including Hydralazine, Procainamide derivates, Flavanoids and others such as RG108 (phthalimido-L-tryptophan), MG98 (DNMT1 antisense oligonucleotide) and SHI-1027 (lipophilic quinolone). The action mechanisms from these drugs that leads to DNA demethylation is still unclear and despite some promising results, trials are being conducted to evaluate their real anti-tumor effects (Issa and Kantarjian, 2009; Delpu et al., 2013).



**Figure 12 \_ Therapeutic effects of DNA methylation inhibition and gene reactivation in cancer.** DNA methylation is maintained postreplication by the action of DNA methyltransferases. Nucleoside DNA methylation inhibitors lead to degradation of the main DNMTs and continued replication results in passive demethylation that eventually results in reactivated gene expression. Activated gene expression, in turn, has effects on multiple pathways, each of which could contribute to a clinical response. Adapted from Issa and Kantarjian, 2009.

## 1.10. Aberrant DNA methylation in gliomas

Although the role of genetic mechanisms in oncogene activation and tumor suppressor inactivation in gliomas is well documented, the pattern of epigenetic alterations still remains poorly characterized. Most studies of the epigenetic changes in gliomas have focused on DNA methylation and a large number of genes involved in various cellular processes including cell cycle regulation, tumor suppression, DNA repair, apoptosis, cell proliferation, invasion and angiogenesis have been identified promoter hypermethylated in gliomas. Some of these genes are listed in Appendix 1.

### 1.10.1. DNA methylation in pilocytic astrocytoma

Analysing a gene panel comprising several genes, ARF, CDKN2B, RB1, APC, CDH1, ESR1, GSTP1, TGFBR2, THBS1, TIMP3, PTGS2, CTNNB1, CALCA, MYOD1 and HIC1, pilocytic astrocytomas showed no evidence of CpG island hypermethylation, but were significantly hypomethylated for all the genes relative to control tissues whereas diffuse astrocytomas and oligodendroglomas showed distinct methylation profiles. The authors suggest the use of MYOD1 hypomethylation as a discriminator of pilocytic astrocytoma from other astrocytoma (Uhlmann et al., 2003).

The methylation status of NF1 gene, which is strongly associated with the development of pilocytic astrocytoma, was investigated in 30 pilocytic astrocytoma. However, no methylation at the *NF1* promoter region in pilocytic astrocytomas was found (Ebinger et al., 2005).

In the other hand, the frequency of aberrant CpG island methylation of ten tumor-associated genes (MGMT, GSTP1, DAPK, p14<sup>ARF</sup>, THBS1, TIMP-3, p73, p16<sup>INK4A</sup>, RB1 and TP53) was determined in 13 pilocytic astrocytomas concluding that CpG island methylation is a common event in pilocytic astrocytomas. Comparison of the pilocytic astrocytoma methylation profile to previous findings on the same genes in other astrocytic tumors showed methylation rates for GSTP1, DAPK, *p14ARF* and *TP53* similar to those found in diffuse astrocytomas. The data for *p16INK4A* and *THBS1* presented high methylation rates in all astrocytoma subgroups including pilocytic astrocytoma. Pilocytic astrocytoma displayed the lowest methylation rate (8%) for *MGMT* against 50-71% methylation in other astrocytoma subgroups (Gonzalez-Gomez et al., 2003b).

In other study, in a series of 16 pilocytic astrocytomas, the methylation frequency of the RB1, p14ARF and p16INK4a genes was 19%, 6%, and 44%, respectively (Bello et al., 2004).

In a series of 62 pilocytic astrocytomas, the global DNA methylation profiles were analyzed using a DNA methylation microarray for 450,000 CpG sites in the human genome. The differential expression and methylation of key genes in brain development has identified two subgroups of pilocytic astrocytomas according to tumor location, infratentorial versus supratentorial. These results support previous genetic evidences which were also related to differential gene expression based on tumor location (Lambert et al., 2013).

The tumor suppressor gene AKAP12 (scaffold protein A-kinase anchor protein 12) expression and promoter methylation status was evaluated in 194 human gliomas, including pilocytic and diffusely infiltrating astrocytomas, and 23 normal brain samples. The results show that AKAP12 is expressed in normal brain, strongly upregulated in pilocytic astrocytomas and weakly expressed in diffuse astrocytomas of WHO grade II to IV. Accordingly, methylation analyses revealed specific hypermethylation of AKAP12 promoter in diffuse astrocytomas but not in pilocytic astrocytomas (Goeppert et al., 2013).

The small number of studies and the contradictory findings regarding the methylation status presented in pilocytic astrocytomas require further studies to determine the true methylation pattern of these tumors.

### **1.10.2. DNA Methylation in oligodendrogloma**

Oligodendrogiomas also exhibit complex profiles of epigenetic alterations that are common and likely to be related to the development and prognosis of these tumors.

In order to determine the frequency and timing of hypermethylation during carcinogenesis of nonastrocytic tumors, promoter methylation status of 10 tumor-associated genes in a series of 41 oligodendrogiomas was analysed. A high frequency of aberrant promoter methylation of the MGMT, GSTP1, TP14<sup>(ARF)</sup>, THBS1, TIMP3, and TP73 genes was observed in oligodendrogiomas grade II and III. Promoter methylation seems to occur early in the carcinogenesis process once it is already present in the low-grade forms (Alonso et al., 2003).

In patients with oligodendroglial tumors, *EMP3* hypermethylation is found in approximately 63% of cases and is strongly associated with both IDH1/IDH2 mutations, 1p/19q codeletion and also with longer overall survival, which emphasizes its relevance as a prognostic marker in these tumors (Mellai et al., 2013).

In a series of 43 oligodendroglial tumors, the hypermethylation of CpG islands at the gene promoter region of 11 tumor-related genes (MGMT, RB1, ER, p73, p16<sup>INK4a</sup>, GST-pi, VHL, DAPK, hMLH1 p15<sup>INK4b</sup>, and p14ARF), involved in the carcinogenesis of oligodendroglial tumors, was evaluated. The result showed that hypermethylation of CpG islands is a common epigenetic event that is associated with the development of oligodendroglial tumors. MGMT promoter hypermethylation was detected at 60% of oligodendroglomas and was significantly associated with loss of chromosome 19q and with combined loss of chromosomes 1p and 19q. More importantly, several candidate tumor suppressor genes such as p16<sup>INK4a</sup>, p15<sup>INK4b</sup>, and p73 that were previously reported as unmethylated in oligodendroglial tumors were found to be hypermethylated in their CpG islands (Dong et al., 2001).

### **1.10.3. DNA methylation in diffuse astrocytoma, anaplastic astrocytoma and glioblastoma**

Astrocytic gliomas often show epithelial membrane protein 3 gene (EMP3) hypermethylation and aberrant expression. DNA methylation of EMP3 may be an early alteration in astrocytoma, being found in 80% of anaplastic, diffuse astrocytoma and secondary glioblastoma. By the other hand, only 17% of primary glioblastoma present EMP3 methylation and frequently overexpressed EMP3. Therefore, the silencing of EMP3 may be a marker that distinguishes primary from secondary glioblastoma (Kunitz et al., 2007).

Several other studies have noted differences between primary and secondary glioblastomas with respect to epigenetic changes. Overall, secondary glioblastoma has a higher frequency of promoter methylation than primary glioblastoma (Ohgaki and Kleihues, 2007).

RB1 gene, considered as a tumor suppressor gene since function as inhibitor of cell cycle progression, is one of the most frequently epigenetically altered gene in glioblastoma. Promoter methylation of RB1 was found to be approximately three times more common in secondary glioblastoma than in primary glioblastoma (43% versus 14%, respectively). A correlation between loss of RB1 expression and RB1 promoter methylation was found and results indicated that RB1 promoter methylation is a late event in astrocytoma progression (Nakamura et al., 2001b).

PTEN promoter methylation is frequent in diffuse astrocytomas and secondary glioblastoma whereas in primary glioblastoma is a rare occurrence (Wiencke et al., 2006). Correlation

between PTEN promoter methylation and expression is not consistent because loss of PTEN function may also occur by others mechanisms including PTEN mutations and LOH at the PTEN locus on chromosome 10q23 (Baeza et al., 2003).

In an analysis of a gene panel comprising several genes, seven (CDKN2B, PTGS2, CALCA, MYOD1, THBS1, TIMP3 and CDH1) have showed tumor specific methylation changes in gliomas. For each tumor subtype altered methylation profiles were found in comparison with normal brain DNA as well as significantly different methylation profiles between the different tumor subtypes: pilocytic astrocytomas were always hypomethylated for all the genes in study whereas diffuse astrocytomas and oligodendroglomas showed distinct methylation profiles. The authors demonstrated that pilocytic astrocytomas, astrocytomas and oligodendroglomas can all be discriminated from each other. This study also represents the first report of hypermethylation of CDH1, PTGS2 and CALCA in gliomas (Uhlmann et al., 2003).

The progression of glioma over time and recurrence are also associated with distinct methylation patterns. The p14<sup>ARF</sup> hypermethylation, for example, is associated with malignant progression and shorter survival in astrocytoma (Watanabe et al., 2007) and p15 promoter hypermethylation is an important prognostic factor for survival in glioblastoma patients (Wemmert et al., 2009). SOCS3 promoter hypermethylation was identified in 35% of the glioblastoma analysed and was significantly associated with poorer patient outcome (Martini et al., 2008).

Martinez et al, have shown that promoter hypermethylation of CASP8 gene was associated with relapsed glioblastoma and DNA methylation pattern is different in relapsed glioblastoma as compared to the corresponding primary tumor in two thirds of cases (Martinez et al., 2007).

One of the hallmarks of gliomas is their marked ability to invade normal brain tissue what makes cure by surgery alone essentially impossible. Genes involved in invasion and metastasis can also be affected by promoter hypermethylation in gliomas. PCDH-γ-A11 gene show hypermethylation in 87% of glioblastoma and 88% of diffuse astrocytomas and is epigenetically silenced in gliomas. It was concluded that the inactivation of this cell-to-cell contact molecule might be involved in the invasive properties of glioma cells (Waha et al., 2005). Other example, the CST6 gene, putative metastasis suppressor gene, was found to be methylated in 74% of high grade gliomas (Kim et al., 2006).

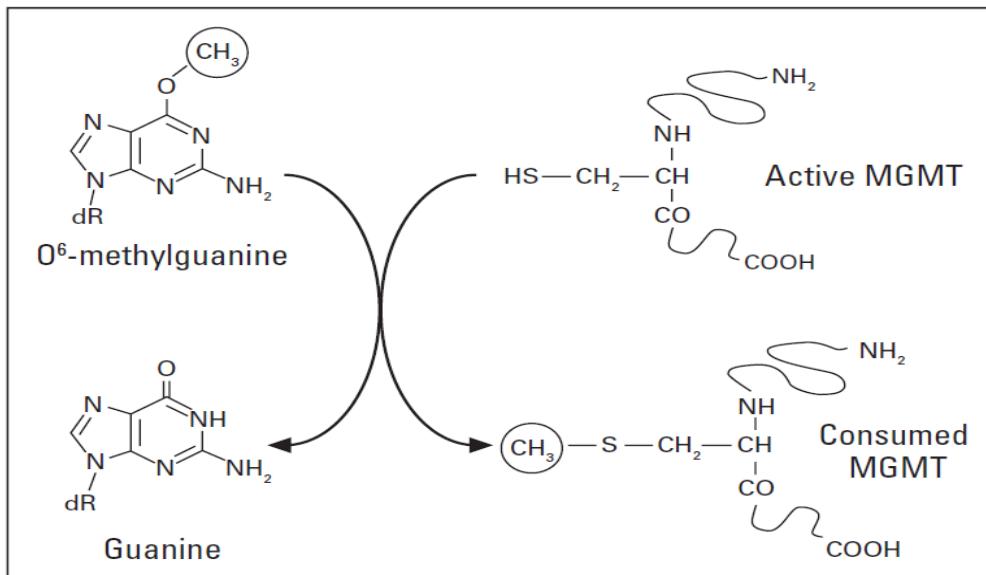
Promoter hypermethylation can modulate sensitivity to drugs and radiotherapy in gliomas. Tumor suppressor genes previously implicated in apoptosis and sensitivity to chemotherapy, such as EMP3, RUNX3 and TES, have been found to be hypermethylated in gliomas in several studies (Mueller et al., 2007; Kunitz et al., 2007).

One particular important example of epigenetic silencing of a drug resistance gene is promoter hypermethylation of MGMT gene.

#### **1.10.4. MGMT gene promoter methylation in gliomas**

O-6-Methylguanine-DNA Methyltransferase (MGMT) is a gene located on chromosome 10q26 which encodes a DNA-repair protein that removes mutagenic and cytotoxic alkyl adducts from the O6 position of guanine, an important site of DNA alkylation. It consists of 5 exons and 4 introns and spans greater than 300kb. MGMT promoter region is composed by 1.2 kb, includes the first exon and part of the first intron and is rich in repetitive GC sequences including a CpG island (Pegg et al., 2007).

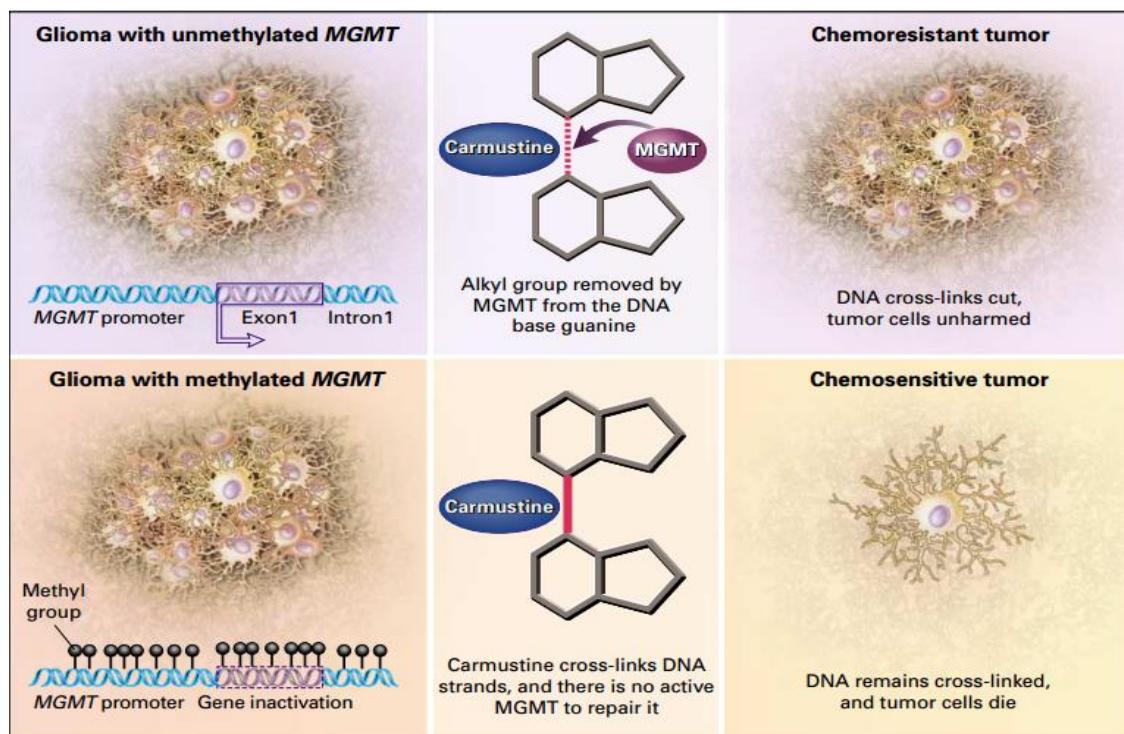
Alkylation of DNA at the O6 position of guanine is an important step in the formation of mutations in cancer, primarily due to the tendency of the O6-methylguanine to pair with thymine during replication, resulting in a conversion of guanine-cytosine to adenine-thymine pairs in DNA. Furthermore, the O6-alkylguanine-DNA adduct may cross-link with the opposite cytosine residues, blocking DNA replication. MGMT protect cells against these lesions, transferring the alkyl group from the O6-guanine in DNA to an active cysteine within its own sequence in a reaction that inactivates one MGMT molecule for each lesion repaired (Pegg, 1990) (Figure 13).



**Figure 13 – The DNA repair process mediated by O<sup>6</sup>-methylguanine methyltransferase (MGMT).** The MGMT enzyme transfers the methyl group from the O<sup>6</sup>-methylguanine DNA adduct to a cysteine residue in the enzyme and becomes irreversibly inactivated. Adapted from Hegi et al., 2005.

Alkylating agents like chloroethylnitrosoureas (carmustine – BCNU, lomustine and fotemustine), procarbazine and temozolomide, induce cell death by forming crosslinks between adjacent DNA strands through alkylation of the O<sup>6</sup> position of guanine. Transcriptionally active MGMT rapidly removes the alkyl adducts, preventing the formation of crosslinks and thereby causing resistance to alkylating drugs (Figure 14).

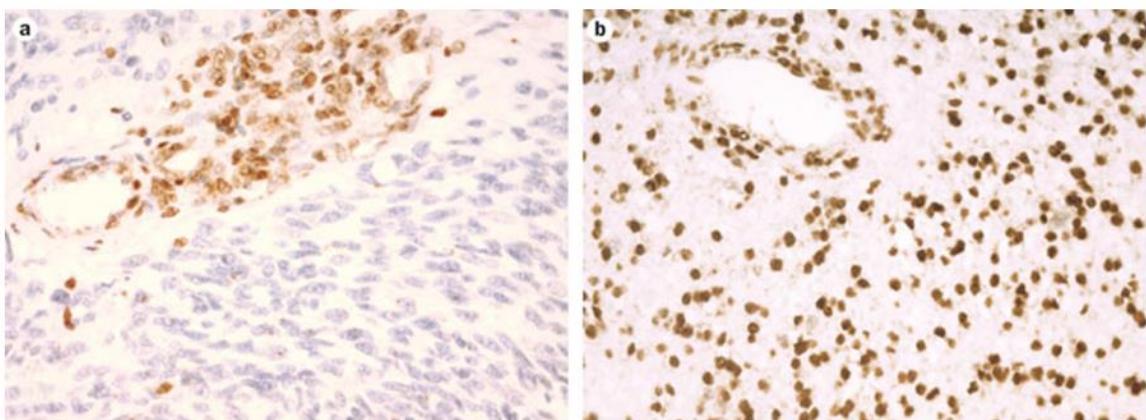
Although MGMT is expressed in every normal human tissue, its expression is highest in liver, lung, kidney and colon and is lowest in pancreas, lymphoid tissues and brain (Sharma et al., 2009). In a variety of human tumor tissue, MGMT expression was found to be lowest than in their normal tissue counterparts (Soejima et al., 2005). Hypermethylation of the MGMT promoter with consequent loss of MGMT protein expression reduces the DNA repair activity of glioma cells, overcoming their resistance to alkylating agents (Figure 15) (Hegi et al., 2005).



**Figure 14 \_ Mechanism of enhanced chemosensitivity resulting from epigenetic of the MGMT gene.** Gliomas with a methylated MGMT promoter have transcriptional silencing of MGMT protein. DNA adducts produced by carmustine (or other alkylating drug) in these tumors are not efficiently removed, leading to tumor-cell death and drug toxicity. In contrast, gliomas with an unmethylated MGMT express MGMT protein, which removes guanine adducts from the DNA produced by the administration of carmustine (or other alkylating drug), resulting in resistance to alkylating drugs. Adapted from Esteller et al., 2000a.

In a study, among more than 500 primary human tumors examined, MGMT hypermethylation was present in a subset of specific types of cancer while all normal tissues and expressing cancer cell lines were unmethylated. In gliomas and colorectal carcinomas, aberrant methylation was detected in 40% of the tumors, whereas in non-small cell lung carcinomas, lymphomas, and head and neck carcinomas, this alteration was found in about 25% of the tumors. In leukemias, pancreatic carcinomas, melanoma, renal and bladder carcinomas MGMT methylation was found rarely and in non-glial brain tumors, breast, ovarian and endometrial cancers was not found at all (Esteller et al., 1999b). The presence of aberrant MGMT promoter hypermethylation was associated with loss of MGMT protein, suggesting that epigenetic inactivation of MGMT by promoter hypermethylation is a common event in primary human neoplasia and plays an important role in primary human neoplasia (Esteller et al., 1999b).

Because MGMT gene is not commonly mutated or deleted (Esteller et al., 2000a), MGMT expression silencing in human tumors has been mostly associated with MGMT promoter hypermethylation (Soejima et al., 2005).



**Figure 15 – MGMT expressing in glioblastoma.** **a)** Lack of nuclear MGMT expression in tumor cells from a glioblastoma with MGMT promoter methylation (note staining of proliferating microvascular cells as an internal control). **b)** Strong MGMT positivity in tumor and vascular cells in a MGMT unmethylated glioblastoma. Adapted from Weller et al., 2010.

In human gliomas, MGMT promoter hypermethylation has been found in approximately 40% of primary glioblastoma and 75% of secondary glioblastoma. In diffuse astrocytomas, MGMT promoter methylation is present in about of 30 - 45% of cases, while In oligodendroglomas and anaplastic oligodendroglomas is reported in approximately 30 and 60% of cases, respectively (Cankovic et al., 2007; van den Bent et al., 2011; Nakamura et al., 2001c).

In 1998, was establish, for the first time, a correlation between overall survival (OS) and MGMT expression levels in glioma patients treated with radiotherapy and bis-chloroethylnitrosourea (BCNU or carmustine). Of 64 patients with malignant glioma, the overall median survival for patients with high versus low MGMT levels was 8 and 29 months, respectively. MGMT was a predictive marker of survival in patients with malignant glioma and was independent of other previously described prognostic variables such as age, performance status or histology (Jaeckle et al., 1998).

In 2000, Esteller et al found that methylation of the MGMT promoter in high grade gliomas was a useful predictor of the responsiveness of the tumors to alkylating agents, namely carmustine. The MGMT promoter was methylated in gliomas from 19 of 47 patients and was associated with responsiveness to carmustine and prolonged overall and

disease-free survival. It was an independent and stronger prognostic and predictive factor than age, stage, tumor grade, or performance status (Esteller et al., 2000a).

A phase III trial showed that the addition of concomitant and adjuvant temozolamide to radiotherapy, for newly diagnosed glioblastoma patients, has resulted in a clinically meaningful and statistically significant OS benefit, when compared with patients who received radiotherapy alone (Stupp et al., 2005).

Hegi and colleagues tested the relationship between MGMT silencing by promoter hypermethylation and the survival of glioblastoma patients in a randomized trial comparing radiotherapy alone with radiotherapy combined with concomitant and adjuvant treatment with temozolamide. Patients who had a methylated MGMT promoter benefited from radiotherapy combined with temozolamide treatment, showing a significantly longer OS (21.7 months), whereas those who did not have a methylated MGMT promoter presented an OS of only 12.7 months. It was also found that MGMT promoter hypermethylation was a favorable prognostic factor in glioblastoma patients, independently of treatment received (Hegi et al., 2005).

More recently, these results were corroborated by several studies (Dunn et al., 2009; Brandes et al., 2008). Importantly, in a five year study, the methylation status of the MGMT promoter was the strongest predictor for outcome and a benefit of combined therapy (radiotherapy plus temozolamide chemotherapy) was recorded in all clinical prognostic subgroups. Overall survival was 27.2%, 16.0%, 12.1%, and 9.8% in patients receiving radiotherapy plus temozolamide, versus 10.9%, 4.4%, 3.0%, and 1.9% with radiotherapy alone, at 2, 3, 4 and 5 years, respectively (Stupp et al., 2009).

In a prospective study, the prognostic value of genetic alterations characteristic of glioblastoma, namely TP53 mutation, p53 immunoreactivity, epidermal growth factor receptor, cyclin-dependent kinase CDK 4 or murine double minute 2 amplification, CDKN2A homozygous deletion, allelic losses on chromosome arms 1p, 9p, 10q, and 19q, MGMT promoter methylation, and IDH1 mutations, was evaluated in 301 patients diagnosed with glioblastoma. MGMT promoter methylation and IDH1 mutations were associated with longer OS and progression-free survival (PFS) in patients receiving temozolamide and allowed for stratification into prognostically distinct subgroups whereas no other molecular factor was associated with outcome (Weller et al., 2009).

Although several studies suggest the existence of a relationship between the methylation status of the MGMT promoter, response to treatment with alkylating agents and outcome, other studies still do not support these findings (Schaich et al., 2009; Blanc et al., 2004; Wick et al., 2007; Costa et al., 2010).

As example, in a Portuguese multicentre study, no statistically significant associations were found between MGMT promoter methylation status and glioblastoma patients outcome treated with radiotherapy combined with concomitant and adjuvant temozolamide. The median OS was 13 and 16 months for patients with unmethylated and methylated MGMT promoter, respectively (Costa et al., 2010).

Despite that today there is still some controversy surrounding these matter, the promoter methylation status of MGMT gene is commonly assessed both in clinical trials and routine diagnostics, due to the potential prognostic and predictive roles in GBM patients.

#### **1.10.5. DNA hypomethylation in gliomas**

Melanoma Antigen Family A1 (MAGEA1), which belongs to a broad category of genes commonly called cancer-testis antigens, expression in glioblastoma is correlated with the level of hypomethylation at key CpG sites within its promoter. Searching for a possible relationship between MAGEA1 expression and the extent of genomic hypomethylation in primary glioblastomas and cultured glioma cell lines, MAGEA1 expression was found to be silenced in normal brain, but found to be reactivated in tumors that exhibited the most dramatic genome-wide hypomethylation (Cadieux et al., 2006).

The SRY (sex determining region Y)-box 2 (SOX2) gene was found to be highly overexpressed in human glioblastoma. Molecular alterations found in SOX2, namely SOX2 amplification, did not justify the high frequency of SOX2 overexpression. When compared to normal human astrocytes, SOX2 promoter is hypomethylated, and this epigenetic alteration seems to be the leading mechanism responsible for SOX2 overexpression in human glioblastoma and to contribute to the invasive phenotype of glioblastoma (Alonso et al., 2011).

OCT4, a transcription factor that plays a key role in regulating the self-renewal ability of embryonic stem cells, is highly expressed in primary gliomas. The methylation levels of OCT4 gene promoter and exon are significantly reduced in comparison with the normal control group and are negatively correlated with OCT4 gene expression suggesting that

OCT4 is epigenetically regulated by DNA hypomethylation in primary gliomas (Shi et al., 2013).

When evaluating the promoter methylation status of coagulation factor X (F10) gene in tumors of 96 glioma patients, F10 hypomethylation was detected in 82.3% of glioma specimens but not in any of normal brain control tissues. A statistically significant correlation was found between F10 hypomethylation, protein expression, and OS, verifying that patients in F10 hypomethylated group and with F10 overexpression presented an OS shorter (Liu et al., 2012).

In a similar study, POTE ankyrin domain family, member H (POTEH) was hypomethylated in 81.3% gliomas but not in normal brain tissues, and a correlation between POTEH hypomethylation, protein expression and overall survival was also found (Liu et al, 2011). These findings indicate the F10 and POTEH genes promoter hypomethylation as putative biomarkers for glioma prognosis (Liu et al., 2012; Liu et al., 2011).

Epigenetic silencing of Kazal-Type Serine Peptidase Inhibitor Domain 1 (KAZALD1) gene was associated with malignant transformation, progression and prognosis in glioma. In a large cohort including 119 glioma samples, KAZALD1 was hypomethylated in high-grade glioma and its expression scores of high-grade glioma samples were higher compared to the scores of low-grade gliomas. Also, in high-grade gliomas, OS was shorter for patients with KAZALD1 hypomethylation or overexpression compared to those without and decreased KAZALD1 expression in glioma inhibited cell proliferation and invasion (Wang et al., 2013).

#### **1.10.6. Glioma - CpG island methylator phenotype (G-CIMP)**

A CpG island methylator phenotype (CIMP) was first characterized in human colorectal cancer as cancer-specific CpG island hypermethylation of a subset of genes in a subset of tumors (Toyota et al., 1999a).

In the context of The Cancer Genome Atlas (TCGA), promoter DNA methylation alterations in 272 glioblastoma tumors were profiled. In this report was identified and characterized a distinct molecular subgroup in human gliomas with highly concordant DNA methylation of a large number of gene loci, indicative of a CpG island methylator phenotype (G-CIMP).

This G-CIMP was associated with secondary or recurrent (treated) tumors, younger age at the time of diagnosis and favourable prognosis within glioblastomas as a whole and also within the proneural subset. It was also highly associated with IDH1 mutation across all glioma tumor grades, which may be explained by metabolic alterations resulting from these mutations (Kreth et al., 2012; Noushmehr et al., 2010). These findings identify G-CIMP as a distinct subset of human gliomas on molecular and clinical grounds (Noushmehr et al., 2010).

### **1.11. Current clinical management of gliomas**

Gliomas range from pilocytic astrocytomas, which are noninvasive and surgically curable, to glioblastoma, which is highly invasive and virtually incurable (NCCN, 2013).

The involvement of an interdisciplinary team, including neurosurgeons, radiation therapists, oncologists, neurologists and neuroradiologists is essential in the appropriate management of glioma patients (NCCN, 2013).

According to the National Comprehensive Cancer Network (NCCN) guidelines, the standard therapy for gliomas involves surgical resection to the maximal extent possible with adjuvant radiotherapy and systemic therapy. Despite these guidelines are updated annually and because this field evolves continually, the professionals should use all of the available information to achieve the best clinical options for their patients (NCCN, 2013). For a comprehensive view of the current treatment options available see Appendix 2.

#### **1.11.1. Surgical resection**

Surgical intervention is indicated in almost all glioma patients at least at some point during the course of their disease and has three principal goals: obtaining a histological diagnosis and grading, providing symptom relief and improving patient survival by reducing the tumor burden. In some cases, surgery will even result in a cure (NCCN, 2013).

There are four surgical options: stereotactic biopsy, open biopsy, subtotal resection and complete or gross total resection (NCCN, 2013).

Biopsy results can be misleading, because gliomas often have varying degrees of cellularity, mitosis or necrosis from one region to another, thus, small samples can provide a lower histologic grade (NCCN, 2013).

The decision with regard to the aggressiveness of surgery for primary brain tumors is complex and dependent of several factor such as: patient's age and performance status, proximity to eloquent areas of the brain, feasibility of decreasing the mass effect with aggressive surgery, resectability of the tumor and time since last surgery (in patients with recurrent disease) (Sawaya et al., 1998).

In order to document the extent of disease after surgical intervention, a magnetic resonance imaging (MRI) scan, with and without contrast, in the 24 to 72 hours after surgery, is recommended (NCCN, 2013).

In pilocytic astrocytoma, surgery is often the treatment of choice and total resection is often possible. Sometimes the location can preclude the access to the tumor and lead to incomplete or no resection at all. Radiation therapy appears not to be needed for patients undergoing gross total removal. In patients undergoing subtotal resection, close follow-up with additional surgery or radiation therapy at the time of tumor progression is recommended. Patients who have biopsy only should receive radiation therapy (Forsyth et al., 1993).

There is little consensus about the optimal treatment strategy for diffusely infiltrating low-grade gliomas, including astrocytomas and oligodendroglomas (WHO grade II), being it clinical management one of the most controversial areas in neurooncology (Lang and Gilbert, 2006).

In low grade astrocytoma the role of maximal tumor resection is not well defined. There are retrospective studies suggests a survival benefit from aggressive surgical resection (Soffietti et al., 1989; Smith et al., 2008) while others report no difference (Shaw et al., 1989). On this basis, and taking into account that the tumor may contain higher grade foci not reflected in a small sample, the complete excision may decrease the risk of future differentiation to a higher malignant lesion and a large tumor burden resection may also enhance the effect of radiotherapy, the actual recommendation for treating a low grade astrocytoma is the most complete excision of the tumor as possible, without compromising eloquent brain areas (NCCN, 2013).

Due to their frequent localization in the frontal lobes and present a distinct tumor margins, oligodendroglomas are often amenable to excision (NCCN, 2013).

In anaplastic gliomas and glioblastomas, when compared with biopsy, resection is a strong prognostic factor (Laws et al., 2003).

In patients with glioblastoma treated with surgery and radiation therapy, resection of the mass followed by radiation therapy is associated with longer survival times than radiation therapy after biopsy alone, especially when other predictive variables such as age, Karnofsky Performance Status, location and degree of necrosis, are favorable (Wood et al., 1988; Lacroix et al., 2001; Devaux et al., 1993; Simpson et al., 1993).

At recurrence a new resection may improve the patient's outcome and the extent of resection is an important predictor of overall survival (Barker et al., 1998; Bloch et al., 2012). On the other hand, tumor involvement of eloquent/critical brain regions, poor Karnofsky performance status and large tumor volume are factors associated with poor postoperative survival (Park et al., 2010).

### **1.11.2. Radiation therapy**

There is ongoing controversy about the proper radiotherapeutic management of low grade gliomas, namely about the optimum timing of radiation therapy, the optimum radiotherapy (RT) dose and also the addition of chemotherapy to RT (NCCN, 2013).

While some clinicians doubt the efficacy of postoperative RT, others advise routine postoperative RT. In the EORTC 22845 trial, 314 patients with low-grade astrocytoma, oligodendrogloma, mixed oligoastrocytoma, and incompletely resected pilocytic astrocytoma, were assigned to early RT or no immediate therapy (control group). Despite median PFS was 5.3 years in the early RT group against 3.4 years in the control group, the OS was similar between the two groups (7.4 years and 7.2 years, respectively). Highlight the fact that seizures were better controlled in the early RT group (van de Bent et al., 2005).

These results are supported by other trial where of 290 eligible patients, the irradiated group showed a significant improvement in time to progression but also not in OS when compared with other patients who did not receive any treatment after surgery until the tumor showed progression (Karim et al., 2002).

On the other hand, some studies have suggested a benefit of early RT, with an increase in survival with early versus delayed therapy (Shibamoto et al., 1993; Shaw et al., 1989).

The standard radiation dose for low grade glioma is 45 to 54 Gy, delivered in 1.8 to 2.0 Gy fractions, and data indicate that lower doses of RT are probably as effective as higher doses of radiation for these tumors (NCCN, 2013; Shaw et al., 2002).

In high grade gliomas, external beam RT after surgery is standard adjuvant therapy. In a prospective, randomized study was compared the use of best conventional care, 1,3-bis(2-chloroethyl)-1-nitrosourea (BCNU) and/or RT in the treatment of 303 patients diagnosed with anaplastic glioma. The median survival of patients who received best conventional care, BCNU, RT and BCNU plus RT was 14 weeks, 18.5 weeks, 35 weeks and 34.5 week, respectively (Walker et al., 1978).

In other prospective, randomized trial, from 118 patients diagnosed with high grade glioma (grade III and IV), those who received conventional care, but no RT or chemotherapy, presented a median survival of 5.2 months while those patients who received RT presented a median survival of 10.8 months (Kristiansen et al., 1981).

The standard radiation dose for high grade glioma is 60 Gy, delivered in 1.8 to 2.0 Gy fractions. In grade III gliomas a slightly lower dose of 55-57 Gy can be applied (NCCN, 2013).

### **1.11.3. Systemic therapy**

#### **1.11.3.1. Blood-brain barrier**

The blood-brain barrier (BBB) is a diffusion barrier essential for the normal function of the CNS which impedes influx of most compounds from blood to brain. BBB is composed by endothelial cells, astrocytes and pericytes. Tight junctions, present between the endothelial cells, form a diffusion barrier which selectively excludes most of hydrophilic and larger lipophilic molecules from blood from entering the brain (Ballabh et al., 2004).

A critical issue in the management of brain tumors is adequate delivery of chemotherapeutic agents to the tumor. This delivery may be impeded by the BBB. Alternative drug administration techniques and BBB disruption ways have been developed however have not demonstrated any significant improvements in the antitumor effect.

Appendix 2 presents a summary of currently available systemic therapies for the treatment of gliomas and that will be addressed in the following sections of this chapter.

### 1.11.3.2. Adjuvant chemotherapy

#### 1.11.3.2.1. Temozolomide

Temozolomide (TMZ) (Temodar®; Temodal®; Schering-Plough Corporation, Kenilworth, NJ) is an orally alkylating agent that has demonstrated antitumor activity as a single agent in the treatment of malignant gliomas.

Unlike many other chemotherapeutic agents, TMZ can easily cross the BBB, so that CSF levels can reach at least 20% to 40% of those observed in the plasma (Ostermann et al., 2004).

Following oral absorption, TMZ is spontaneously hydrolyzed in aqueous solution, with almost 100% bioavailability, to methyl-triazeno-imidazole-carboxamide (MTIC). MTIC is rapidly converted to the inactive 5-aminoimidazole-4-carboxamide (AIC) and to the electrophilic alkylating methyldiazonium cation that transfers a methyl group to DNA. The DNA methyl adducts are responsible for cytotoxicity (Vilano et al., 2009).

TMZ exerts its cytotoxic action via DNA methylation at the N-7 and O-6 positions of guanine, and the O-3 position of adenine. Although methylation of the O-6 position of guanine represents only a small fraction of the total DNA lesions induced by TMZ, it remains the major effector of its cytotoxic action. Methylation of guanine at the O-6 position results in “mismatch” incorporation of thymidine instead of cytosine (Omar and Mason, 2010).

This error is recognized by the mismatch repair (MMR) enzyme system that attempts to excise thymidine. Since guanine persists, a series of futile replication and repair cycles ensue, ultimately resulting in apoptotic cell death (Omar and Mason, 2010).

The DNA repair enzyme MGMT, an enzyme that removes methyl adducts at the O-6 position of guanine added by TMZ, is expressed in gliomas and has been implicated in the resistance of tumor cells to alkylating agents (Esteller et al., 2000a; Hegi et al., 2004).

TMZ was granted accelerated approval by FDA in 1999 for the treatment of recurrent anaplastic astrocytoma, with subsequent approval, in 2005, for the first-line therapy of glioblastoma (Cohen, 2005).

The 1999 approval, for the treatment of anaplastic astrocytoma patients, was based on a 22% response rate (12 of 54 patients). Also, the median PFS was 4.4 months and median OS was 15.9 months (Yung et al., 1999).

Concurrent TMZ and RT followed by adjuvant TMZ (clinically termed Stupp regimen) is currently the standard of care for newly diagnosed glioblastoma and is the standard first-line chemotherapy of malignant gliomas (Stupp et al., 2005).

TMZ is the only anticancer drug that has been shown in a phase III study to improve survival in glioblastoma when administered with concomitant radiotherapy (Stupp et al., 2005).

In Stupp et al trial, 573 patients from 85 institutions in 15 countries were randomly assigned to receive standard focal radiotherapy alone (286 patients, control group) or standard RT plus concomitant daily TMZ, followed by adjuvant TMZ (287 patients, Stupp regimen group) (Stupp et al., 2005).

Concomitant chemotherapy consisted of TMZ at a dose of 75 mg per square meter per day, given 7 days per week from the first day of RT until the last day of radiotherapy, but for no longer than 49 days. After a 4-week break, patients were then to receive up to six cycles of adjuvant TMZ according to the standard 5-day schedule every 28 days. The dose was 150 mg per square meter for the first cycle and was increased to 200 mg per square meter beginning with the second cycle, so long as there were no hematologic toxic effects (Stupp et al., 2005).

Stupp regimen results in a 2.5 month prolongation of median survival among glioblastoma patients compared with the control group; the median survival was 14.6 months with RT plus TMZ and 12.1 months with RT alone. Relevantly, the two-year survival rate was 26.5 percent in the group given RT plus TMZ, as compared with 10.4 percent with RT alone (Stupp et al., 2005).

The results of this study led to the FDA approval, in 2005, for the use of TMZ in the treatment of newly diagnosed glioblastoma multiforme concomitantly with RT.

Similar results were seen in a smaller phase II trial. In a series of 130 patients with newly diagnosed glioblastoma, the median OS time was significantly better in the group receiving TMZ concomitant with RT and followed by TMZ, versus the group receiving RT alone (13.4 v 7.7 months, respectively), and the 1-year OS was 56.3% v 15.7% respectively (Athanassiou et al., 2005).

TMZ has a well-tolerated safety profile and the most common toxic side effects associated with their administration included anorexia, constipation, alopecia, headache, fatigue, convulsions, nausea, vomiting, and hematologic toxicity (Cohen et al., 2005).

Despite there have been some safety concerns regarding to combined treatment with surgery and placement of carmustine wafers, followed by standard adjuvant treatment with RT and concomitant and subsequent chemotherapy with TMZ, the results of recent studies show that it is a safe and feasible procedure, without any adjunctive complication (Dixit et al., 2011; Salvati et al., 2011).

#### **1.11.3.2.2. Combined PCV chemotherapy for anaplastic gliomas**

Chromosomal deletions on the 1p and 19q are predictive for good response to radio and chemotherapy, and meaning of longer survival in anaplastic oligodendroglial tumors.

Both the RTOG study 9402 and the EORTC study 26951 compared adjuvant PCV in combination with RT, to RT only in anaplastic oligodendroglomas. The addition of PCV after RT increases both OS and PFS in anaplastic oligodendroglial tumors and 1p/19q-codeleted tumors derive more benefit from adjuvant PCV compared with non-1p/19q-deleted tumors (van de Bent et al., 2013; Cairncross et al., 2013).

#### **1.11.3.3. Recurrence chemotherapy**

Together with TMZ, the most commonly used chemotherapy agents for recurrent gliomas are nitrosoureas (carmustine - BCNU and lomustine - CCNU), procarbazine, vinca-alkaloids (vincristine), platinum based drugs (cisplatin, carboplatin) and cyclophosphamide. The most used drug combination is called PCV (i.e. procarbazine, CCNU and vincristine) (Wick et al., 2010; Triebels et al., 2004; Chamberlain and Tsao-Wei, 2004; Chamberlain et al., 2006; Brandes et al., 2003). In the particular case of anaplastic astrocytomas and oligodendroglomas, irinotecan and etoposide can also be used (Chamberlain et al., 2008; Fulton et al., 1996).

Prior to the introduction of TMZ, nitrosoureas and PCV were the standard chemotherapeutic regimens for recurrent malignant glioma. Over the past several years TMZ has become the treatment of choice in these tumors, however the responses tend to be modest and therefore TMZ has been evaluated in combination with other chemotherapeutic agents in order to improve the therapeutic benefit.

#### 1.11.4. Antangiogenic targeted therapy

##### 1.11.4.1. Anti-VEGF therapy - Bevacizumab

Angiogenesis is the process by which new blood vessels form from existing vasculature by endothelial cell migration and proliferation (Chamberlain, 2010). Glioblastoma is one of the most vascularized cancers and vascular endothelial growth factor (VEGF) is an important regulator of angiogenesis that is highly expressed within brain tumors (Louis et al., 2007).

Bevacizumab (Avastin®, Genentech, South San Francisco, CA) is a recombinant humanized monoclonal IgG1 antibody that selectively binds to and neutralizes the biologic activity of human VEGF. Bevacizumab inhibits the binding of VEGF to its receptors, Flt-1 and KDR, on the surface of endothelial cells. Neutralization of the biologic activity of VEGF can result in the reduction of tumor vascularization and subsequent reduction in tumor growth (Figure 16) (Cohen et al., 2009).

Bevacizumab was granted accelerated FDA approval in 2009 as a single-agent therapy for use in recurrent glioblastoma following prior chemotherapy or RT (Cohen et al., 2009), based in to phase two trials results (Friedman et al., 2009; Kreisl et al., 2009).

The prognosis for patients with recurrent glioblastoma multiforme is poor, with a median survival of 3 to 6 months.

The first ever phase II study with the use of bevacizumab, in combination with irinotecan, for patients with recurrent malignant glioma, showed a 6-month PFS of 46% and a 6-month OS of 77%, with moderate toxicity (Vredenburgh et al., 2007).

Posteriorly, in 2009, two phase II trials evaluating the efficacy of bevacizumab, alone or in combination with irinotecan, in patients with recurrent glioblastoma were conducted (Friedman et al., 2009; Kreisl et al., 2009).

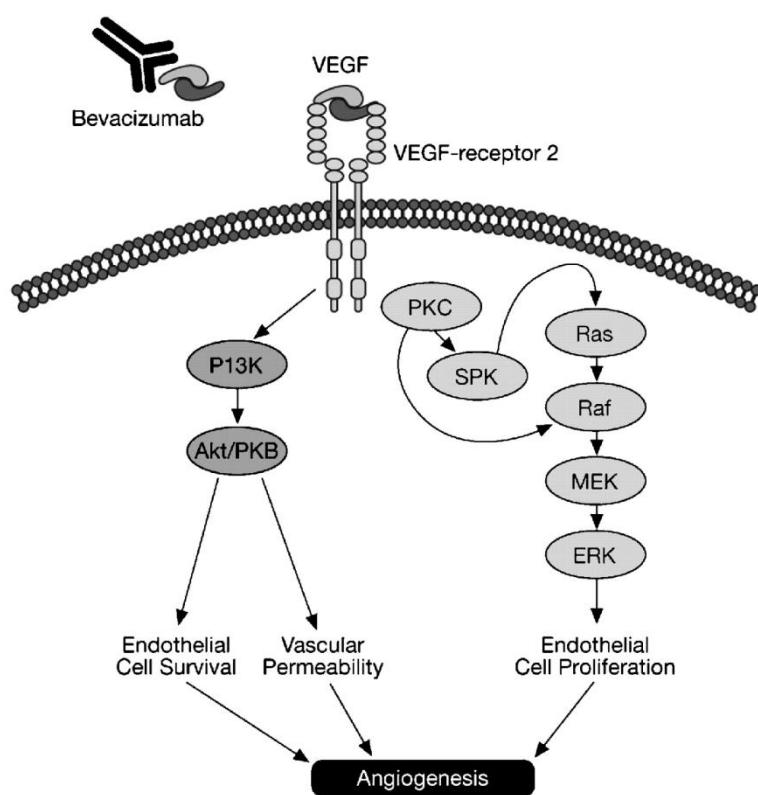
In the bevacizumab-alone group of patients, 6-month PFS rate of 42.6% was reported, and median OS was 9.2 months while in the bevacizumab-plus-irinotecan group, those values were 50.3% and 8.7 months, respectively (Friedman et al., 2009).

Kreisl and colleagues reported a 6-month PFS of 29% and a median OS of approximately 8 months, when single-agent activity of bevacizumab, in patients with recurrent glioblastoma, was evaluated (Kreisl et al., 2009).

Overall, bevacizumab treatment is generally well tolerated in patients with recurrent glioblastoma but adverse events as hypertension, impaired wound healing, colonic perforation and thromboembolism can occurred (NCCN, 2013).

Trials with bevacizumab plus erlotinib (Sathornsumetee et al., 2010), etoposide (Reardon et al., 2009), TMZ (Verhoeff et al., 2010), cetuximab (Hasselbalch et al., 2010) or carboplatin (Reardon et al., 2012) have been also reported. These regimens were associated with similar PFS benefit and radiographic response when compared with other bevacizumab-containing regimens, namely bevacizumab alone or in association with irinotecan.

Preliminary randomized Phase III trial results do not recommend the routine use of bevacizumab in combination with standard RT and TMZ in patients with newly diagnosed glioblastoma, based on the lack of proven survival benefit and the increased risk of toxicity associated with combination therapy (Gilbert et al., 2013; Cloughsey et al., 2013).



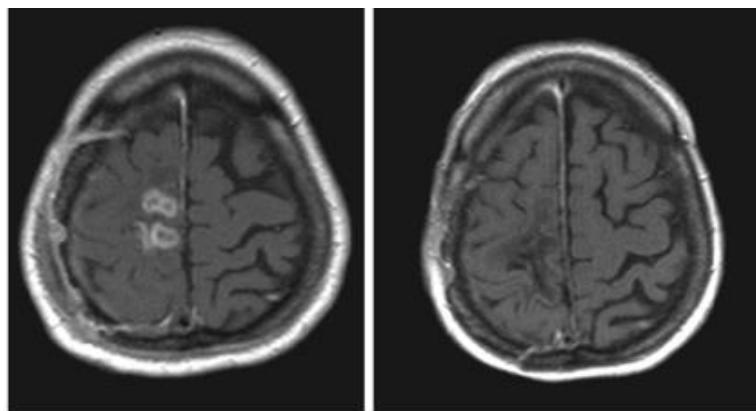
**Figure 16\_ Bevacizumab action mechanism.** Bevacizumab binds VEGF, preventing interaction with its receptors and activation of downstream signaling pathway. Adapted from Rini, 2007.

### 1.11.5. Tumor Treating Fields Therapy for recurrent Glioblastoma

The NovoTTF-100A™ System (Novocure Ltd., Haifa, Israel) is a portable medical device approved by the FDA, in 2011, to deliver Tumor Treating Fields (TTF) therapy, for the treatment of recurrent glioblastoma (Stupp et al., 2012; Fonkem and Wong, 2012).

This noninvasive technology, works by emitting a low-intensity, intermediate-frequency (200 kHz), alternating electric field administered via insulated transducer arrays applied onto the scalp. The electric field penetrates the brain and inhibits the growth and proliferation of glioblastoma by interfering with tumor cell mitosis at anaphase (Figure 17) (Stupp et al., 2012; Fonkem and Wong, 2012).

Results from a Phase III clinical trial comparing chemotherapy-free treatment with TTF versus active chemotherapy in the treatment of patients with recurrent glioblastoma, indicate that the efficacy of NovoTTF-100A is equivalent to standard-of-care chemotherapy. Despite no improvement in OS was demonstrated, the efficacy and activity with TTF device is comparable to chemotherapy regimens and the toxicity levels, adverse events and quality of life clearly favored TTF (Stupp et al., 2012).



**Figure 17** \_ Tumor Treating Fields (TTF) therapy. Exemplary T1-weighted, post contrast, MRI scans of recurrent glioblastoma patient before (**Left**) and after (**Right**) TTF treatment. Complete response after 8 months of treatment. Adapted from Kirson et al, 2007.

### 1.11.6. Local intracavitary chemotherapy - Casmustine wafers

The toxicity and poor BBB penetration associated with systemic chemotherapy have led to the development of different approaches aimed at delivering therapeutic agent directly into the tumor allowing this way increase the exposure of tumor cells to the drug and reduce the systemic complications.

Carmustine (BCNU) wafers (Gliadel®, MGI Pharma, Bloomington, MN) were approved in 1996 as the first brain cancer treatment to deliver chemotherapy directly to the tumor site. BCNU wafer is a sterile wafer containing 192.3 mg of biodegradable copolymer polifeprosan 20 and 7.7 mg of BCNU. The polifeprosan was selected as a potentially appropriate means to deliver BCNU chemotherapy controlled release after direct implantation of the wafers because it supports gradual release and is hydroscopic, thereby protecting BCNU from exposure to water which would result in hydrolysis and deactivation (Domb et al., 1999). Up to 8 BCNU wafers can be implanted into the surgical resection cavity, and have the ability to release high concentration of BCNU at the tumor surrounding tissue in a controlled way over a period of up to 3 weeks, and after 6 to 8 weeks the wafers are dissolved (Juratli et al., 2013). Implantation of the drug-impregnated polymer at the tumor site allows prolonged local exposure with minimal systemic exposure.

BCNU wafers were first approved in 1996 for the treatment of recurrent glioblastoma, as an adjunct to surgery, and were subsequently approved in 2003 for first-line treatment of high grade malignant glioma, as an adjunct to surgery and radiation (Brem et al., 1995; Westphal et al., 2003).

In a randomized, placebo-controlled, prospective study to evaluate the effectiveness of BCNU wafers, in 222 patients with recurrent malignant glioma requiring re-operation, the median survival of the 110 patients who received BCNU polymers was 31 weeks compared with 23 weeks for the 112 patients who received only placebo. Among patients with glioblastoma, 6-month survival in those treated with BCNU wafers was 50% greater than in those treated with placebo. The results suggest that interstitial chemotherapy delivered with polymers directly to brain tumors at the time of surgery seems to be a safe and effective treatment for recurrent malignant gliomas (Brem et al., 1995).

Later, in a phase III trial, 240 patients were randomized to receive either BCNU or placebo wafers at the time of primary surgical resection. The median survival was 13.9 months for the BCNU wafer-treated group and 11.6 months for the placebo-treated group with a 29% reduction in the risk of death in the treatment group. This study confirms that

local chemotherapy with BCNU wafers is well tolerated and offers a survival benefit to patients with newly diagnosed malignant glioma (Westphal et al., 2003).

Despite the above, adverse events related to the use of carmustine wafers, such as seizures, brain edema, intracranial infections and healing abnormalities, have been frequently reported (Juratli et al., 2013).

## **1.12. DNA methylation analysis methods and technical considerations**

### **1.12.1. Selection of a method for DNA methylation analysis of specific CpG islands**

In the past 20 years the repertoire of methylation methodology has expanded greatly and a variety of methods have been used to screen the genome for novel markers. These methods allow the identification of a single CpG site that differs in its methylation status between two samples, for example, a normal sample compared to a tumor sample or even to subtypes of the same type of tumor compared between each other.

The choice of a method for DNA methylation analysis should be mainly based on the goals of the testing, required assay performance and the material and human resources available. Several factors have also to be taken into account, such as, how many CpG sites will be tested in each sample, the expected heterogeneity of normal and tumor cells within samples and the amount and quality of starting DNA material in each sample. Some of the techniques available for DNA methylation analysis, the most commonly used, are described in sections below and in Appendix 3 are referenced some others techniques currently available.

### **1.12.2. Formalin-fixed paraffin embedded tissues**

In pathology archives, tissue samples are stored as formalin-fixed paraffin embedded (FFPE) blocks. FFPE tissue samples are the largest source of clinical material from normal controls and pathological tissues and their use is of inestimable research and clinical value.

The FFPE archives enclose large numbers of tissue samples that had been obtained for diagnostic and therapeutic purposes. After a diagnosis was reached on an FFPE tissue biopsy or resection specimen, excess material is usually available for further studies, including molecular analysis.

The need for suitable quality of DNA recovered from FFPE is necessary for the success of molecular analysis, namely DNA methylation analysis. Also, the use of archived material, formalin-fixed paraffin embedded tissues may significantly impact the choice of the method used in these analysis (Ludyga et al., 2012).

When analyzing DNA extracted from FFPE tissue, there is a risk of lower analysis quality since DNA is fragmented, a fact that may influence both bisulfite treatment and further polymerase chain reaction (PCR).

The storage time of the blocks can influence the quality of the DNA extracted. In general, DNA fragmentation is associated with the storage time: the older the FFPE the shorter the fragments obtained (Funabashi et al., 2012; Ludyga et al., 2012).

According to several authors, the most critical step for obtaining intact DNA is formalin fixation (Bonin et al., 2003; Funabashi et al., 2012). Formalin is 37-40% formaldehyde in water, stabilized by 10% methanol. Tissues are routinely fixed in a phosphate buffered 10% solution of Formalin. Formaldehyde reacts with amino groups of basic amino acids such as lysine, asparagine, arginine, histidine and glutamine, leading to the formation of highly reactive methylol adducts. A subsequent condensation reaction of adducts occurs through Schiff base formation. This results in the formation of methylene bridges with amine, guanidyl, phenol, imizadol and indole groups of several other amino acids like arginine, asparagine, glutamine, histidine, tryptophan and tyrosine, leading to inter and intra-molecular cross-linking of proteins (Berg et al., 2010).

In spite of the many advantages formalin fixation of tissue samples for diagnostic purposes has to offer, the use of FFPE material for molecular analysis remain problematic. While the tissue morphology is well preserved, the degradation of nucleic acids continues, in particular due to a time dependent decrease of pH.

DNA extraction from FFPE tissue requires special protocols because the material is often scarce, degraded and can contain substances that inhibit the molecular procedures. Among others commercial kits, those from Qiagen® have shown satisfactory results for extraction of DNA from FFPE tissue, allowing obtaining DNA with high purity degree (Ludyga et al., 2012).

Formalin also decreases the efficiency of PCR. There are several reasons for the failure of PCR using DNA isolated from FFPE tissues: the generation of DNA-protein cross-linkages

due to formaldehyde solution resulting in nucleic acid fragmentation; the presence of remnants of substances that inhibit the amplification reaction such as formalin or inhibit the

proteinase K used in the extraction procedure such as xylene; and the risk of contamination during the manipulation of samples (Farrugia et al., 2010).

In the literature it has been reported that the average length of PCR products generated from FFPE samples is between 100 to 300 bp. In FFPE samples, the use of amplification products smaller than 150 bp is recommended, as well as repetitions in the PCR and electrophoresis steps, for full and effective analysis of the DNA fragments to be suited (Funabashi et al., 2012).

Regarding to epigenetic studies, methylation analysis successfully performed with DNA isolated from 30 year old FFPE tissue has been reported (Kristensen et al., 2009).

### **1.12.3. Sodium Bisulfite Treatment**

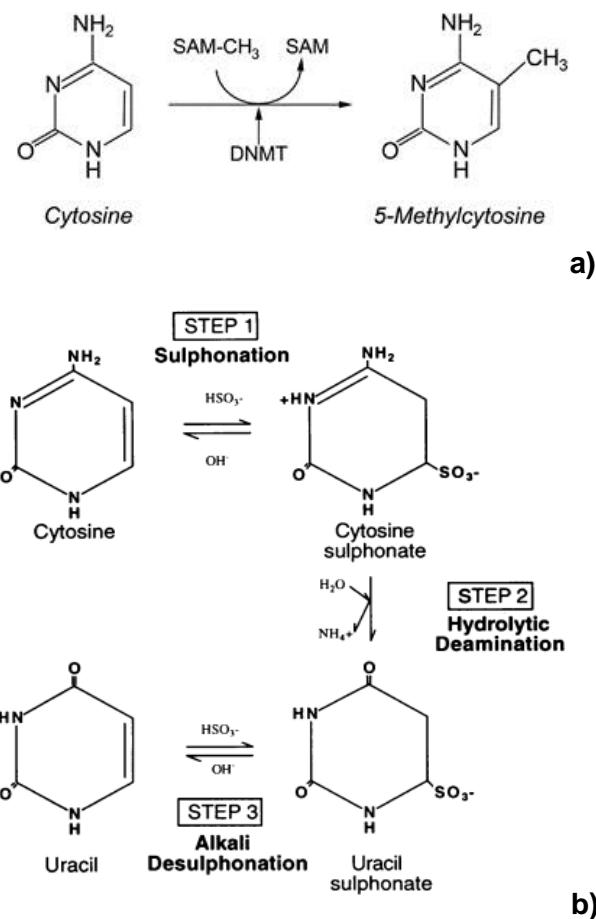
The analysis of DNA methylation was revolutionized by the introduction of sodium bisulfite conversion of genomic DNA. As an epigenetic event, methylation is not preserved during amplification processes such as PCR. Bisulfite treatment of DNA transforms an epigenetic event to a genetic change which is then able to be analyzed using PCR-based methods.

Methylation of the 5'-position of cytosine residues is a reversible covalent modification of DNA, resulting in production of 5-methyl-cytosine. Bisulfite deaminates non-methylated cytosine residues to uracil, leaving methylated cytosines unchanged.

The chemistry of cytosine deamination by sodium bisulfite involved three steps (Figure 18): the addition of bisulfite to the 5-6 double bond of cytosine designed sulphonation, hydrolic deamination of the resulting cytosine-bisulfite derivate to give a uracil-bisulfite derivate and alkali desulfonation by removal of the sulphonate group by an alkali treatment, to give uracil (Clark et al., 1994).

Bisulfite treatment of DNA is technically the most challenging part of methylation detection because it leads to further DNA fragmentation. Partial conversion could also be problematic because it leads to false positive results. To control appropriately for bisulfite effect, methylated and unmethylated controls must be treated in parallel with patients samples, to determine whether complete conversion has occurred (Cankovic et al., 2013).

Today, several different techniques rely on the ability of sodium bisulfite to efficiently convert unmethylated cytosine to uracil without affecting 5-methylcytosine. The protocol described by Frommer and colleagues (1992) has been widely used and a variety of commercial kits are now available for this purpose, although most are still relatively time-consuming and labor intensive.



**Figure 18 \_ a)** Conversion of cytosine to 5-methylcytosine by DNA methyltransferase (DNMT). DNMT catalyses the transfer of a methyl group (CH<sub>3</sub>) from S-adenosylmethionine (SAM) to the 5-carbon position of cytosine.

**b)** Sodium bisulfite conversion of genomic DNA.

It involves three steps:

1. Sulphonation
2. Hydrolytic deamination
3. Alkali desulphonation.

Adapted from Clark et al, 1994.

#### 1.12.4. Bisulfite-treated DNA

The stability of bisulfite-treated DNA is reduced due to nucleotide mispairing and incomplete complementarity. Although there are minimal data on the effects of temperature and time of storage on the stability of bisulfite-treated DNA, the analysis should be performed soon after the conversion to minimize further DNA degradation. Until more data are available, ultra-low temperature storage conditions (-70°C or below) should be used if converted DNA must be stored before analysis. It is also advisable to include appropriate controls to validate the results obtained from assays with such DNA (Sepulveda et al., 2009).

### 1.12.5. Methylation-specific Polymerase Chain Reaction (MSP)

Methylation-specific PCR (MSP) was originally described in 1996 and is a technique which can rapidly assess the methylation status of virtually any group of CpG sites within a CpG island, and was the first assay independent of the use of methylation-sensitive restriction enzymes. Among the several techniques developed over the years to analyze CpG DNA methylation in genomic DNA, MSP is the most commonly used (Herman et al., 1996).

First, the genomic DNA is treated with sodium bisulfite (converting all unmethylated, but not methylated, cytosines to uracil) and then a PCR is performed using primers that bind specifically to bisulfite converted methylated or unmethylated DNA, leading to specific amplification (Herman et al., 1996).

In its classic format, a gel based MSP assay, the presence of a band on a gel in each reaction determines the methylation state, is a nonquantitative assay, the results are reported as methylated or unmethylated, and cannot distinguish between low and high levels of a methylated target sequence (Herman et al., 1996).

MSP requires only small quantities of DNA, is sensitive to 0.1% methylated alleles of a given CpG island locus, and can be performed on DNA extracted from paraffin-embedded samples. MSP eliminates the false positive results inherent to previous PCR-based approaches which relied on differential restriction enzyme cleavage to distinguish methylated from unmethylated DNA (Herman et al., 1996).

PCR analysis after bisulfite conversion is the method of choice when sensitive detection is desired. Other advantages of this technique are easy of design and low complexity of the reaction. The main disadvantages are that it is only qualitative and not quantitative, it cannot be performed in a high-throughput manner and it allows screening of only a very small stretch (<40bp) of DNA within a CpG island for methylated residues.

### 1.12.6. Methylation-Specific PCR (MSP) Primers

MSP is a particularly demanding application as, in order to provide reliable results, it requires high specificity to discriminate between cytosine and thymine bases derived from methylated and unmethylated cytosines following bisulfite conversion.

Right primer design is crucial for successful PCR amplification of bisulfite-modified DNA and once after sodium bisulfite treatment the sense and antisense strands are no longer complementary, the primers should be designed for either strand.

In order to discriminate between a methylated and unmethylated DNA fragment, primers should contain as much CpG sites as possible within their sequence (at least one CpG) and preferably at the far 3'-end. At least one of the last three bases at 3'-end of the primer has to be a CpG "C". Primers should also have an adequate number of non-CpG Cs in their sequence to amplify only the bisulfite-modified DNA. Primers with more non-CpG Cs are preferred (Barekati et al., 2010).

Primer for methylated DNA and unmethylated DNA should contain the same CpG sites in their sequence, but they may differ in length and start position.

The two sets of primers for methylated and unmethylated DNA should ideally have a similar annealing temperature and similar  $T_m$  values (máx  $T_m$  difference of 5°C).

MSP generally requires longer primers because bisulfite modification decreases the GC content of DNA templates and produces long stretches of "T" in the sequence that makes difficult to achieve acceptable  $T_m$  values and stability of primers. Usually, primers with a length of approximately 20-30 mer yield successful results (Barekati et al., 2010).

Amplification of a product size greater than 500bp is difficult using bisulfite-modified DNA template because DNA degradation occurs by bisulfite modification. For this reason, primer sets should amplify product with a size range between 100 to 500bp (Barekati et al., 2010).

### 1.12.7. Bisulfite genomic sequencing

Direct bisulfite sequencing for analysis of DNA methylation levels is a technique that was developed by Frommer and colleagues, in 1992, in order to determine CpG site-specific changes. This technique provides positive identification of 5-methylcytosine residues and yields strand-specific sequences of individual molecules in genomic DNA. The method consists in bisulfite modification of genomic DNA (converting cytosine to uracil while 5-methylcytosine remains unchanged). The DNA sequence is then amplified by PCR with two sets of specific primers and all uracil and thymine residues have been amplified as thymine and only 5-methylcytosine residues have been amplified as cytosine. Finally the PCR products can be sequenced directly or as single clones: direct sequencing provides an estimate of the average methylation status of each CpG site in all molecules while

sequencing of the cloned PCR products provides information on individual molecules (Frommer et al., 1992; Clark et al., 1994).

### **1.12.8. Combined bisulfite restriction analysis (COBRA)**

Combined bisulfite restriction analysis (COBRA) is a quantitative technique used to determine DNA methylation levels at specific gene loci in small amounts of genomic DNA, based on the fact that digestion of PCR products with certain restriction enzymes can be used to distinguish between methylated and unmethylated DNA (Xiong and Laird, 1997).

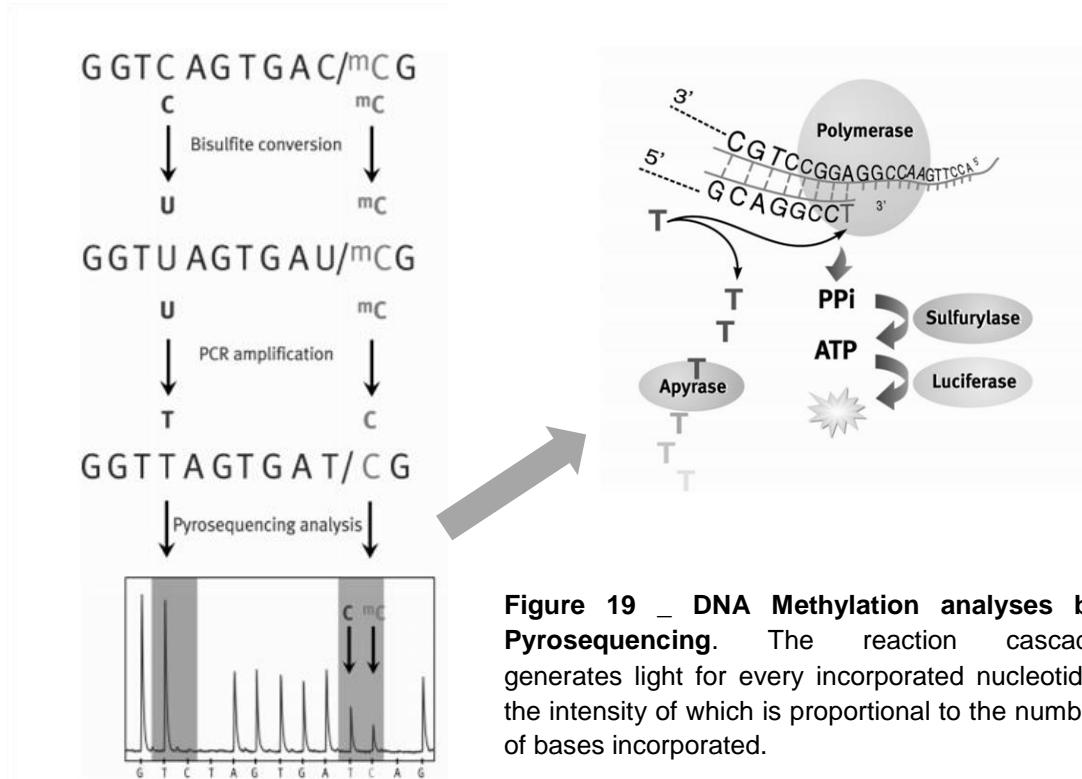
DNA sequences of interest are treated with bisulfite, in a standard way, amplified by PCR and subjected to restriction digestion with an enzyme that contains cytosines only within CG sites in its recognition sequence (such as *Bst*UI [CGCG] or *Taq*I [TCGA]). If the cytosines are methylated, then the enzyme will still cut the site; if they were not methylated, then the restriction site will be lost. As a control for complete bisulfite conversion, a second enzyme may be used that lacks CG sites within its recognition sequence. *Hsp*92II (CATG) is a good example of such an enzyme. Any cleavage by *Hsp*92II would indicate either incomplete conversion or nonsymmetrical methylation (Sadri and Hornsby, 1996; Xiong and Laird, 1997).

Therefore, in the mixed population of resulting PCR fragments, the fraction that has retained restriction site that contains a CpG(s) should be a direct reflection of the percentage DNA methylation at that site in the original genomic DNA. The most accurate and reliable method to quantitate the relative amounts of digested and undigested PCR products is to perform an unlabeled PCR reaction, followed by a purification step to ensure subsequent complete cutting, then restriction digestion, polyacrylamide gel electrophoresis, electroblotting, oligo hybridization and phosphorimager quantitation. This method, despite is relatively labour-intensive, is cost effective (Sadri and Hornsby, 1996; Xiong and Laird, 1997).

### **1.12.9. Pyrosequencing**

Bisulfite pyrosequencing is a quantitative methodology, alternative to the traditional dideoxy (Sanger) sequencing approach, based on the detection of emitted light during synthesis of the complementary strand by an exonuclease-deficient DNA polymerase. Biotin-labelled, single-stranded PCR products generated from bisulfite-treated DNA are used as a template with an internal primer to perform the pyrosequencing reaction. When nucleotides are incorporated, in a predetermined order, pyrophosphate is released and converted to ATP by

the enzyme ATP sulfurylase. The ATP molecules provide energy for the enzyme luciferase to oxidize luciferin in a reaction that generates light (Figure 19). DNA methylation ratios are calculated from the levels of light emitted from each nucleotide incorporated at individual CpG positions in a strand-dependent manner. The methylation detection limit at individual CpG sites is approximately 5% and the results are displayed as an average methylation level for each CpG position assayed across all amplification products generated during a PCR reaction.



**Figure 19 \_ DNA Methylation analyses by Pyrosequencing.** The reaction cascade generates light for every incorporated nucleotide, the intensity of which is proportional to the number of bases incorporated.

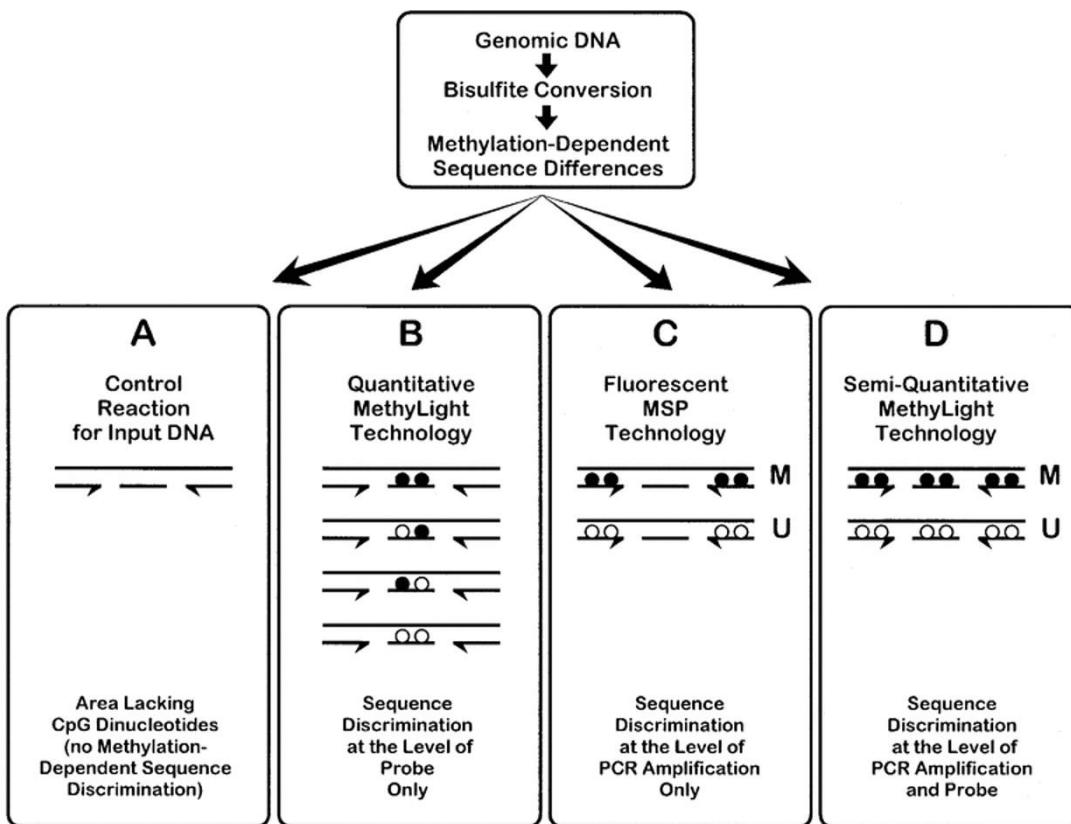
This technique allows the identification of heterogeneous DNA methylation patterns but does not provide information at a single allele resolution. Is a methodology suited to analyze short DNA sequences, up to 100-bp in length, such as those typically extracted from formalin-fixed paraffin-embedded specimens. Quantitative epigenotypes are obtained using this protocol in approximately 4 h for up to 96 DNA samples when bisulfite-treated DNA is already available as the starting material (Tost and Gut, 2007; Mikesa et al., 2011).

### 1.12.10. Methylight

Methylight is a high-throughput quantitative methylation assay, sodium-bisulfite-dependent, which relies on fluorescence-based real-time PCR (TaqMan) technology (Figure 20). It requires no further manipulations after the PCR step and can very accurately determine the relative prevalence of a particular pattern of DNA methylation. Is also a highly sensitive assay, capable of detecting very low frequencies of hypermethylated alleles in the presence of a 10,000-fold excess of unmethylated alleles (Eads et al., 2000).

Four types of MethyLight reactions were described, depending on which oligonucleotides are designed to discriminate the methylation status: (*i*) only the primers, (*ii*) only the TaqMan probe, (*iii*) both primers and probe, or (*iv*) none (in cases where a control reaction is required to discriminate the converted DNA). Using these reactions, several variations of MethyLight have been proposed to address different biological questions. The most commonly used MethyLight methodology uses two primers and a TaqMan probe designed to bind the methylated allele specifically and requires a reference gene for normalization. It is important to note that MethyLight, depending on the method subtype, can assess the methylation status of all CpG sites covered by the TaqMan probes.

The highest advantages of MethyLight, as compared to other existing techniques, are: its potential to allow the extremely rapid screening of hundreds to thousands of samples, the decrease of chance of sample contamination and error and of the amount of labor involved in DNA methylation analysis, because the assay is completed at the PCR step without the need for further gel electrophoretic separation or hybridization, and the compatibility with small biopsies and paraffin-embedded tissues once it requires only minute amounts of DNA of modest quality. MethyLight has shown higher levels of accuracy and lower rates of false negatives when compared with previously described techniques being for that frequently used to validate other techniques for DNA methylation studies (Eads et al., 2000; Campan et al., 2009).



**Figure 20 \_ Schematic of the theoretical basis of MethyLight technology.** Genomic DNA is first chemically modified by sodium bisulfite. This generates methylation-dependent sequence differences at CpG dinucleotides by converting unmethylated cytosine residues (locations indicated by white circles) to uracil, while methylated cytosine residues (locations indicated by black circles) are retained as cytosine. Fluorescence-based PCR is then performed with primers that either overlap CpG methylation sites or that do not overlap any CpG dinucleotides. Sequence discrimination can occur either at the level of the PCR amplification process or at the level of the probe hybridization process, or both. Sequence discrimination at the PCR amplification level requires the primers and probe (application D), or just the primers (application C), to overlap potential methylation sites (CpG dinucleotides). Only two [fully methylated (M) and fully unmethylated (U)] of the many theoretical methylation permutations are shown. The MethyLight assay can also be designed such that sequence discrimination does not occur at the PCR amplification level. If neither the primers nor the probe overlap sites of CpG dinucleotides (application A), then no methylation-dependent sequence discrimination occurs at the PCR amplification or probe hybridization level. This reaction represents amplification of the converted genomic DNA without bias to methylation status, which can serve as a control for the amount of input DNA. When just the probe overlaps methylation sites (application B), then sequence discrimination can occur through probe hybridization. The design of separate probes for each sequence variant resulting from different methylation patterns ( $2^2 = 4$  probes in the case of two CpGs, as illustrated) can potentially serve as a quantitative version of the MethyLight technology. (From Eads et al., 2000).

## 1.13. The Aryl Hydrocarbon Receptor

### 1.13.1. The Aryl Hydrocarbon Receptor structure

The Aryl Hydrocarbon Receptor (AHR), also called the dioxin receptor, was identified in 1976 by Poland et al. Is a ligand-activated transcription factor member of basic helix-loop-helix Per-ARNT-Sim (bHLH/PAS) proteins superfamily which also includes the transcriptional regulators Period (Per), AHR nuclear translocator protein (ARNT) and Single minded (Sim) (Poland et al., 1976; Whitlock, 1993; Hankinson, 1995).

The bHLH/PAS family of transcription factors is important for regulation of diverse biological processes including the induction of drugs metabolizing enzymes in response to xenobiotic exposure, circadian rhythms<sup>1</sup> regulation, hypoxia response and development of the CNS (McIntosh et al., 2010; Gu et al., 2000).

The AHR gene is located on chromosome 7p15 and consists of 12 exons, including a noncoding exon (exon 12) (Micka et al., 1997).

AHR has a uniform molecular design that is composed of three functional domains, including a highly conserved N-terminal bHLH domain, a pair of degenerate PAS repeats (denominated PAS.A and PAS.B), and a poorly conserved C-terminal transactivation domain (Figure 21) (Kewley et al., 2004).

The PAS domain of the AHR mediates heterodimerization, DNA recognition, ligand binding and chaperone interactions; the bHLH domain is involved in DNA binding and support of dimerization, and comprise the nuclear localization sequence (NLS) and the nuclear export sequence (NES); the C-terminal is highly variable and contains the transactivation domain (TAD) responsible for activating transcription after DNA binding (Figure 21) (Stevens et al., 2009).

The promoter of AHR gene did not contain a TATA box nor a CAAT box and is GC-rich, multiple GC boxes are present close to the determined transcription initiation sites. It also show several well conserved regions, containing binding sites for known transcription factors, such as Sp1, all features of a housekeeping gene (Mimura et al., 1994; Schmidt et al, 1993; Eguchi et al., 1994). Binding sites for putative transcription factors such as c-Myc, NF-E1, AP1 and AP2 have also been observed in upstream region of the AHR promoter.

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<sup>1</sup> **Circadian rhythms** are physical, mental and behavioural changes, found in most living beings, that follow a roughly 24-hour cycle, responding primarily to light and darkness in an organism's environment.

AHR gene and homologues have been identified in many mammalian, in several non mammalian vertebrate and also in invertebrate species (Hahn, 2002). Studies have demonstrated that AHR is expressed, in a tissue, cell and developmentally specific manner, in several human tissues including heart, pancreas and liver, showing its highest levels in lung and placenta and with lower levels of expression found in brain, kidney, and skeletal muscle (Dolwick et al., 1993).

### 1.13.2. The AHR signalling pathway

In the absence of a ligand, AHR is found in the cytoplasm in a complex with the chaperone<sup>2</sup> heat-shock protein 90 (hsp90), the co-chaperone<sup>3</sup> p23 and an immunophilin homologous protein known as ARA9 (AHR-associated protein 9), AIP (AHR-interacting protein) or also XAP2 (hepatitis B virus X-associated protein 2) (Nguyen et al., 2008).

Following ligand binding the AHR changes its conformation and translocates into the nucleus, dissociates from the hsp90 complex and forms a heterodimer with the ARNT (also known as HIF-1β). The AHR/ARNT complex then binds to specific DNA recognition sequences termed xenobiotic responsive elements (XREs, also known as DREs, dioxin responsive elements) found in the promoter regions of a variety of genes, resulting in increased gene transcription. This AHR-ARNT heterodimer has been shown to regulate the expression of several genes involved in diverse signalling pathways (Reyes et al., 1992).

The subset of AHR target genes includes the members of cytochrome P450 family 1 (CYP1A1, CYP1A2 and CYP1B1), NAD(P)H dehydrogenase quinone 1 (NQO1), aldehyde dehydrogenase 3 family, member A1 (ALDH3A1), Uridine Diphosphate glucuronosyltransferase 1 family polypeptide A6 (UGT1A6) and glutathione S-transferase Ya subunit (GST-YA), and is usually collectively referred to as "AHR gene battery". These genes are commonly upregulated following AHR activation, and encode phase I and phase II xenobiotic metabolizing enzymes, which function to metabolize activating compounds and thus provide a vital role in the detoxification of xenobiotics (Hao and Whitelaw, 2013).

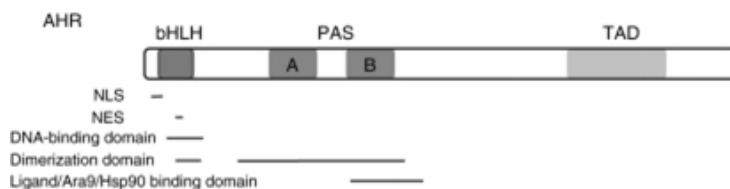
After nuclear translocation, the AHR is exported to cytoplasm and targeted for degradation via the ubiquitin-proteosome pathway. The AHR activity in the cell is also negatively

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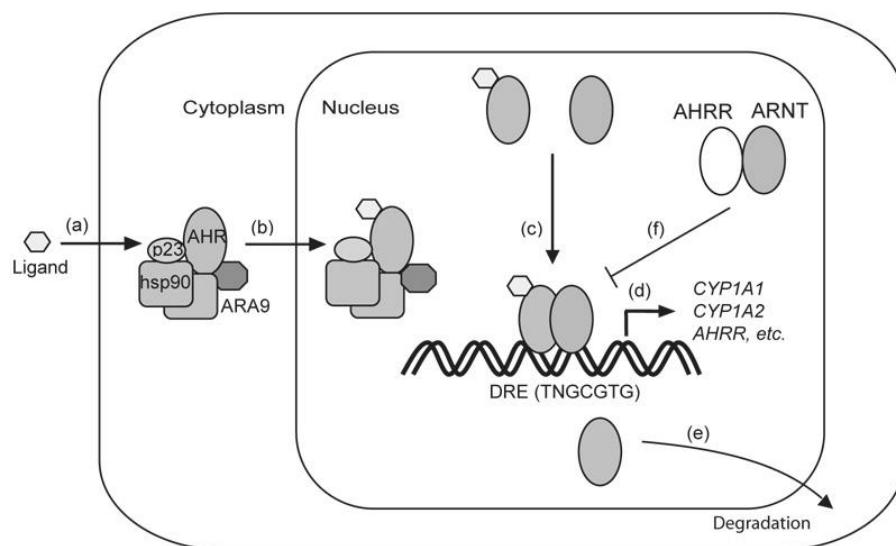
<sup>2</sup> **Chaperones** are proteins found in both prokaryotes and eukaryotes, which facilitate the correct assembly or disassembly of newly synthesized oligomeric protein complexes, participating in transmembrane targeting and protein folding.

<sup>3</sup> **Co-chaperones** are proteins that assist chaperones in protein folding and other functions.

regulated by the AHR repressor (AHRR) which inhibits AHR signalling through a proposed mechanism involving competition with AHR for dimerization with AHR nuclear translocator (ARNT) and binding to AHR-responsive enhancer elements (AHREs) (Figure 22) (Evans et al., 2008).



**Figure 21** \_ Schematic representation of functional domains of AHR. (Adapted from Stevens et al., 2009).

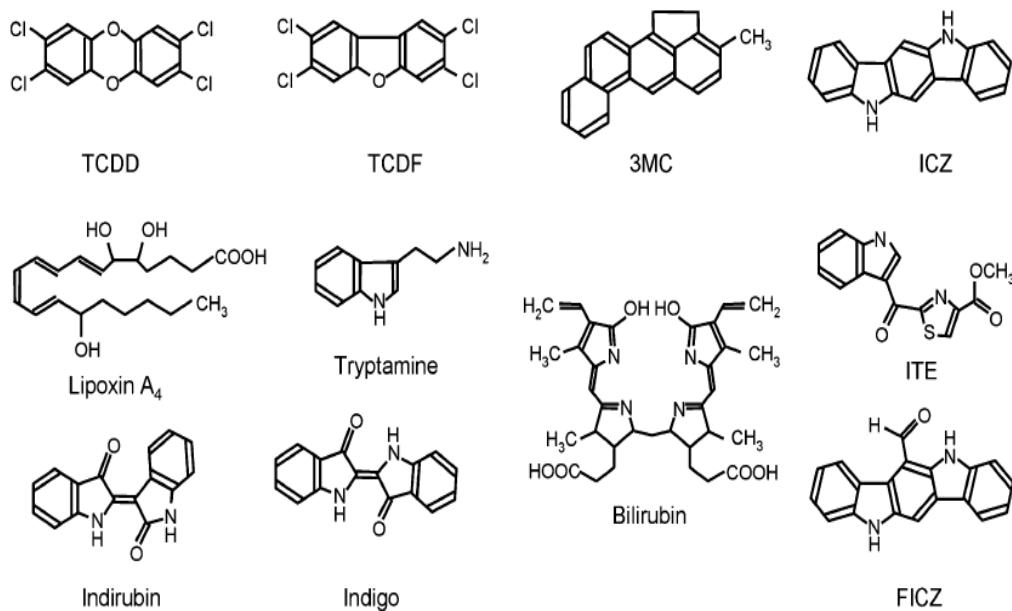


**Figure 22** \_ Schematic representation of the AHR signalling pathway. (Adapted from Nguyen et al., 2008).

### 1.13.3. Ligands of AHR

AHR signalling is bounded and activated by a large variety of ligands of more than 400 environmental toxins and naturally occurring compounds, comprising polycyclic aromatic hydrocarbons (PAHs) and halogenated aromatic hydrocarbons (HAHs), which includes 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD), the most potent AHR ligand known so far (Figure 23) (Denison and Nagy, 2003; Denison et al., 2002).

Beyond the exogenous ligands, several endogenous compounds have been proposed as also capable of AHR-mediated induction such as tryptophan derivate, indole acetic acid, bilirubin, biliverdin and arachidonic acid metabolites, among others (Figure 23) (Heath-Pagliuso et al., 1998; Phelan et al., 1998; Denison and Nagy, 2003).



**Figure 23\_ Examples of the diversity of AHR ligands.** Representative synthetic ligands: 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD), 1,3,6,8-tetrachlorodibenzofuran (TCDF), 3-methylcholanthrene (3MC), indro[3,2-b]carbozole (IZC). Natural ligands: Lipoxin A<sub>4</sub>, Tryptamine, Bilirubin, 2-[1'H-indole-3'carbonyl]-thiazole-4-carboxylic acid methyl ester (ITE), Indirubin, Indigo, 6-formylindolo [3,2-b] carbazole (FICZ). Adapted from Fujii-Kuriyama and Mimura, 2005.

#### 1.13.4. Role of the AHR in the acute toxicity and teratogenicity of 2,3,7,8-tetrachlorodibenzo-p-dioxin (TCDD)

Exposure of laboratory rodents to TCDD produces a variety of toxicities including hepatotoxicity, reproductive toxicity, teratogenicity, neurotoxicity, dermal toxicity, immune suppression, epithelial hyperplasia and tumor promotion.

In an effort to distinguish AHR-mediated TCDD toxicities from those resulting from alternative pathways, AHR-null mice were compared with wild-type mice regarding their susceptibility to acute TCDD-induced toxicity. AHR-deficient mice are relatively unaffected by doses of TCDD (2000 micrograms/kg) 10-fold higher than that found to induce severe toxic and pathologic

effects in littermates expressing a functional AHR. Analyses of liver, thymus, heart, kidney, pancreas, spleen, lymph nodes, and uterus from AHR-deficient mice identified no significant TCDD-induced lesions (Fernandez-Salguero et al., 1996).

The results suggest that the pathological changes induced by TCDD in the liver and thymus are mediated entirely by the AHR. However, it is important to note that at high doses of TCDD, AHR-deficient mice displayed limited vasculitis and scattered single cell necrosis in their lungs and livers, respectively. The mechanisms responsible for these apparently receptor-independent processes remain unclear but may involve novel, alternative pathways for TCDD-induced toxicity (Fernandez-Salguero et al., 1996).

### **1.13.5. AHR regulates distinct dioxin-dependent and dioxin-independent gene batteries**

The AHR acts as a ligand-dependent transcription factor but the spectrum of genes regulated by the AHR is incompletely defined, and the specific genes whose AHR-mediated dysregulation by dioxins leads to major forms of dioxin toxicity are largely unknown. At the same time, a number of AHR target genes, independent of exogenous ligand, that do not fit into a xenobiotic metabolising role, have been identified.

In order to assess AHR-dependence, Tijet and colleagues used expression arrays to compare gene expression in wild-type AHR and AHR-null mouse liver cells and so identify the batteries of genes whose expression *in vivo* is affected by the AHR status only, by TCDD only, or by the combination of AHR and the TCDD. This way, three distinct sets of genes were characterized, those affected by AHR genotype independent of TCDD, those responsive to TCDD in an AHR-dependent manner and those responsive to TCDD in an AHR-independent manner (Tijet et al., 2006).

When none TCDD was administrated, constitutive expression of numerous genes were substantially higher in AHR  $+/+$  mice than in AHR  $-/-$  mice, in a total of 392 probesets. Although not all of these probesets are necessarily regulated directly by the AHR gene, the impact of AHR status on constitutive expression of numerous genes suggests multiple and diverse roles for the AHR in normal physiology in addition to the AHR's ability to mediate gene expression in response to xenobiotic ligands. As an example, the mRNA for Serpina12, a proteinase inhibitor, was 220-fold more abundant in liver from AHR  $+/+$  mice than from AHR  $-/-$ . CYP1A2 gene, whose expression was already known as inducible by TCDD via the

AHR, also presented significantly higher expression in AHR +/+ mice than in AHR -/-, independent of TCDD administration.

The expression levels were altered by TCDD in an AHR-dependent manner in 456 probesets, in other words, differential responses to TCDD were observed between AHR +/+ versus AHR -/- mice, and a substantial overlap was verified between the AHR-dependent and independent effects of the TCDD.

Finally, only 32 genes showed significant AHR-independent responses to TCDD, with a maximum difference in expression between TCDD-treated and untreated mice of only 2-fold, confirming that almost all transcriptomic effects of TCDD do require the AHR.

The authors have concluded that AHR status and TCDD exposure influence the expression of genes related to reproduction, growth, development, cell cycle, cell growth, differentiation and apoptosis, to proteinase inhibitors and to toxicity or to defence from toxicity of xenobiotics (Tijet et al., 2006).

#### **1.13.6. AHR genetic polymorphisms**

Little is known about the factors and events that regulate expression of the AHR. Nucleotide polymorphisms that result in changes in amino acid sequence of the human AhR protein have been identified. The role of these polymorphisms is not clear and the majority of studies have found no correlation between a specific allele, gene inducibility and susceptibility to develop cancer. Relatively few polymorphisms have been discovered in the human AHR gene occurring predominantly in exon 10, a region that encodes a major portion of the c-terminal transactivation domain of the receptor that is responsible for regulating expression of other genes (Harper et al., 2002).

Some studies were performed in order to investigate if AHR transcriptional activity was mediated by genetic polymorphisms affecting the inducibility of AHR target genes, such as CYP1A1. From the AHR polymorphisms founded in those populations none of them seem to be involved in the inducible expression CYP1A1 or in the susceptibility to develop cancer, specifically lung cancer (Watanabe et al., 1995; Kawajiri et al., 1995; Micka et al., 1997).

The most studied AHR polymorphism in human is R554K (G1661A), where a lysine residue at amino acid 544 replaces an arginine. AHR is thought to be involved in the chemical carcinogenesis, thus, a few studies tried to find the associations between R554K variation

and the risks of lung cancer and others human cancers, but failed (Kawajiri et al., 1995; Luo et al., 2013). However a report showed significant and adverse association of R554K with survival for soft tissue sarcoma (Berwick et al., 2004).

The available data lead to believe that mutations causing alterations in AHR gene expression may either be quite rare or might even be incompatible with survival when leading to a marked loss of expression as the AHR plays also a role in cell cycle regulation (Puga et al., 2002).

### **1.13.7. Developmental role of AHR**

While the AHR was discovered due to its role in drug metabolism, increasing evidence suggests that the primary function of mammalian AHR is probably related to normal development, namely in liver and vascular development, immune system function and cell growth and differentiation (Mulero-Navarro et al., 2006).

Phylogenetic analysis suggests that the ability of mammalian and vertebrate AHR to sense xenobiotics and regulate biotransformation enzymes was acquired at a later stage of evolution as part of an adaptive response mechanism, and that the original function of the AHR may have been as a developmental regulatory gene (Hahn, 2002).

Unlike mammalian AHR, the invertebrate AHR orthologs, although working as transcription factors, do not bind to any of the classic known AHR ligands. This evidence strongly supports the fact that xenobiotic binding is an independent function of the developmental role of the AHR (Hahn, 2002).

Even more elucidative of the physiological and developmental importance of the AHR is the fact that AHR knock null mice, generated in separated laboratories, display several physiological defects, namely, growth retardation, peripheral immune system deficiency, reduced liver size, abnormalities in vascular structure, portal tract fibrosis, female decreased fertility, epidermal hyperplasia and hyperproliferation of hair follicles and lung and liver tumors (Mimura et al., 1997; Schmidt et al., 1996; Fernandez-Salguero et al., 1995).

AHR-null mice do not express receptor protein and typical AHR target genes, such as CYP1A1 and CYP1A2, are not induced upon the administration of TCDD (Fernandez-Salguero et al., 1995). AHR is also known to cross-talk with a variety of other cell signalling pathways.

All the arguments above point to a critical endogenous role of AHR, especially during normal tissue development and homeostasis, lend further support for the existence of an endogenous ligand and also suggesting that the driving force for the evolutionary conversation of AHR not only lies on its role in xenobiotic metabolism but also in normal cell development.

#### **1.13.8. Tumor suppressor gene role of AHR in the absence of xenobiotics**

For several decades AHR gene has been studied as a receptor for environmental contaminants, as a mediator of chemical toxicity and regulator of xenobiotic-induced carcinogenesis. However its role in the process of tumor initiation and development under normal physiological conditions, meaning, in the absence of xenobiotics, is just beginning to be understood (Barouki et al., 2007).

Epidemiological and experimental animal data have provided evidences for an association between abnormal AHR function and cancer, suggesting that AHR may function as a tumor suppressor gene that becomes silenced in the process of tumor formation (Fan et al., 2010).

AHR has been shown to act as a tumor suppressor in a mouse model of prostate cancer denominated Transgenic Adenocarcinoma of the Mouse Prostate (TRAMP). The AHR-/ TRAMP mice develop prostate tumors with greater frequency than AHR +/+ TRAMP mice. Among other hypotheses, it was proposed that AHR may affect prostate carcinogenesis through cross-talk with other signalling pathways, including the androgen signalling cascade. However, the exact mechanism through which the AHR enhances the process of prostate carcinogenesis remains to be determined (Fritz et al., 2007).

Peng and colleagues (2009) also investigated the susceptibility of AHR-/ mice to develop liver tumors and they also found that a significant enhancement of tumor incidence in those when compared with AHR +/+ mice, supporting the idea that loss of the AHR gene acts like a tumor suppressor and can be a critical event in liver cancer progression (Peng et al, 2008). These results are supported by other study (Fan et al., 2010).

Those data implied that AHR acts as a tumor suppressor gene but the underlying molecular mechanism is still unknown.

### 1.13.9. Role of AHR in gliomas carcinogenesis

There have been a limited number of investigations on the potential role of AHR signalling in gliomas. However, the role of AHR in glioma carcinogenesis in the absence of exogenous ligand remains to be determined.

#### 1.13.9.1. AHR expression in normal brain and gliomas

In rats, AHR and ARNT mRNAs were found widely distributed throughout the brain, especially in hypothalamic and brainstem regions involved in the regulation of appetite and circadian rhythms (Petersen et al., 2000). In other study, and also in murine cells, both astrocytes and endothelial cells express abundant levels of AHR protein in brain (Filbrandt et al., 2004).

In 2009, Gramatzki and coworkers, in order to predict the possible value of targeting AHR in human glioma patients *in vivo*, have studied the AHR expression in human normal brain and in a large series of human gliomas of WHO grade II-IV, using immunohistochemistry (Gramatzki et al., 2009).

The AHR gene was expressed in normal brain regions including cortex and white matter of frontal, parietal, temporal and occipital lobes, basal ganglia, thalamus, hippocampus, midbrain and cerebellum. On the other hand, no AHR expression was found in pyramidal hippocampal neurons, nigral neurons of the midbrain, pyramidal cortical neurons or granular cerebellar cells (Gramatzki et al., 2009).

A strong AHR expression was seen especially in astrocytes. Expression was observed in the cytoplasm and strongly in the nuclei of glial cells but not in endothelial cells of proliferating vessels. Both in normal brain and in gliomas the pattern of expression was heterogeneous (Gramatzki et al., 2009).

The mean AHR expression levels showed a decrease with WHO grade, showing the lowest levels in grade IV glioma, being the difference in the expression levels, between grade II gliomas when compared with grade IV gliomas, statistically significant. The authors have also found that the nuclear staining intensity was higher in WHO grade IV gliomas when compared with the grade II and III gliomas (Gramatzki et al., 2009).

### 1.13.9.2. Epigenetic regulation of AHR expression in human cancer

Surprisingly few studies have been focused on the mechanisms that control expression of the human AHR gene. There are numerous examples in which alterations of the level of AHR expression determines the responsiveness of downstream pathways, however, the underlying cellular and molecular mechanisms responsible for the observed changes in AHR expression remain for the most part elusive (Harper et al., 2006).

It was demonstrated that expression of the AHR gene may be down regulated by epigenetic mechanisms, namely by DNA hypermethylation. Mulero – Navarro and co-workers analysed the promoter methylation status as an epigenetic mechanism regulating AHR expression in 19 human tumor cell lines, representing 16 human tumor types (Mulero-Navarro et al., 2006).

They found that only cell lines from acute lymphoblastic leukaemia and chronic myeloid leukaemia had significant AHR promoter hypermethylation. Twenty-one human primary acute lymphoblastic leukaemia tumors were also analysed and 33% of the patients presented AHR promoter hypermethylation (Mulero-Navarro et al., 2006).

In non-lymphoid human tumors, namely, from breast, colon, lung, melanoma, osteosarcoma, testis carcinoma, neuroblastoma, rhabdomyosarcoma and choriocarcinoma minimal AHR promoter hypermethylation was found (Mulero-Navarro et al., 2006).

The results from this study suggest that promoter hypermethylation could represent a mechanism regulating AHR expression in human tumor cells in a cell-specific manner, points AHR as a negative regulator of cell growth and proliferation in acute lymphoblastic leukemia in the absence of xenobiotics and supports the putative role of tumor suppressor of AHR gene (Mulero-Navarro et al., 2006).

AHR expression was also found to be regulated through CpG promoter hypermethylation in mantle cell lymphoma (MCL), in 32% of samples, and is correlated with higher proliferation, increased number of chromosomal abnormalities and shorter survival of the patients. Five genes, including AHR, (*SOX9*, *HOXA9*, *AHR*, *NR2F2*, and *ROBO1*) were frequently methylated in these tumors suggesting that a subset of MCL might show a CpG island methylator phenotype (CIMP) that may influence the behaviour of the tumors (Enjuanes et al., 2011).

### 1.14. The Transforming growth factor beta

Transforming growth factor-beta (TGF- $\beta$ ) is a multifunctional regulatory polypeptide that is the prototypical member of a large family of cytokines which is comprised of over thirty members including activins, nodals, bone morphogenetic proteins, growth and differentiation factors. Is secreted by several cell types and controls many aspects of cellular function, including cellular proliferation, differentiation, migration, apoptosis, adhesion, angiogenesis, immune surveillance, and survival (Jakowlew, 2006).

Three different isoforms have been identified in mammals, namely TGF- $\beta$ 1, TGF- $\beta$ 2 and TGF- $\beta$ 3, which share about 80% amino acid sequence identity (Roberts, 1998).

The active form of the TGF- $\beta$  is a 25kDa dimer of two polypeptides stabilized by hydrophobic interactions strengthened by a bisulfite bond. Before binding to its receptors, TGF- $\beta$  is activated from a large latent complex comprised of Latent TGF- $\beta$  binding protein (LTBP) and Latency Associated Peptide (LAP).

Once activated, TGF- $\beta$  signals through two classes of receptors, the TGF- $\beta$  type I receptor (T $\beta$ RI) and TGF- $\beta$  type II receptor (T $\beta$ RII). Type I and II receptors are serine/threonine kinase receptors that form a heterodimeric complex upon TGF- $\beta$  binding. TGF- $\beta$  interacts with T $\beta$ RII which catalyses the phosphorylation of the T $\beta$ RI and allows the subsequent incorporation of the T $\beta$ RI generating a ligand-receptor complex formed by a ligand dimer, two T $\beta$ RI and two T $\beta$ RII. The only known function of the T $\beta$ RII is to activate the T $\beta$ RI. Once activated by the T $\beta$ RII, T $\beta$ RI phosphorylates Smads through its catalytic domain.

Smad proteins are transcription factor and only known TGF- $\beta$  receptor substrates capable of signal transduction. Activated Smads regulate diverse biological effects by partnering with transcription factors resulting in cell-state specific modulation of transcription. The activity of the Smad pathway is terminated through a number of mechanisms including ubiquitinylation and proteosomal destruction of the Smads (Weiss and Attisano, 2013; Seoane, 2006; Rich, 2003).

In addition, TGF- $\beta$  can signal through Smad-independent pathways (Moustakas and Heldin, 2005).

### 1.14.1. TGF- $\beta$ role in human carcinogenesis

The role of TGF- $\beta$  in cancer is complex once it has a dual role acting as both a tumor suppressor in early tumorigenesis and tumor promoter in later cancer stages (Figure 24) (Derynck et al., 2001; Akhurst et al., 2001).

In normal epithelial cells and early well-differentiated epithelial tumor cells TGF- $\beta$  acts as a suppressor of cell proliferation and apoptosis promoter (Massagué, 1998).

Studies shown that pancreatic cancers have non-functional TGF- $\beta$  pathways that suggest that inactivation of TGF- $\beta$  signalling pathways plays an important role in human pancreatic tumorigenesis and support the tumor suppressor role of TGF- $\beta$  in those tumors (Goggins et al., 1998; Villanueva et al., 1998).

In contrast, in human colorectal cancer TGF- $\beta$  is expressed in high levels and is associated with disease progression (Tsushima et al., 1996). In prostate cancer, TGF- $\beta$ 1 upregulation is associated with angiogenesis, metastasis and poor patient prognosis (Wikström et al., 1998).

Furthermore, a significantly greater number of invasive breast carcinomas expressed higher levels of TGF- $\beta$  than *in situ* breast carcinomas and the strongest expression was observed in invasive carcinomas with associated lymph node metastasis, supporting a relationship between TGF- $\beta$  production and tumor progression (Walker and Dearing, 1992; Gorsch et al., 1992).

The oncogenic role of TGF- $\beta$  has prompted the development of therapeutic strategies based on the inhibition of the TGF- $\beta$  pathway but a better understanding of the mechanisms that mediate the malignant transformation of TGF- $\beta$  will improve the development of rational and successful therapeutic strategies.

### 1.14.2. TGF- $\beta$ and gliomagenesis

TGF- $\beta$  has been shown to play a role in many pathological disorders involving the central nervous system, including neurodegenerative diseases and brain tumors (Vivien and Ali, 2006).

Among the multiple pathways associated with gliomas, the TGF- $\beta$  pathway plays a very crucial role in regulating the behaviour of these tumors. Elevated levels of TGF- $\beta$  have been

reported in the blood serum of patients with malignant gliomas and a striking correlation was observed between elevated TGF- $\beta$  levels and high tumor grade, advanced tumor stage and poor patient outcome (Rich, 2003; Sasaki et al., 1995; Platten et al., 2001).

In human gliomas, TGF- $\beta$  expression has been pointed as a factor playing an important role in cell growth, metastasis, invasion, angiogenesis and induction of immunosuppression (Merzak et al., 1994; Platten et al., 2001; Derynck et al., 2001).

TGF- $\beta$  and its ligands and receptor are expressed in both low grade and high grade gliomas, but were not detected in normal brain and gliosis (Samuels et al, 1989; Yamada et al, 1995; Kjellman et al, 2000). Unlike most cancers where TGF- $\beta$ 1 is the isoform most commonly overexpressed, in gliomas TGF- $\beta$ 2 is the most abundant isoform upregulated and is involved in tumor progression (Hüelper et al., 2011; Hau et al., 2011).

An inverse correlation between TGF- $\beta$  expression in gliomas and survival, suggest that TGF-beta plays an important role in the malignant progression of gliomas (Merzak et al., 1994; Platten et al., 2001; Wick et al., 2001).

High TGF- $\beta$ /SMAD activity is associated with aggressiveness, high proliferation and poor prognosis in glioma patients, and is dependent on PDGF-B methylation status (Bruna et al., 2007). TGF- $\beta$  is able to promote proliferation through PDGF-B induction in glioma cells, acting as an oncogenic factor, in those with unmethylated PDGF-B promoter. In about 50% of human gliomas presenting low expression of PDGF-B, the PDGF-B promoter is found to be methylated. These tumors do not have a hyperactive TGF- $\beta$ /SMAD activity and are usually less aggressive (Bruna et al., 2007).

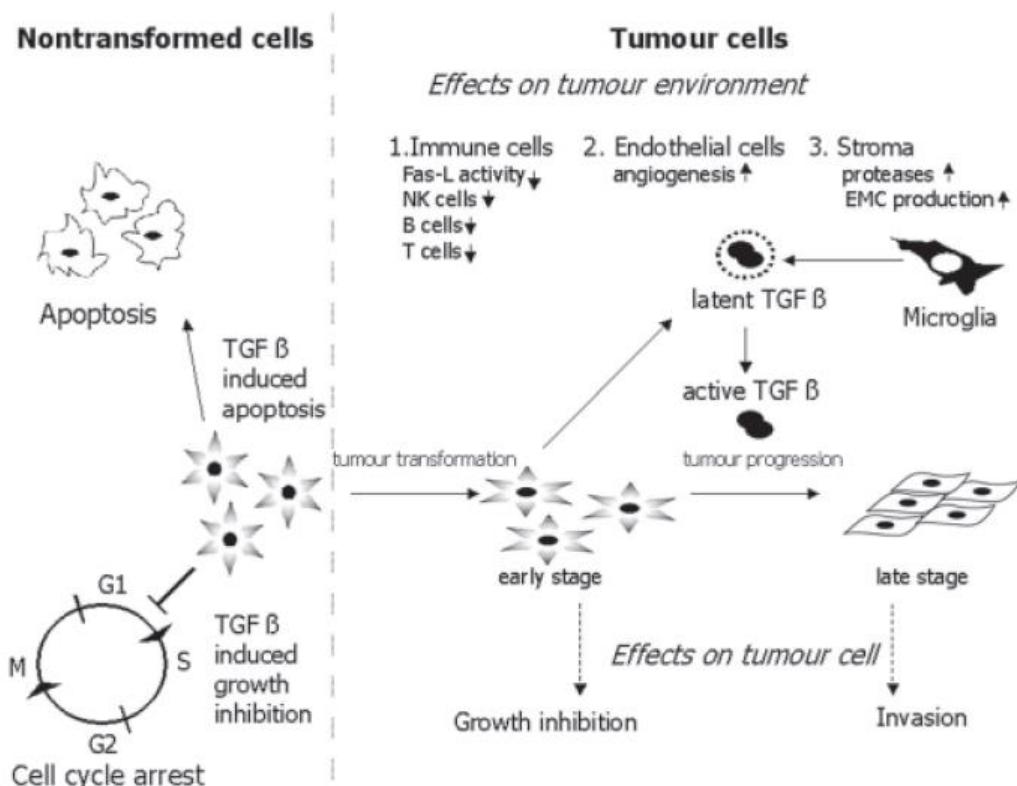
A connection between angiogenesis process and TGF- $\beta$  was demonstrated in several studies. Data suggest that the overproduction of TGF- $\beta$  by hamster ovary tumor cells can contribute to neovascularization and may help promote tumor development in vivo. In glioblastoma, TGF- $\beta$  has a key role in regulating vascular phenotype of these tumors, suggesting that, TGF- $\beta$  may represent a new target for vascular normalization therapy (Ueki et al., 1992; Dieterich et al., 2012).

Despite the data regarding high TGF- $\beta$  expression in different human gliomas, the mechanisms underlying this expression, signalling and role of TGF- $\beta$  in promoting tumorigenesis in glioma it is far from known.

### 1.14.3. TGF- $\beta$ as a therapeutic target in gliomas

The observation that TGF- $\beta$  acts on multiple levels to promote the malignant phenotype of gliomas, has prompted the development of approaches to antagonize the biological effect of TGF- $\beta$  as a promising experimental strategy to manage malignant gliomas.

Recent therapeutic approaches targeting the TGF- $\beta$  pathway comprise antisense oligonucleotides, neutralizing antibodies, antagonistic antibodies or small molecule inhibitors. Despite a number of agents are currently evaluated in early clinical studies in glioma patients, all these approaches seem to faced problems caused by limitations in passing the BBB, but are nevertheless promising (Kaminska et al., 2013; Joseph et al., 2013).



**Figure 24\_ Possible multiple roles of TGF- $\beta$  in tumor pathogenesis.** TGF- $\beta$  can induce apoptosis or inhibit proliferation of nontransformed cells but loses its growth-inhibitory potential as cells progress to later stages of tumorigenesis. In the later stages of tumor development TGF- $\beta$  is actively secreted by tumor cells or stromal cells and contributes to cell growth, invasion, metastasis, and decrease in host anti-tumor immune responses. Adapted from Kaminska et al., 2005.

#### 1.14.4. Crosstalk between AHR and TGF- $\beta$ signalling pathways

TGF- $\beta$ , such as the tumor necrosis factor-  $\alpha$  (TNF- $\alpha$ ) and the epidermal growth factor (EGF), were found to be downstream targets of AHR signalling (Haarmann-Stemmann et al., 2009).

Prior work has established a link between the AHR and TGF- $\beta$  signalling pathways and shown that TGF- $\beta$  levels and activity increase in AHR  $-/-$  mouse cells (Elizondo et al., 2000; Gonzalez and Fernandez-Salguero, 1998; Guo et al., 2004). Furthermore, many features of the pathology that arise from the absence of the AHR gene are similar to the ramifications that arise from an increase in TGF- $\beta$  levels (Guo et al., 2004).

AHR and TGF- $\beta$  signalling pathways are known to cross-regulate each other in a cell type-specific manner and seem to converge to the regulation of the same cellular processes (Wolff et al., 2001; Chang et al., 2007; Gomez-Duran et al., 2009).

In their work, Guo and colleagues, proposed to study the role of TGF- $\beta$  in the pathology that results from the loss of the AHR by comparison of global gene expression profiles of AHR  $+/+$  and AHR  $-/-$  vascular smooth muscle cells from mouse aorta. They found that AHR represses TGF- $\beta$  gene expression and affects the gene expression of several TGF- $\beta$  related genes. Based on that, the authors concluded that the simplest explanation for the AHR  $-/-$  pathology is that AHR normally represses TGF- $\beta$  signalling pathway in the wild-type cell (Guo et al., 2004).

#### 1.14.5. AHR and TGF- $\beta$ signalling pathways in human gliomas

Latent TGF- $\beta$ -binding protein-1 (LTBP-1) is a transcriptional target of AHR (Santiago-Josefat et al, 2004; Corchero et al, 2004) and has been characterized as crucial in the process of TGF- $\beta$  activation in gliomas (Tritschler et al., 2009).

LTBP-1 was identified as an important modulator of TGF- $\beta$  activation in glioma cells. Gene-transfer mediated overexpression of LTBP-1 in glioma cell lines results in an increase in TGF- $\beta$  activity and also in the enhanced Smad2 phosphorylation, an intracellular marker of TGF-beta activity. Oppositely, LTBP-1 gene silencing reduces TGF- $\beta$  activity and Smad2 phosphorylation without affecting TGF-beta protein levels (Tritschler et al., 2009).

Several studies suggest that the AhR is involved in TGF- $\beta$  and LTBP-1 signalling. In their study, Santiago-Josefat and colleagues analysed differential patterns of LTBP-1 expression between wild-type (*AHR* $+/+$ ) and null (*AHR* $-/-$ ) mouse embryo fibroblasts and found that *Ltpb-1* mRNA and protein expression were markedly increased in *AHR* $-/-$ . This way they

identified mouse *Ltpb-1* as a new negatively regulated AHR-target gene not involved in xenobiotic metabolism and shown that LTBP-1 overexpression in the absence of AHR is related to increased levels of TGF- $\beta$  activation (Santiago-Josefat et al., 2004).

In mice liver, AHR was also identified as a negative regulator of liver fibrosis, possibly through the control of LTBP-1 and TGF- $\beta$  activities. Results suggest that LTBP-1 targets TGF- $\beta$  to specific areas of the liver and shown that the absence of AHR increased TGF- $\beta$  activity (Corchero et al., 2004).

Accordingly, it was found that LTBP-1 expression in human gliomas increases significantly with WHO grade while in normal brain no expression was detected in 85% of cases, and in the remaining cases only a weak diffuse expression staining was present (Tritschler et al., 2009).

This way, TGF- $\beta$  overexpression is pointed to be in the root of the greater tumorigenesis of the AHR-/ genotype in human cancer (Fan et al., 2010). As referred before, the TGF- $\beta$  has a dual role during carcinogenesis, acting as a tumor suppressor in earlier phases and then as tumor promoter in later tumorigenesis phases (Massagué, 1998.) The switch occurs as a consequence of its being produced in high amounts in the tumor, which stimulates tumorigenesis by allowing tumor cells to escape immune surveillance and promoting angiogenesis.



## **CHAPTER 2 | Material and methods**

### **2.1. Tissue samples selection**

Brain tumor histological samples, fixed in buffered formalin and embedded in paraffin, were obtained in the archives from the Pathology Department of Complejo Hospitalario Universitario, Badajoz, Spain.

The inclusion criteria for the selection of the samples were previous histopathologic diagnosis of astrocytic (WHO grade I, II and IV) or oligodendroglial tumor (WHO grade II and III), diagnosis dating from the year 2003 to 2007, and sufficient tissue material available for obtaining high-quality DNA for methylation analysis.

In accordance with the inclusion criteria described above, 188 tumor samples were considered valid to be included in the study.

Twenty normal brain tissue samples were also obtained from the archives from the Pathology Department of Complejo Hospitalario Universitario, Badajoz, Spain.

### **2.2. Diagnostic review**

The histological slides, stained with hematoxylin-eosin (H&E), referring to all cases were reviewed by two experienced pathologists and the tumors were graded and classified according to the WHO classification (World Health Organization Classification of tumors of the nervous system, Louis et al., 2007).

Blocks and areas most representative of tumor were selected to posterior DNA extraction and it was confirmed that normal tissue samples do not exhibit any areas of tumor tissue.

### **2.3. Clinicopathological data**

Clinicopathological data, including gender, age at the time of the diagnosis and tumor location, were collected from the electronic medical records of the patients.

For cases diagnosed as glioblastoma (WHO grade IV) the promoter methylation status of the MGMT gene and overall survival (months) were also collected.

Overall survival data of 66 glioblastoma patients were calculated from the date of the operation to death. Standard therapy for these patients was surgical resection, carmustine implants (Gliadel®), radiotherapy and concomitant and adjuvant temozolomide. The overall survival and therapy data were provided by Neurosurgery Department of Complejo Hospitalario Universitario, Badajoz, Spain.

## **2.4. Ethical issues**

The study was conducted according to the Declaration of Helsinki principles and the medical investigation law (ley 14/2007, de 3 de julio, de Investigación biomédica), currently into force in Spain. All samples used in this study were collected before the year 2007, therefore, and in accordance with the applicable law, no informed consents of the patients were collected.

In order to maintain the confidentiality of patient and all related data the samples were anonymized using an acronym followed by a sequential number, namely, PA (Pilocytic Astrocytoma), DA (Diffuse astrocytoma), OGD (Oligodendrogloma), GBM (Glioblastoma) and NB (normal brain tissue).

## **2.5. DNA extraction and purification**

Genomic DNA from buffered formalin fixed and paraffin embedded (FFPE) samples was extracted by use of the QIAamp® DNA FFPE Tissue Kit (Qiagen, Hilden, Germany), according to the manufacturer's instructions.

QIAamp® DNA FFPE Tissue Kit is a commercially available spin column-based nucleic acid extraction kit. Is a simple and quick approach to extracting nucleic acids from small biological samples and combines the selective purification of DNA using a silica-gel-membrane, which is based on a simple three step process of bind, wash and elute, with previous proteinase k lysis at 56°C.

By sample, 10 µm thick consecutive tissue sections (varying in number depending on the size of the sample) were dewaxed in xylene and rehydrated in a decreasing alcohol series and incubated in Buffer ATL and proteinase k at 56°C, overnight.

After lysis was complete, the samples were incubated one hour at 90 ° C in order to reverse the formalin crosslinking of nucleic acids.

Series of centrifugations were performed with buffers AW1 and AW2 so that the DNA binds to the silica membrane and the contaminants were eliminated.

Lastly, 40 µl buffer ATE (elution buffer) was applied in the column membrane, incubated 5 minutes at room temperature and centrifuged to get DNA in an eppendorf tube. The extracted DNA was stored at - 20°C.

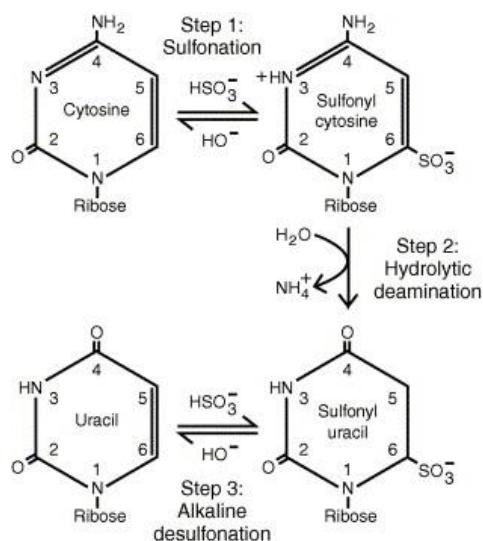
## 2.6. DNA quantification and quality control

DNA quality control and concentration were estimated by spectrophotometry using NanoPhotometer® (Implen GmbH, München, Germany). Readings were taken at wavelengths of 260 nm and 280 nm which allow calculation of the concentration of nucleic acid ( $\mu\text{g}/\mu\text{l}$ ) in the sample and gives the amount of protein in the sample, respectively.

## 2.7. Bisulfite treatment and purification of genomic DNA

Bisulfite modification of DNA is the most commonly used, "gold standard" method for DNA methylation studies since it was described for 5-methylcytosine detection (Frommer et al., 1992; Clark et al., 1994).

The bisulfite DNA modification is a three step process (Figure 25), based on the different sensitivity of cytosine and 5-methylcytosine to deamination by sodium bisulfite under acidic conditions leading to unmethylated cytosine conversion to uracil whereby the unreactive 5-methylcytosine remains a cytosine.



**Figure 25 – Sodium bisulfite DNA modification is a three step process.** **Step 1:** Sulfonation (addition of bisulfite to the 5-6 double bond of cytosine). **Step 2:** Hydrolytic deamination (hydrolytic deamination of the resulting cytosine-bisulfite derivate to give a uracil-bisulfite derivate). **Step 3:** Alkaline desulfonation (removal of sulfonate group by an alkali treatment, to give uracil). Adapted from Kilgore et al., 2007.

## Material and methods

Genomic DNA from tumor and non-tumor samples was modified by treatment with sodium bisulfite, using the EpiTect® Bisulfite Kit (Qiagen, Hilden, Germany), according to manufacturer's protocol.

At room temperature, two bisulfite reactions were prepared for each sample: in two 200 µl eppendorfs, containing 0.5 µg and 1 µg of DNA, were added the remaining components of the bisulfite reaction (Nuclease-free water, Bisulfite Mix and DNA Protect Buffer) in a total volume of reaction of 140µl. In order to perform the bisulfite DNA conversion the tubes were placed into the thermal cycler with the following program conditions:

**Table 1 \_ Bisulfite conversion thermal cycler conditions.**

Step	Time (minutes)	Temperature (°C)
Denaturation	5	95
Incubation	25	60
Denaturation	5	95
Incubation	85	60
Denaturation	5	95
Incubation	175	60
Hold	Indefinite	20

Once the bisulfite conversion was complete a mixture of Buffer BL and RNA carrier was added to the bisulfite reactions and the reactions were transferred to the Epitect spin column. The Buffer BL and RNA carrier mixture promotes the binding of the DNA to the column membrane. Then, residual sodium bisulfite was removed using Buffer BW, desulfonation was performed using Buffer BD and finally DNA was desalted with the addition of Buffer BW, in a series of consecutive centrifugations.

The treated DNA was eluted in 20-40 µl buffer EB and bisulphite modified DNA was stored at -20°C. The modified DNA was used as a template for polymerase chain reaction analysis.

## 2.8. Methylation-specific polymerase chain reaction (MSP)

MSP was first described by Herman et al. in 1996 and today is the most widely used technique in DNA methylation studies. After bisulfite treatment of the DNA, MSP is performed using one primer set design to amplify methylated DNA sequences only and a second set that will amplify unmethylated sequences.

The specificity of MSP therefore relies on the match or mismatch of the primer sequence to bisulfite treated DNA.

MSP technique has a high analytical sensitivity and was reported to detect 0.1% methylated template in an excess of unmethylated DNA (Herman et al., 1996).

DNA methylation patterns in the CpG islands of the AHR promoter region was determined by methylation-specific PCR, using the bisulfite-treated DNA as template, according to a standard protocol with some modifications (Herman et al., 1996). Primer sequences used for PCR were synthesized by Sigma-Aldrich ®.

Two sets of primers were used, one set specific for DNA methylated (unmodified by bisulfite treatment) and one specific for unmethylated DNA (bisulfite modified). The sets of primers used had previously been used to demonstrate methylation of AHR promoter in a subset of acute lymphoblastic leukemia by Mulero-Navarro et al (2006). These primers amplify a 327 nucleotides CpG island fragment inside the promoter region of the AHR gene that contained 34 CpG dinucleotides and the transcription start site, and are described in Table 2.

**Table 2 \_ Sets of primers used for methylation-specific polymerase chain reaction (MSP).** Primer set specific for methylated (M) and unmethylated (U) DNA sequence. **a:** Sequences for forward primer (F), reverse primer (R) all written from 5' to 3' end .**b:** The positions of the synthetic oligonucleotides are indicated relative to their distances to the transcription start site

Gene	Primer sequence <sup>a</sup>	Primer position <sup>b</sup>
<b>AhR (M)</b>	F: GGTTGGGGAGTTTCGTCGAC	+35 to +54
	R: CCGCCTACGAAACTCGAA	+169 to +152
<b>AhR (U)</b>	F: GGTTGGGGAGTTTGTTGAT	+35 to +54
	R: CTTCCCACCTACAAAACCAAAC	+173 to +152

## Material and methods

PCR reactions were performed in a MyCycler™ thermal cycler (Bio - Rad Laboratories Inc., CA, USA) under the following conditions: initial denaturing at 95°C for 5 min, followed by 42 cycles of denaturing at 95°C for 1 min, annealing at 62°C for 1 min and at 72°C for 1 min, and final extension for 5 min at 72°C.

PCR reactions were carried out in a total 25 µl reaction mixture containing 1 × PCR buffer (Qiagen®), 2 mM MgCl<sub>2</sub> (Qiagen®), 0.2 mM dNTPs (Invitrogen ®), each primer at 0.14 µM, 24 µU/µl Hotstar taq ® plus DNA polymerase (Qiagen®), and 2-4 µl bisulfite-modified genomic DNA.

In each PCR reaction, a methylated control DNA (CpGenome™ Universal Methylated DNA, Millipore) was used as a positive control. In addition, a negative/unmethylated control DNA (EpiTect® Control DNA unmethylated, Qiagen) was included to ensure the specificity of the results.

### 2.9. Electrophoresis

After amplification, aliquots of 10 µl of methylation-specific PCR (MSP) products were analyzed on 8% polyacrylamide gel, stained with ethidium bromide, and visualized under ultraviolet (UV) illumination. Results were recorded with a digital imaging system and analyzed with the software Quantity one® 1-D Analysis Software (Bio Rad®).

### 2.10. Data interpretation

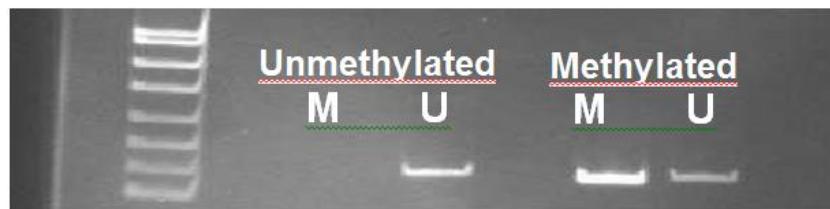
The technique used in this study relies on sodium bisulfite treatment of DNA, which converts unmethylated cytosines to uracils while leaving methylated cytosines unaffected. The modified sequences are then amplified with specific primers, and the amplified products are identified using gel electrophoresis. This standard MSP approach offers only qualitative analysis and cannot discern relative amounts of methylation.

The data interpretation consists in direct visualization of presence or absence of a defined band in a certain expected length. In each polyacrylamide gel was added a molecular weight marker consisting of a series of bands of varying known lengths that allow us to estimate the length of the other bands existing on the gel.

For both samples and controls (methylated and unmethylated) two PCR reactions were performed, one with primer sequence specific for methylated (M) and one for the unmethylated sequence (U).

In methylated control, a band should only arise in the reaction performed with primers M, while for the unmethylated control band should appear only in the reaction that was performed with primers U. The samples were considered methylated when two bands emerged, one at each primer (M and U) and the unmethylated ones exhibit only one band on the primer U (Figure 26).

All the discordant or unclear results were confirmed by repeating the bisulfite treatment, MSP and electrophoresis.



**Figure 26 \_ Example of bands pattern for unmethylated and methylated DNA sequences in polyacrylamide gel.** Note that samples were considered methylated when two bands emerged (PCR reaction with primer M and U) and unmethylated when only one band is visible (PCR reaction with primer M and U).

## 2.11. Statistical Analysis

The AHR gene promoter methylation status in human gliomas was evaluated in association with the clinicopathological parameters of patients including gender, histologic grade, tumor location and MGMT methylation status by using Pearson Chi-Square or Fisher's Exact Test when sample size was small.

To evaluate a possible correlation of AHR methylation status and patient's age, Pearson correlation test was performed.

## **Material and methods**

Overall survival was calculated from the date of the operation until death or the date of the last follow-up. Survival analyses were carried out on 66 patients who undergone surgery, radiotherapy and chemotherapy with concomitant and adjuvant temozolomide, plus carmustine wafers implantation. Overall survival was illustrated using Kaplan-Meier plots. The overall survival according to the status of AHR methylation in glioblastoma was compared using a log-rank test. For comparing survival time distribution between groups the log-rank test was used.

Statistical analysis was carried out with the software of IBM SPSS Statistics 21.0 (IBM SPSS Inc., Chicago, IL). In all analysis, P-values <0.05 were considered statistically significant.

## CHAPTER 3 | Results

### 3.1. Clinicopathological classification

In total, samples of 188 cases were considered valid and were included in the study. Eight cases were excluded from the study because the existing material was considered insufficient (biopsies of very small size) or presenting poor quality (existence of large areas of necrosis) to perform the extraction technique and subsequent MSP analysis and also because do not have a diagnosis of glioma malignancy grade I, II, III or IV.

The mean age at diagnosis of the included patients was 51.6 years (range: 4-78; SD: 16.3). The male to female ratio was 1:0.6 (male n=118, female n=70).

All cases were reviewed by experienced pathologists and classified according to WHO classification system (Louis, 2007) (Table 3): 3,7% (n=7) cases were classified as pilocytic astrocytoma (Grade I), 13.3% (n=25) as diffuse astrocytoma (Grade II), 19,7% (n=37) as oligodendrogloma (Grade II and III) and 63,3% (n=119) were classified as glioblastoma (Grade IV).

**Table 3 \_ Distribution of glioma classification according to WHO classification system (Louis et al., 2007).**

Type of glioma, grade (WHO,2007)	n (%)
Pilocytic Astrocytoma, Grade I	7 (3.7)
Diffuse astrocytoma, Grade II	25 (13.3)
Oligodendrogloma, Grade II and III	37 (19.7)
Glioblastoma, Grade IV	119 (63.3)
Total	188 (100)

It was possible to collect data on tumor localization of 78 cases. The most common locations were the frontal and temporal lobes both with 38.5% (n = 30) of cases, followed by the parietal lobe with 17.9% (n = 14) and finally the occipital lobe at 5.1% (n = 4) cases.

### **3.2. AHR promoter methylation status in human normal brain tissue**

To study the potential role of AHR promoter methylation status in human gliomas, the methylation status of AHR promoter in normal human brain tissue was first established. Normal brain tissue derived from FFPE samples was analysed for the AHR promoter methylation by MSP and served as control group. According to the bibliography reviewed, the AHR promoter methylation status in human normal brain tissue was never reported before.

Normal brain tissues samples from 20 patients were obtained, 11 female and 9 male with a mean age of 49.95 years (range: 24-75; SD:15.86 ).

Interestingly, hypermethylation of AHR promoter was not observed in any of 20 normal brain samples (Figure 27).

### **3.3. AHR promoter methylation status in human glioma**

To characterize methylation patterns in human gliomas MSP assay was performed. Contrary to what was verified in the normal brain tissue, aberrant hypermethylation in AHR promoter was frequently observed in human glioma histological types. From a total of 188 gliomas, 46,8% (n=88) were methylated for AHR promoter and 53.2% (n=100) show no methylation (Figure 27).

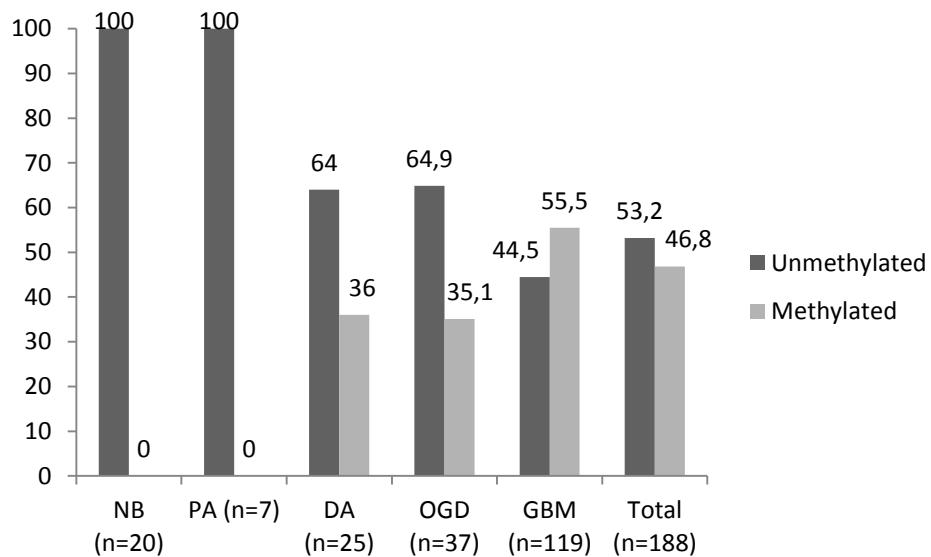
A significantly association is found when comparing AHR methylation status in normal brain control and glioma samples ( $P=0.000$ ).

These data suggest that the hypermethylation of the AHR gene promoter is a tumor-specific event in human gliomas.

### **3.4. Association of AHR promoter methylation status with tumor histological grade in human glioma**

In the present study gliomas histologically classified into different malignancy grades, according to WHO classification (Louis et al., 2007) were included: pilocytic astrocytomas (grade I), diffuse astrocytoma (grade II), oligodendrogloma (grade II and III) and glioblastoma (grade IV), being grade I and grade IV the least and the most malignant grade, respectively.

Analysing the relation between the methylation status of AHR promoter and the histological classification of glioma a statistical association could be observed between them ( $P=0.005$ ). The methylation frequency increased along with the tumor grade being that gliomas with the higher malignancy degree, namely, glioblastoma (grade IV) were more likely to be methylated and, oppositely, pilocytic astrocytomas (grade I) showed no methylation (Figure 27).



**Figure 27** Percentage of AHR promoter unmethylated (dark gray) and methylated (light gray), in normal brain tissue (NB), Pilocytic astrocytoma (PA), Diffuse astocytoma (DA), Oligodendrogiomas (OGD) and Glioblastoma (GBM).

### 3.5. Association of AHR promoter methylation status with age, gender and tumor location in human glioma

When comparing the patient's age at diagnosis and AHR promoter methylation status in gliomas a significant association was found ( $P=0.026$ ), the percentage of cases presenting methylation of AHR increased with age, being more prevalent from the age group of 50 years, and it seems to be associated with older patient age at diagnosis (Table 4).

## Results

**Table 4 \_ Frequency and percentage of AHR promoter methylation by patient's age group at diagnosis (n total=182 patients).**

Age (years)	Total Cases (n)	Methylated Cases (n/%)
[0,10]	2	0 (0)
]10,20]	8	0 (0)
]20,30]	12	1 (8.3)
]30,40]	21	9 (42.9)
]40,50]	37	13 (35.1)
]50,60]	41	25 (61)
]60,70]	35	20 (57.1)
]70,80]	26	16 (61.5)

In relation to gender and tumor location, association with AHR promoter methylation status was not found ( $P = 0.709$  and  $P = 0.330$ , respectively), thus it does not seem to be an event related to the gender of the patient or with tumor location in gliomas.

**After assessing the significance of the associations of methylation status with patient's clinicopathological parameters in human glioma in general, it sought to do the same evaluation but in each of the glioma histological types individually.**

### **3.6. AHR promoter methylation status in pilocytic astrocytomas (WHO Grade I)**

Seven cases were classified as pilocytic astrocytomas (4-21 years old, mean: 15.29 years; SD: 5.62; 5 male and 2 female). Hypermethylation of AHR promoter was not observed in any of pilocytic astrocytomas.

### **3.7. AHR promoter methylation status in diffuse astrocytomas (WHO Grade II)**

From the 25 cases classified as diffuse astrocytoma, 64% ( $n=16$ ) were unmethylated and 36% ( $n=9$ ) were methylated. In all cases of diffuse astrocytomas, there were 18 males and 7 females with the median age of 42.82 years (range: 8-76 years; SD: 17.66). Association between AHR methylation status in diffuse astrocytomas and clinicopathological variables (age, gender and location) were not found ( $P=0.935$ ;  $P=1.000$  and  $P=0.444$ , respectively). (Table 5)

### 3.8. AHR promoter methylation status in oligodendroglomas (WHO Grade II and III)

A total of 37 OGD were included, 21 (56.8%) patients were males and 16 (43.2%) were female. The mean age of oligodendrogloma patients was 41.05 years (range: 14-77 years; SD: 14.05). MSP revealed methylation of the AHR promoter region in 13 cases (35.1%) while the remaining 24 cases (64.9%) were not methylated for AHR promoter. Representative samples are shown in figure 31.

Association between AHR methylation status in oligodendroglomas and clinicopathological variables, gender and tumor location, were not found ( $P=0.793$  and  $P=1.00$  respectively) but a significant association with age is present in these tumors  $P=0.016$  (Table 5).

**Table 5 \_ Associations between clinicopathological data of patients and AHR methylation status in diffuse astrocytoma and oligodendrogloma (n – total number of patients).**

Variables	n	AHR status		Statistic significance $P<0.05$
		Methylated	Unmethylated	
<b>Diffuse astrocytoma (Grade II)</b>	<b>Age (years)</b>			
	<b>Gender</b>			
<b>Oligodendrogloma (Grade II and III)</b>	<b>Age (years)</b>			
	<b>Gender</b>			
	<b>Location</b>			
	<b>Frontal</b>			
	<b>Temporal</b>			
	<b>Parietal</b>			
	<b>Occipital</b>			

## Results

### **3.9. AHR promoter methylation status in glioblastomas (WHO Grade IV)**

From the 119 patients diagnosed as glioblastoma, 74 (62.2%) were males and 45 (37.8%) females and the mean age of these patients was 58.83 years (range: 27-78; SD: 10.68).

The methylation status of the AHR promoter was evaluated by MSP in 119 glioblastomas. Promoter hypermethylation was detected in 55.5% (n=66) of cases, while 44.5% (n=53) were not methylated (Figure 27). Representative samples are shown in figure 31.

### **3.10. Association of AHR promoter methylation status with age, gender and tumor location in glioblastoma (WHO grade IV)**

The patients with a glioblastoma diagnosis were divided in two groups according to their age: one group consists of the individuals aged less than 60 years while the other group is composed by the individuals with 60 or more years.

A Pearson Correlation test was performed in order to ascertain if there is any relationship between age group and methylation status of the AHR in glioblastoma. A significant association ( $P=0.037$ ) was found between these two parameters what leads to believe that the AHR gene methylation is a factor related to patient age in glioblastoma.

Association between AHR methylation status in glioblastoma and clinicopathological variables gender and location was not found ( $P>0.05$ ).

The table 6 shows the details of associations between AHR gene methylation and clinicopathological parameters in glioblastoma patients.

**Table 6 \_ Association between clinicopathological data of patients and AHR methylation status in Glioblastoma (n – total number of patients).**

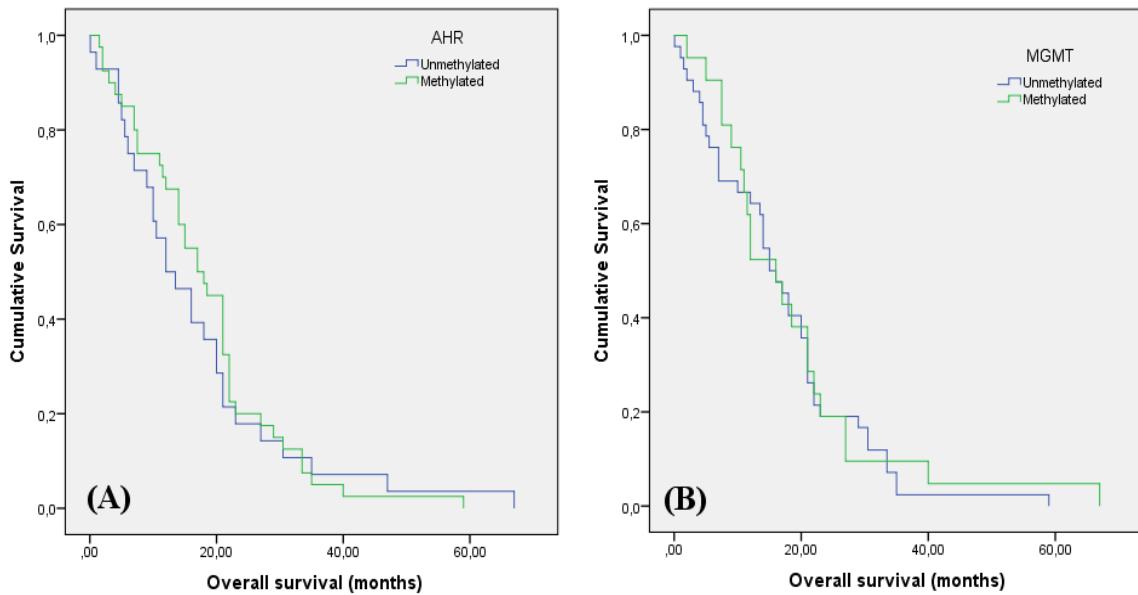
Variables	n	AHR status		Statistic significance P<0.05
		Methylated	Unmethylated	
<b>Age (years)</b>				P=0.037
<60	116	30	30	
≥60		34	22	
<b>Gender</b>				P=0.692
Male	119	40	34	
Female		26	19	
<b>Location</b>				P=0.622
Frontal		8	8	
Temporal	58	11	14	
Parietal		9	5	
Occipital		2	1	

### 3.11. AHR gene promoter methylation status impact in overall survival in glioblastoma

Overall survival (OS) data of 66 glioblastoma patients, who undergone surgery, radiotherapy and chemotherapy with concomitant and adjuvant temozolomide (Stupp protocol) plus carmustine wafers, were collected. OS was calculated from the date of the operation until death or the date of the last follow-up.

To evaluate the potential role of AHR methylation status in determining the prognosis of glioblastoma patients treated in accordance with the STUPP protocol plus BCNU wafers, survival analysis using Kaplan-Meier curves was conducted (P values were generated using the log-rank test).

The results showed that AHR methylation is not associated with longer survival which indicates that is not a significant predictor of OS in glioblastoma patients. The median survival among patients with the unmethylated AHR promoter was 12 months (95% CI: 6.3-17.7 months) as compared with 17 months (95% CI: 11.6-22.4 months) in patients with methylated AHR promoter. Although methylated patients had a better prognosis than unmethylated patients, the difference was not significant (Fig. 3-A; P = 0.658).

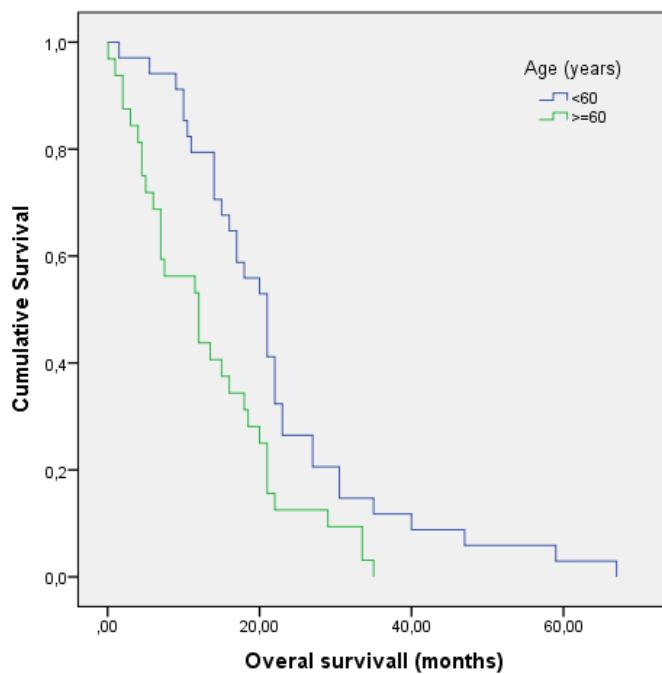


**Figure 28 \_ Kaplan-Meier overall survival (months) analysis in glioblastoma patients according to methylation status of (A) AHR gene (log-rank test,  $\chi^2 = 0.196$ , df = 1 ,p = 0.658) and (B) MGMT gene (log-rank test,  $\chi^2 = 0.149$ , df = 1,P = 0.700).**

### 3.12. Age impact in overall survival in glioblastoma

When the patient sample was divided into two groups by age (<60 years and  $\geq 60$  years) and as expected, it was observed glioblastoma patient OS correlation with age (significant at the level 0.01; P=0.005), noting that overall survival decreases with age and is lower in the age group above 60 years. The median survival of patients aged <60 years and  $\geq 60$  years was 21 months (95% CI: 17.6-24.4 months) and 12 months (95% CI: 5.8-18.2 months) months, respectively.

In figure 29 it can be seen the Kaplan-Meier overall survival (in months) analysis in glioblastoma patients according to age group (in years).



**Figure 29\_ Kaplan-Meier overall survival (months) analysis in glioblastoma patients according to age group (years) (log-rank test,  $\chi^2 = 7.839$ , df = 1 ,P = 0.005).**

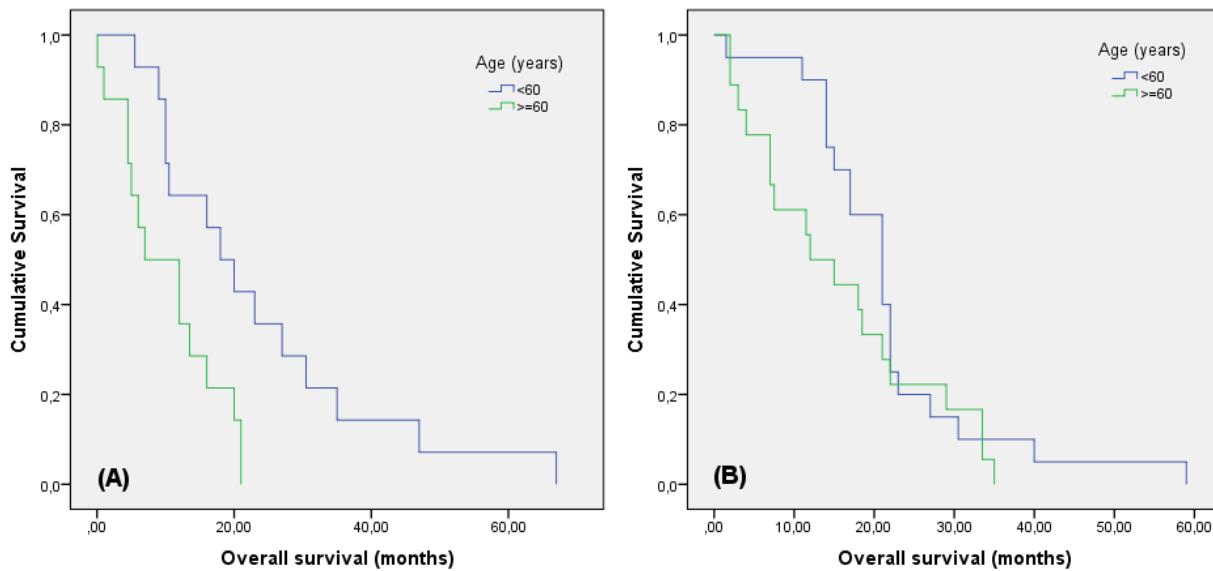
### 3.13. AHR gene promoter methylation status impact in overall survival in glioblastoma, depending on the patient's age

In order to know whether the methylation status had any influence on the OS of patients of different age groups (<60 and  $\geq 60$  years) survival Kaplan - Meier curve was applied for each group (Figure 30).

In patients who did not show methylation of the AHR promoter the median OS was 18 and 7 months for the groups <60 and  $\geq 60$  years, respectively (Figure 30-A).

With regard to patients whose AHR promoter was found methylated, median survival of patients younger than 60 years was 21 months and patients with 60 or more years was 12 months (Figure 30-B).

Patients, in both age groups (<60 and  $\geq 60$  years), in which the AHR promoter was methylated presented median overall survival greater than patients of the same age group where there was no AHR promoter methylation. A strong and significantly association was found between these two groups with a P value = 0.01.



**Figure 30 \_ Kaplan-Meier overall survival (months) analysis in glioblastoma patients according to age group (years) in (A) AHR Unmethylated gene and (B) AHR Methylated gene (log-rank test,  $\chi^2 = 6,583$ , df = 1, P = 0.01, Adjusted for AHR methylation status).**

### 3.14. Association between AHR and MGMT genes promoter methylation status in glioblastoma

To further study the importance of promoter methylation of newly identified epigenetically silenced gene, AHR, and revealing its relationship with already known epigenetic markers in glioblastoma, like MGMT gene, could help to better understand glioblastoma's biology.

Therefore, in the course of this study, data on the methylation status of the MGMT gene of 110 patients, from the same patients group which were assessed for the status of AHR methylation, were collected.

Of the 110 cases, 64 (58%) were methylated in the AHR gene and 46 (42%) in the MGMT gene, and 29 cases (26%) are co-methylated for both genes (Table 7).

When analysing the methylation status of the two genes, AHR and MGMT, there was no significant association between these two parameters ( $P>0.05$ ) (Table 7).

**Table 7 – Association between AHR and MGMT methylation status in glioblastoma (n – total number of patients).**

		AHR		Statistic significance P<0.05
		Methylated	Unmethylated	
MGMT	Methylated	29 (26%)	18 (16%)	P=0.518
	Unmethylated	35 (32%)	28 (26%)	
Total		64 (58%)	46 (42%)	

### 3.15. Methylation status of AHR and MGMT genes impact in overall survival in glioblastoma

Similarly to what was done for the AHR gene, the relationship between the methylation status of the MGMT gene and OS of patients was also evaluated.

The median survival for MGMT methylated patients was 16 months (95% CI: 9.8-22.2 months) and unmethylated ones showed a value quite similar of 15 months (95% CI: 11.8-18.2 months). Unsurprisingly these difference was not found to be significant (log-rank; P=0.70). MGMT methylation status is not associated with longer survival (Figure 28-B).

As has been shown in section 4.8, the median survival among patients with unmethylated and methylated *AHR* promoter was 12 months and 17 months, respectively (log-rank; P=0.66).

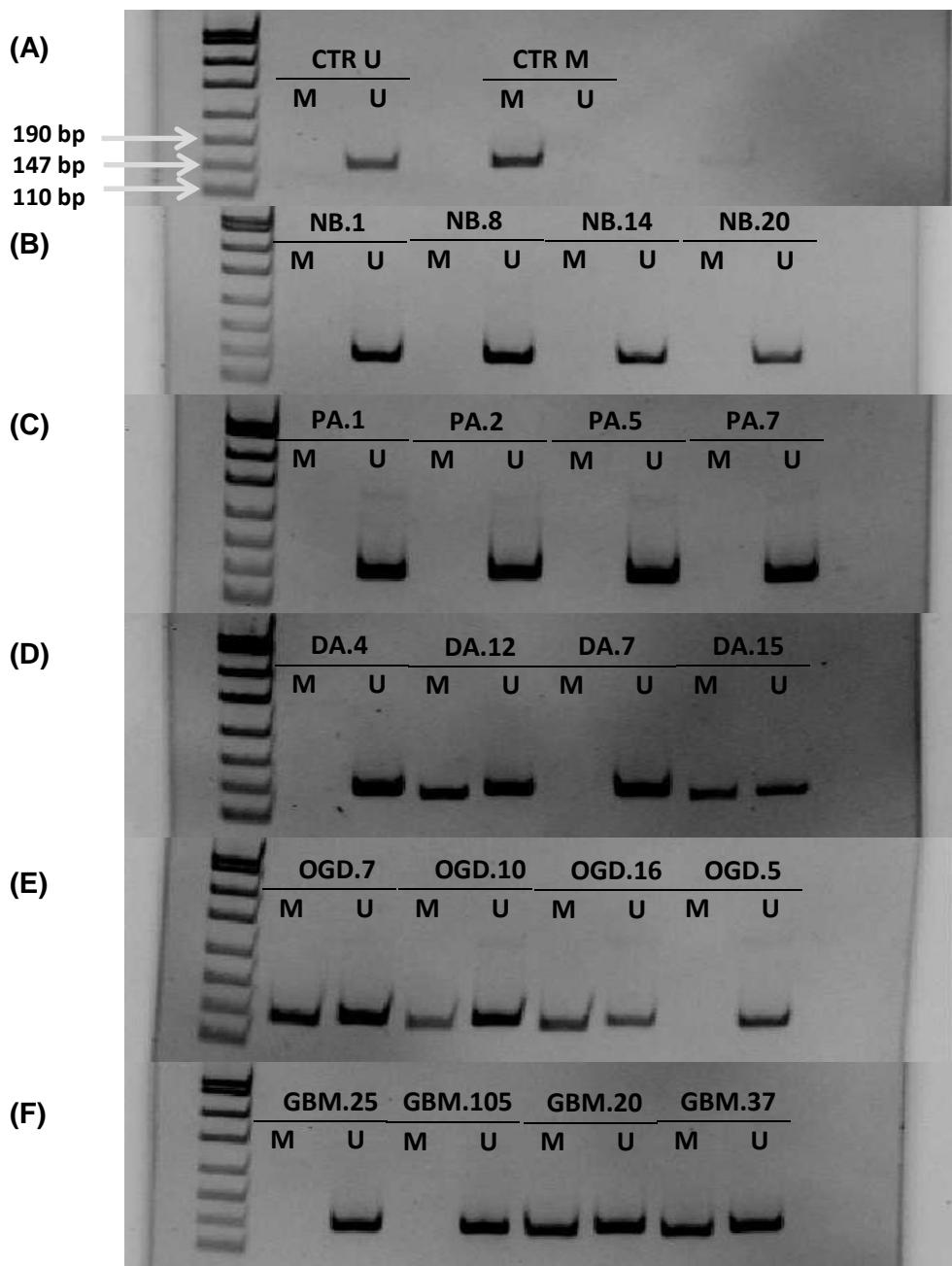
In order to investigate if the AHR and MGMT promoter methylation status together are independent predictor for patients outcome, concomitant AHR and MGMT genes methylation effect on patient survival was done through Kaplan-Meier analysis (Figure 28). Analysis showed the lowest median survival in the group of patients with unmethylated AHR and methylated MGMT (median survival – 12 months) followed closely by the group with both gene unmethylated, with a median survival of 13.5 months. Patients with methylated AHR showed a median overall survival of 17 months, regardless of the methylation status of MGMT (Table 8).

Despite the different survival rates between groups, no association was showed (log-rank test, P = 0.89)

## Results

**Table 8 \_ Median overall survival (in months) of glioblastoma patients depending on AHR and MGMT genes promoter methylation status.**

		AHR	
		Methylated	Unmethylated
MGMT	Methylated	17	12
	Unmethylated	17	13.5



**Figure 31** \_ Methylation analysis of the AHR promoter region by methylation specific PCR. PCR product was analysed by polyacrylamide gel electrophoresis. **(A)** MSP control reactions consisted of a methylated control (**CTR M**) (CpGenome™ Universal Methylated DNA, Millipore) used as a positive control and a negative/unmethylated control (**CTR U**) (EpiTect® Control DNA unmethylated, Qiagen). Arrows showing molecular weight marker (501, 489, 404, 331, 242, 190, 147 and 110 bp). The presence of bands in both the unmethylated PCR product (**U**) and methylated PCR product (**M**) lanes reflects a methylated AHR promoter. The lack of a band in the lane corresponding to methylated-specific primers (**M**) reflects the absence of AHR promoter methylation. Representative results of methylation-specific PCR analysis of AHR promoter in **(B)** normal brain tissues, **(C)** Pilocytic astrocytomas, **(D)** Diffuse astrocytomas, **(E)** Oligodendroglomas, **(F)** Glioblastomas.



## CHAPTER 4 | Discussion

Understanding of the molecular alterations that occur during tumorigenesis, and identification of novel markers for cancer diagnosis and novel targets for treatment, may be important for the improvements in tumor diagnosis, treatment and prevention.

Oncogene activation and/or tumor suppressor gene inactivation play a critical role during tumor development and progression. It has emerged that epigenetic events, like DNA methylation, can lead to tumor suppressor gene inactivation as an alternative mechanism to genetic events, such as gene mutation, deletion or rearrangement.

Hypermethylation is a regional event that occurs frequently in GC-rich sequence, called CpG islands, and often located within the 5' regulatory non-transcribed regions of genes. Aberrant methylation of CpG islands of the promoter region of genes plays a role in the development of various tumors and has become an important area of investigation in assessing the mechanisms of tumor suppressor and regulatory gene inactivation. It was shown that tumor suppressor genes can be transcriptionally silenced when their promoter region is hypermethylated. Meanwhile, the methylation status of tumor suppressor genes has been investigated and profiled for a number of human cancers.

Human gliomas are the most common malignant tumors in the adult CNS. Glioblastoma is the most common, malignant and lethal of human gliomas and accounts for 50 to 60% of primary brain tumors. Despite intensive research throughout the past two decades, there has been little progress in the treatment of glioblastoma and the median survival after diagnosis is approximately 12 to 14 months (Louis et al., 2007).

The most common molecular alterations, that characterize human gliomas and could be used in current clinical practice, diagnosis and therapeutic decision making are Ki-67 proliferation marker, amplification and overexpression of EGFR gene, mutations involving PTEN, TP53 and IDH genes, duplication, fusion and/or mutation in BRAF gene, 1p/19q deletions and MGMT promoter methylation status (see Chapter 1, point 1.8. Genetic alterations in gliomas, for revision).

AHR is a cytosolic ligand-activated transcription factor which belongs to the bHLH/PAS family of transcriptional regulators which is located in the 7p15 region (Micka et al., 1997). Despite it has been largely studied because of its critical role in xenobiotic-induce toxicity and

## Discussion

carcinogenesis, physiologic functions of AHR in the absence of xenobiotics are beginning to be understood.

Data provide substantial support for an association between abnormal AHR function and cancer, implicating that abnormal expression of AHR plays an important role in multiple stages of tumor promotion and progression. Few works from several labs suggested that AHR may function as a tumor suppressor gene that becomes silenced during the process of tumor formation, but the underlying molecular mechanism is still unknown (Barouki et al., 2007; Fan et al., 2010).

Loss of gene expression associated with promoter methylation is a well described phenomenon in a variety of tumors (Baylin and Herman, 2000) and several recent studies have shown that aberrant promoter DNA methylation contributes to gene silencing and may participate in the carcinogenesis of human cancer.

It was found that AHR expression is markedly down-regulated in patients of hematologic tumors as acute lymphoblastic leukemia (ALL) and mantle cell lymphoma (MCL) (Mulero-Navarro et al., 2006; Enjuanes et al., 2011).

Since gene silencing of AHR gene due to aberrant promoter hypermethylation has already been reported in human ALL and MCL it was hypothesized that AHR may be also downregulated in gliomas due to hypermethylation of its promoter.

To analyze the potential of AHR as methylation biomarker in human glioma, the AHR promoter methylation status was evaluated in a large series of 188 glioma samples, including pilocytic astrocytomas, diffuse astrocytomas, oligodendrogiomas and glioblastomas, and 20 normal brain tissue samples, using the MSP technique.

In the present study, AHR promoter was found to be frequently hypermethylated in human gliomas and according to the present knowledge, this was the first report evaluating and reporting the presence of promoter hypermethylation of AHR in gliomas. Also, and in order to understand the clinical implications of AHR promoter methylation status, this variable was investigated in association with the patients clinical parameters, age, gender, histologic classification, tumor location and MGMT promoter methylation status. Overall survival analysis was conducted in glioblastoma patients who were treated with the STUPP protocol and BCNU wafers to investigate the prognostic and/or predictive value of AHR promoter methylation status.

#### **4.1. Use of MSP to evaluate the AHR promoter methylation status in formalin-fixed paraffin-embedded archive tissues**

Despite currently there are several methods for determining the methylation status of the promoter of a gene, the most widely used technique is MSP analysis after bisulfite treatment (Herman et al., 1996).

MSP is easy to perform and is not an expensive method, does not require any special equipment or consumables aside from what is present in most medical laboratories, so it can be easily incorporated into the workflow of a molecular laboratory (Christians et al., 2012).

MSP detects CpG island methylation with high sensitivity and specificity, particularly when high-quality DNA is analyzed. Significant risks of false-positive and false-negative results have been reported, especially when the DNA quality and/or quantity is low as in cases of DNA extracted from paraffin-embedded material (Hamilton et al., 2011).

The major possible limitations adjacent to the use of MSP after bisulfite treatment and formalin-fixed paraffin-embedded archive tissues, in assessing the methylation status of the promoter of AHR in this study, were identified and measures were taken to overcome these possible limitations so that the results obtained were not committed and were the most reliable as possible.

Because of tumor heterogeneity, AHR promoter methylation status may depend on the site of surgical sample collection within a glioma specimen, therefore, careful selection of testing samples was an essential step of the testing protocol. For that reason, all H&E slides from each case were evaluated by experienced pathologists, the tissue block with the largest number of tumor cells was selected and also blocks/areas with extensive areas of necrosis were discarded.

The genomic DNA extraction was performed in samples from formalin fixed and paraffin embedded blocks using QIAamp® DNA FFPE Tissue Kit. This commercial kit is specially designed for purifying DNA from formalin-fixed, paraffin-embedded tissue sections. It uses special lysis conditions to release DNA from tissue sections and to overcome inhibitory effects caused by formalin crosslinking of nucleic acids and uses QIAamp MinElute spin columns for purification of high-quality DNA in small volumes. All obtained DNA evaluated for quality control and concentration were estimated by spectrophotometry using NanoPhotometer®. This procedure ensured that all DNA samples used contained sufficient concentration and quality to perform the subsequent techniques without compromise results.

## Discussion

Bisulfite treatment of DNA is technically the most challenging step of methylation analysis because it leads to DNA fragmentation and when incomplete bisulfite conversion occurred it may lead to false-positive or false-negative results, mispriming, lower sensitivity and specificity (Cankovic et al., 2013).

In order to avoid false negative results, which may be due to several reasons including recovery of partially sectioned cell nuclei, incomplete DNA modification during bisulfite treatment, or loss of DNA during the testing procedure, appropriate quality control measures were selected to assure that all assay steps were well controlled (Cankovic et al., 2013). Positive methylated DNA and negative unmethylated DNA controls were incorporated, in parallel with patient specimens during the bisulfite reaction and PCR amplification, in order to assure that optimal conditions were maintained during all testing steps.

MSP is believed not to be prone to errors by contamination, since normal cells such as infiltrating lymphocytes have an unmethylated promoter and therefore the contaminating material does not produce a methylated MSP signal. Furthermore, it was confirmed that none of the cases of normal brain tissue included in this study, showed methylation of the AHR promoter, so it is expected that the existing normal tissue in the tumor sample has no influence on the result, not working as a contaminant and not give false-positive results, in the evaluation of the status of methylation of AHR promoter in gliomas

### 4.2. AHR promoter hypermethylation is a frequent event in glioma

The methylation analysis focused a CpG island that contained the transcription start site and 34 CpG dinucleotides susceptible to be methylated within AHR promoter. Mulero-Navarro and coworkers analyzed hypermethylation in this promoter region as a potential mechanism controlling AHR expression, and found that it causes gene silencing of AHR gene (Mulero-Navarro et al., 2006).

From a variety of tumors of various origins, such as breast, lung, colon, skin, among others, the authors found that AHR promoter was hypermethylated only in acute lymphoblastic leukemia (ALL), in about 33% of cases. In other study, AHR promoter hypermethylation in mantle cell lymphoma (MCL) was found in 32% of cases (Mulero-Navarro et al., 2006; Enjuanes et al., 2011).

The results from the present study showed that promoter hypermethylation is a frequent event in human gliomas. Gliomas were found to be AHR promoter hypermethylated in about 47% of cases while the remaining 53% were not methylated. By analyzing each histologic

type of glioma individually, it was found that the AHR promoter is frequently hypermethylated in 36%, 35.1% and 55.5% of diffuse astrocytomas, oligodendroglomas and in glioblastomas, respectively. The percentage obtained, especially in grade II gliomas, is very similar to those obtained by Mulero-Navarro and Enjuanes in hematologic tumors.

Many researchers have previously reported the methylation frequency of several others genes in the various histological types of human gliomas. PTEN promoter is reported as hypermethylated in 43% of diffuse astrocytomas (Wiencke et al., 2006) and 35% of glioblastoma (Baeza et al., 2003); in glioblastoma GATA6, CD81, DR4 and CASP8 are hypermethylated in 68.4%, 46.1%, 41.3% and 56%, respectively (Skiriute et al., 2012), p73 is methylated in 18% of cases (Watanabe et al., 2002) and TMS1/ASC in 21% of cases (Matinez et al., 2007); p14<sup>ARF</sup> is promoter hypermethylated in 40% and 38.9% of grade II astrocytomas and high grade gliomas, respectively (He et al., 2010), for just naming a few examples among many others currently described.

Compared with the methylation markers suggested previously, AHR has a relatively high frequency of promoter hypermethylation in human gliomas, especially in glioblastoma samples where 55.5% of cases were hypermethylated, and so can be regarded as one of the relevant epigenetic markers in these tumors.

#### **4.3. AHR promoter hypermethylation is an early event in glioma**

Gene promoter hypermethylation is an early event, can even be detected in benign lesions that precede neoplastic process, and so is pointed as a key initiating event in human cancer (Esteller and Corn, 2001).

There is increasing evidence that altered methylation patterns of tumor-associated genes are already present in the early stages of astrocytic tumors, such as WHO grade II astrocytomas and oligodendroglomas, and that aberrant methylation of genes is more prevalent than genetic alterations and may have consequences for the development of low-grade astrocytomas (Costello et al., 2000b).

In an attempt to identify AHR as a new candidate gene that might be involved in the early development of gliomas, WHO grade II astrocytomas and oligodendroglomas were included in the present study and the AHR promoter methylation status was evaluated using MSP.

## Discussion

Methylation of AHR was found to be present in a high percentage of WHO grade II tumors, in about 35% of cases, and was detected in both the early and late stages of glioma, indicating that the inactivation of the AHR gene might be essential in the early development of gliomas and persist through the course of development. These results suggest that aberrant promoter methylation of AHR gene may serve as a useful biomarker during the follow-up of low-grade gliomas.

### 4.4. AHR promoter hypermethylation is a tumor specific event in human gliomas

CpG islands, which are found in the promoters of approximately 60% of the coding genes in the mammalian genome, are generally unmethylated in normal cells. On the other hand, the hypermethylation of these promoter regions is found in virtually every type of human cancer and is associated with the inappropriate transcriptional silencing of genes (Bird, 1996; Esteller, 2002).

Twenty samples of normal brain tissue adjacent to tumor tissues were included in this study, and served as normal control group. The results showed that AHR promoter was not hypermethylated in any of the normal tissue samples. In concern to gliomas samples, AHR promoter hypermethylation was detected in 46.8% of cases. Taken together, these data clearly indicating that promoter hypermethylation occurs specifically in cancer cells but not in normal brain cells, which means it is a tumor-specific event, and is an indicator that AHR promoter hypermethylation may be involved in the pathogenesis of human gliomas.

In previous studies, it was found that the promoter of several genes, such as p14<sup>ARF</sup>, p15<sup>INK4B</sup>, p16<sup>INK4B</sup>, RB (Yin et al., 2002; He et al., 2011), LATS1 and LATS2 (Jiang et al., 2006), WIF-1 (Yang et al., 2010), TMS1/ASC (Stone et al., 2004), SLC22A18 (Chu et al., 2011), LRRC4 (Zhang et al., 2008) and PTEN (Wiencke et al., 2007), revealed no methylation in normal brain tissue samples, while in the samples of gliomas tumors, the promoter of these genes presented hypermethylated.

The distinct methylation status of a gene in benign and malignant tissues is a prerequisite to determine a gene as an effective molecular biomarker. Because AHR is not found to be hypermethylated in normal brain tissue, AHR promoter methylation status could discriminate between normal and tumoral tissues with a high sensitivity and specificity, suggesting that it may be a promising epigenetic biomarker for assistant diagnosis and cancer early detection.

The AHR promoter hypermethylation is an epigenetic change that arises early in the carcinogenic process and that is specific tumor in gliomas. This molecular change has the potential to be used in early detection of gliomas, including in biological samples such as blood and cerebrospinal fluid. Previous studies show that analysis of DNA methylation can be performed in biological samples, such as blood and cerebrospinal fluid, which are obtained from relatively less aggressive than histological biopsies, with a good and highly significant correlation with the primary tumor tissue (Balána et al., 2003; Liu et al., 2010; Majchrzak-Celińska et al., 2013; Lavon et al., 2010; Wakabayashi et al., 2009).

#### **4.5. AHR methylation status is associated with tumor histological grade**

The MSP analysis showed that the promoter hypermethylation frequency of AHR gene was significantly higher in high grade gliomas compared to low grade gliomas, and a significant trend to the more malignant glioma (glioblastoma) likely to be more methylated is present. Percentages of 36%, 35.1% and 55.5% were found when AHR promoter methylation status was analyzed in diffuse astrocytoma (Grade II), oligodendrogiomas (grade II and III) and glioblastoma (grade IV), respectively. As previously mentioned, AHR methylation was not detected in any cases of pilocytic astrocytoma (grade I).

AHR has been shown to act as a tumor suppressor gene and be involved in tumor progression in prostate and liver cancers (Fritz et al., 2007; Fan et al., 2010). Also, in ALL, AHR is associated with cell growth and proliferation and, in MCL, the AHR silencing through hypermethylation is correlated with higher proliferation (Mulero-Navarro et al., 2006; Enjuanez et al., 2011). Based on that, it can be speculate that tumors with a hypermethylated AHR have a high proliferation rate, and so AHR may play a key role in the development of low-grade gliomas and in their subsequent progression to higher malignancy histological glioma grades.

Still with regard to the differences found between the different histological types, it was found that AHR promoter methylation is present both in diffuse astrocytomas and oligodendrogiomas but is absent in pilocytic astrocytomas

As a number of molecular genetic alterations have been identified in gliomas over the last two decades, it has become clear that each subtype has a distinct genotype and adult and pediatric gliomas develop through different molecular pathogenesis.

## Discussion

In adults, diffuse astrocytomas (WHO grade II) and oligodendrogiomas share frequent mutations of *IDH1*/*IDH2*. While astrocytomas of grade II and III often harbor *TP53* mutations in addition to *IDH1* mutations, oligodendrogiomas rarely have *TP53* mutations but frequently show concurrent total 1p/19q loss and *IDH1* mutations (Watanabe et al, 2009; Sanson et al, 2009). These facts suggest that astrocytomas and oligodendrogiomas share a common cell of origin.

On the other hand, pilocytic astrocytomas (WHO grade I) rarely show these changes but display an otherwise unique genetic profile. More than 80% of pilocytic astrocytomas, which typically arise in children, have alterations of the MAPK pathway predominantly involving the *KIAA1549-BRAF* fusion gene or *BRAF* mutations. The *BRAF* fusion gene is so specific to pilocytic astrocytomas that it is considered as a diagnostic marker (Pfister et al., 2008; Jones et al., 2008; Bar et al., 2008; Horbinski et al., 2010; Jeuken and Wesseling, 2010). These facts strongly suggest that diffuse astrocytomas, oligodendrogiomas and pilocytic astrocytomas develop through a distinct molecular pathogenesis.

With respect to known epigenetic alterations in pilocytic astrocytomas, in particular hypermethylation of CpG island, and although there are some studies with contradictory results, in most studies the genes analyzed showed no CpG island hypermethylation and, conversely, were significantly hypomethylated relative to control tissues. At the same time, astrocytomas and oligodendrogiomas were found to be frequently hypermethylated (Uhlmann et al., 2003; Goepfert et al., 2013).

Together, these results provide evidence that the molecular mechanisms involved in the development of pilocytic astrocytomas and other gliomas are different, and are in accordance with the results obtained in the present study.

Of the seven cases of pilocytic astrocytomas included in the study, none of them presented hypermethylation of AHR promoter, while in turn, diffuse astrocytomas and oligodendrogiomas have presented a relative high and similar rate of AHR promoter hypermethylation of about 35%.

The absence of methylation in pilocytic astrocytomas suggests that AHR does not play a role in the pathogenesis of these tumors. The fact that AHR gene present hypermethylation of its promoter in a similar proportion of cases in astrocytomas and oligodendrogiomas, may reflect the fact that the pathway leading to these two entities is quite similar or even the same, and the AHR gene may play a role in its development. Based on this, AHR promoter methylation status analysis could also be used to help in differential diagnosis between pilocytic astrocytomas and diffuse astrocytomas and oligodendrogiomas. However, it should be noted that only 7 pilocytic astrocytoma samples were included in this study, and therefore

all the results obtained and conclusions drawn should be accepted with caution and require confirmation in further studies with a larger number of samples.

#### **4.6. AHR methylation status and its association with the patient's clinicopathological parameters**

To investigate the factors that might influence AHR promoter methylation in human gliomas, a possible association between AHR promoter methylation status and clinical patient parameters, such as age, gender and tumor location was evaluated.

There was no association between gender and tumor location, and methylation of AHR, however there was a striking association between age at diagnosis and the incidence of AHR hypermethylation in oligodendrogloma and glioblastoma patients. Patients with methylated AHR were significantly older than those whose tumor lacked methylation.

The process of aging is a risk factor in cancer development and has been pointed as a major event responsible for DNA hypermethylation in human cancer (Ahuja and Issa, 2000). Age related gene hypermethylation was found in normal tissue, and tumors of older patients, and an increase along with age was verified (Issa et al., 1994)

In the present study, 20 controls of normal brain tissue from patients with ages ranging between 24 and 75 years were included. No promoter hypermethylation of the AHR gene was found in any of the control tissues, even in older patients, which seems to indicate that methylation of the promoter of the AHR gene is not associated with aging process.

The association between AHR hypermethylation with an older age at diagnosis, in oligodendrogloma and glioblastoma patients, is in accordance with the fact that AHR hypermethylation was also associated with the malignancy grade of the tumor. Also, the median age of incidence is higher in patients with grade III and IV gliomas, when compared with lower grade gliomas. In glioblastoma and anaplastic oligodendrogloma the median age is 45 to 75 and 45 to 50 years, respectively (Louis et al., 2007; Ostrom et al., 2013).

In accordance, the glioblastoma (grade IV) and oligodendrogloma (grade II and III) patients were those which represented the higher malignancy grades included in this study and so, this can explain the association found.

**4.7. Patient age and overall survival in glioblastoma patients**

Since the young age at diagnosis has been consistently associated with longer survival in patients with glioblastoma, age has been identified as a major therapy-independent factor in patient survival (Krex et al., 2007; Weller et al., 2009).

After dividing the patient sample into two groups by age (<60 years versus >60 years), and as expected, a significant correlation between overall survival and patient age was found, with a decrease in time along with age. Patients with age under 60 years presented a median OS of 21 months, while older patients above 60 years showed a median OS of only 12 months. Like as had been proposed previously, age appears to be an independent prognostic indicator in glioblastoma patients.

**4.8. AHR promoter methylation status is independent of the MGMT promoter methylation status in glioblastoma patients**

The availability of methylation data on several genes in the same tumors allowed us to study potential interactions between these various events.

MGMT promoter methylation status seems to have prognostic and predictive value in glioblastoma patients treated with alkylating chemotherapy such as TMZ (Hegi et al., 2005). However, and despite promoter methylation status of MGMT is already commonly assessed in clinical routine for therapeutic purposes, the validity of MGMT methylation as a prognostic and predictive indicator is still controversial (Schaich et al., 2009; Blanc et al., 2004; Wick et al., 2007; Costa et al., 2010).

The data regarding MGMT promoter methylation status from 110 of the 119 glioblastoma patients included in the study were collected. Those glioblastoma patients were uniformly treated according STUPP protocol and BCNU wafers implantation.

Of those 110 glioblastoma patients, it was found that approximately 42% were MGMT promoter hypermethylated while about 58% of the patients were hypermethylated for AHR promoter. The frequency of MGMT promoter methylation was in agreement with previously described results in glioblastoma, which range from 36-45% (Esteller et al., 1999b; Cankovic et al., 2007; Nakamura et al., 2001c; Jaeckle et al., 1998).

This study found no significant association between the methylation status of MGMT and AHR ( $P > 0.05$ ) despite relative high co-methylation of these genes, in 26% of cases.

#### **4.9. AHR promoter methylation status and overall survival in glioblastoma patients**

Of 119 glioblastoma patients who were included in this study it was only possible to collect data on overall survival in 66 cases. All patients were given uniform treatment in accordance with the Stupp protocol plus BCNU wafers. The association between AHR promoter hypermethylation status and clinical outcome in those patients was determined.

Patients with methylated AHR promoter presented a longer median OS, 17 months, than those with unmethylated AHR promoter, 12 months. However, although methylated patients seem to have a better prognosis, the difference was not significant and the methylation status of AHR does not appear to play a prognostic role in this patients.

Although no significant, these results are in accordance with others verified for the MGMT gene, once MGMT promoter hypermethylation is associated with longer OS in glioblastoma patients, but are not in agreement with those obtained previously in cases of MCL, where was found that patients with methylation of AHR had a significantly shorter OS ( $p = 0.0376$ ) compared to patients with unmethylated AHR (Enjuanes et al., 2011) and also results relative to other genes, such as p14<sup>ARF</sup> and SOCS3, where an association between hypermethylation of its promoter and a shorter survival was also be found (Watanabe et al, 2007; Martini et al, 2008).

#### **4.10. AHR promoter hypermethylation and gene expression in human gliomas**

In mammals, CpG islands are found in the proximal promoter regions of almost half of the genes and are generally unmethylated in normal cells, while in cancer cells, the hypermethylation of these promoter regions is the most well categorized epigenetic change to occur (Larsen, 1992; Antequera and Bird, 1993; Costello and Plass, 2001). Promoter CpGs hypermethylation is found in virtually every type of human tumors and result in transcriptional silencing of the gene and subsequent loss of protein expression (Jones and Baylin, 2002; Esteller, 2002).

## Discussion

The correlation between DNA methylation and gene inactivation is a prerequisite for the identification and functional validation of novel tumor suppressor genes (How Kit et al., 2012).

Inactivation of tumor suppressor genes plays an important role in malignant brain tumor formation and progression. Genetic mechanisms such as mutation, deletion, and structural chromosome rearrangement are known to inactivate tumor suppressor genes. Today, it is well known that also aberrant methylation of CpG islands is one of the major modes of inactivation of tumor suppressor genes in cancer and a growing list of genes are being identified as abnormal methylation of promoter having CpG islands.

The abnormal function of AHR gene has been associated with tumorigenesis pointing to a possible role of AHR as a tumor suppressor gene which is silenced during tumor development. In fact, AHR has been implied to act as a tumor suppressor in prostate and liver tumors, however the underlying molecular mechanism is still unknown (Fan et al., 2010; Fritz et al., 2007; Peng et al., 2008).

AHR is expressed in normal brain tissue, however in gliomas the expression is frequently lost. In human gliomas, the median AHR expression levels showed a decrease from WHO grade II astrocytomas, to lower levels in Grade III anaplastic astrocytomas and the lowest levels in grade IV glioblastoma (Gramatzki et al., 2009). The mechanisms that alter/silence the expression levels of the AHR gene in cancer, and in the specific case of gliomas, nevertheless are still unknown.

Mutations causing alterations in AHR gene expression are described as be quite rare or even incompatible with survival when leading to a marked loss of expression, suggesting that other mechanisms are at the origin of the loss of expression of AHR (Puga et al., 2002).

Based on these issues and trying to identify the mechanisms that regulate the activity of the AHR in tumor cells, in 2006, Mulero-Navarro and co-workers found that AHR was epigenetically silenced by promoter hypermethylation in 33% of patients with acute lymphoblastic leukemia (ALL). Also, and in order to address whether the low and lost expression of AHR was even due to promoter hypermethylation, treatments with AZA were performed and the AHR mRNA expression was significantly restored. The authors have also evaluated the AHR promoter status in human tumor cell lines from breast, colon, lung, melanoma, osteosarcoma, rhabdomyosarcoma, testis carcinoma, choriocarcinoma, neuroblastoma and embryonic kidney, but minimal AHR promoter hypermethylation was observed (Mulero-Navarro et al., 2006).

## Discussion

In a more recent study, the methylation status of the AHR gene was investigated in mantle cell lymphoma (MCL). AHR was promoter hypermethylated in 32% of samples. Also, there was a significant inverse correlation between methylation and mRNA levels of AHR, and normal lymph node samples showed significant higher expression levels of AHR than primary MCL (Enjuanes et al., 2011).

Both studies mentioned above concluded that promoter hypermethylation of the AHR gene is frequent and is the source of gene silencing in the tumors under study.

In the present study, and hypothesized that the AHR gene could also be epigenetically regulated by its promoter methylation in human glioma, a series of 188 gliomas (WHO grades I, II and IV) was analyzed by MSP. The methylation specific primers used were the same used by Mulero-Navarro in their study. Within a CpG island, a sequence with 327 nucleotides (-133 to 194), containing 34 CpG dinucleotides susceptible to be methylated, was analyzed (Mulero-Navarro et al., 2006).

AHR gene was found to be promoter hypermethylated in 46.8% of human gliomas and was frequently hypermethylated in 36%, 35.1% and 55.5% of diffuse astrocytomas, oligodendroglomas and in glioblastomas, respectively. In grade I pilocytic astrocytomas no AHR promoter hypermethylation was found. Another result was that there is a statistical association between the methylation status of AHR promoter and the histological grade of gliomas, with a significant trend to the more malignant glioma likely to be more methylated.

The facts that previous studies show, that there is loss of expression of AHR in gliomas which increases with the degree of malignancy, in conjunction with the results obtained in this study, that there is a frequent promoter hypermethylation of AHR and that hypermethylation also is more frequent as the degree malignancy of gliomas increases, suggests that the AHR gene is epigenetically silenced in human gliomas due to hypermethylation of its promoter, like as seen in ALL and MCL.

However, the major limitation of this study is that analysis of AHR protein expression was not performed, such as the correlation between expression of AHR protein and the promoter methylation status of its gene, thus it can only be hypothesized that the AHR is silenced due to methylation of its promoter.

Although is very tempting to hypothesize that frequent AHR promoter methylation may induce down-regulation of AHR protein expression, however, the frequent methylation of AHR in glioma should be regarded as an epigenetic biomarker but not a therapeutic target until the association between the down-regulation of AHR and its promoter methylation is

confirmed. The knowledge of the exact role of AHR gene in the development and progression of gliomas can open doors in the diagnosis and clinical management of these tumors.

### 4.11. AHR is involved in gliomagenesis possibly via TGF- $\beta$ pathway

Although it was found that the AHR gene is frequently hypermethylated and most likely silenced in human gliomas, the process by which it may be involved in the development and progression of these tumors is still unknown and must be investigated in the future.

A likely hypothesis, already vaguely explored in some studies, of the way in which the AHR gene may be involved in tumorigenesis is through its interaction with the TGF- $\beta$  gene and regulation of its signaling pathway (Elizondo et al., 2000; Gonzalez and Fernandez-Salguero, 1998; Guo et al., 2004).

Deregulation of TGF- $\beta$  expression or signaling has been implicated in the pathogenesis of a variety of diseases, including cancer. TGF- $\beta$  has a dual role in oncogenesis. It is a strong inhibitor of proliferation of normal epithelial cells and astrocytes, and is considered a tumor suppressor factor (Bruna et al., 2007). On the other hand, in some tumor types, and specifically in high grade gliomas, TGF- $\beta$  becomes an oncogenic factor.

TGF- $\beta$  is pointed as having major functions in glioma biology, namely by controlling cell proliferation, mediating invasion, acting as angiogenic factor promoting once it stimulates production of VEGF, and allowing gliomas cells to escape from host immunity (Kaminska et al., 2013).

Under physiological conditions TGF- $\beta$  is expressed at a very low level in the brain. However, TGF- $\beta$  is frequently and highly expressed in gliomas and elevated TGF- $\beta$  activity confers poor prognosis in glioma patients (Rich, 2003; Samuels et al., 1989; Kjellman et al., 2000). Also, a comparative study of expression of TGF- $\beta$  in gliosis and gliomas tissues found a correlation between TGF- $\beta$  expression and tumor grade (Yamada et al., 1995).

TGF- $\beta$  overexpression is pointed to be in the root of the greater tumorigenesis of the AHR-/- genotype in human cancer. Studies have demonstrated that TGF- $\beta$  levels and activity increase in AHR -/- mouse cells and that many features of the pathology that arise from the absence of the AHR gene are similar to those that arise from an increase in TGF- $\beta$  levels (Guo et al., 2004; Elizondo et al., 2000; Gonzalez and Fernandez-Salguero, 1998; Fan et al., 2010).

## Discussion

Furthermore, several lines of evidence indicate that the AHR is a negative regulator of TGF- $\beta$  levels, in other words, that AHR normally represses TGF- $\beta$  gene expression and affects the gene expression of several TGF- $\beta$  related genes, and that both ligand-independent and ligand –dependent AHR activity has been shown to regulate TGF- $\beta$  signaling in various systems (Puga et al., 2005; Harper et al., 2006; Chang et al., 2007; Guo et al., 2004).

Based on the foregoing, the results obtained thus suggest that promoter hypermethylation and subsequent silencing of the AHR gene could be the cause of TGF- $\beta$  cascade activation and its overexpression in human gliomas. Confirming this hypothesis, the inhibition of TGF- $\beta$  signalling by reactivation of AHR gene may thus be a potential strategy in gliomas clinical management.



## **CONCLUSION**

The development and progression of gliomas may likely be due to a multistep process that involves the functional inactivation of tumor suppressor genes, as well as the activation of oncogenes. Given the limitations of current therapies, understanding the pathways that lead to tumor progression should remain a high priority in cancer research.

Genetic and epigenetic studies involving cohorts of gliomas patients have provided important information for understanding the role of key genes in the development and progression of gliomas.

In the present study, MSP analysis of the AHR promoter hypermethylation status of 188 samples from gliomas patients and 20 samples of normal brain tissue was performed. According to the literature reviewed, this was the first time that the methylation status of AHR promoter was evaluated in human gliomas and, moreover, this study was performed on a series comprised of a large number of cases.

AHR gene was frequently hypermethylated in gliomas but not in normal brain tissue samples which indicates that AHR promoter hypermethylation is a tumor specific process likely to be related to glioma carcinogenesis and may serve as a promising biomarker for diagnosis.

The results presented allows to conclude that promoter hypermethylation of AHR gene is an early event and increased with tumor malignancy grade, suggesting that hypermethylation could be a mechanism involved during the development and progression of gliomas.

None of the pilocytic astrocytomas included were hypermethylated, which suggests that AHR gene is not involved in the development of these tumors, and this finding can be explored in order to help in differential diagnosis between pilocytic astrocytomas and other entities which frequently have presented AHR promoter hypermethylated, such as, diffuse astrocytomas and oligodendrogiomas.

Evidence suggest that aberrant promoter hypermethylation of AHR is most likely related with AHR silencing in gliomas, suggesting and supporting the idea that glioma patients may benefit by use of demethylating drug treatments. TGF- $\beta$  pathway is pointed has been associated with AHR silencing effects in the developing of human tumors

## Conclusion

These findings suggest a potential clinical application of AHR promoter methylation status and future confirmatory studies to support these findings and characterizing the role of AHR gene and its relation with TGF- $\beta$  pathway in gliomagenesis are needed.

It would also be interesting to assess the methylation status of the AHR gene in other tumors of the Central Nervous System, such as ependymoma, medulloblastoma or neuroblastoma, in order to try to understand if this epigenetic alteration is exclusive of the specific group of gliomas or, on the contrary, is also found in other types of tumors of this system.

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## **APPENDICES**



**Appendix 1** \_ List of genes found to be promoter hypermethylated in human gliomas.

GENE	Name	Function	Reference
BEX 1/2	Brain expressed, X-linked 1/2	Cell cycle progression, apoptosis	Foltz et al, 2006
CALCA	Calcitonin-related polypeptide alpha	Signaling, regulation	Uhlmann et al, 2003
CASP8	Caspase 8, apoptosis-related cysteine peptidase	Apoptosis	Skiriute et al, 2012; Martinez et al, 2007
CD81	Cluster of differentiation 81	Cell surface protein	Martinez et al, 2009; Skiriute et al, 2012
CDH1	Cadherin type 1, E-cadherin (epithelial)	Cell adhesion	Uhlmann et al, 2003
CDH13	Cadherin 13, H-cadherin (heart)	Cell adhesion	Piperi et al, 2010
CDKN2B	Cyclin-dependent kinase inhibitor 2B	Cell cycle regulation	Uhlmann et al, 2003
CST6	Cystatin E/M	Metastases, protease inhibitor	Kim et al, 2006
DNMT1	DNA (cytosine-5)-methyltransferase 1	DNA methylation	Gomori et al, 2012
DR4	HLA-DR4	Apoptosis	Skiriute et al, 2012
EGFR	Epidermal growth factor receptor	Cell surface receptor	Gomori et al, 2012
EMP3	Epithelial membrane protein 3	Cell-cell interaction, proliferation	Kunitz et al, 2007
FZD9	Frizzled family receptor 9	Development of the central nervous system	Martinez et al, 2009
GATA6	GATA binding protein 6	Proliferation	Skiriute et al, 2012; Martinez et al, 2009
GFAP	Glial fibrillary acidic protein	Cell communication, mitosis and functioning of the blood brain barrier	Restrepo et al, 2011
HOXA	Homeobox A	Cell growth and differentiation	Martinez et al, 2009; Di Vinci et al, 2012
LATS	Large tumor suppressor kinase	Cell cycle regulation	Jiang et al, 2006
LRRC4	Leucine rich repeat containing 4	Nervous system development and differentiation	Zhang et al, 2008
MEST	Mesoderm specific transcript	Development	Martinez et al, 2009
MGMT	O-6-methylguanine-DNA methyltransferase	DNA repair	Gomori et al, 2012; Piperi et al, 2010; Skiriute et al, 2012
MLH1	MutL homolog 1)	DNA repair	Gomori et al, 2007
MYOD1	Myogenic differentiation 1	Cell differentiation	Uhlmann et al, 2003
P14 <sup>ARF</sup> /CDKN2A	Cyclin-Dependent Kinase Inhibitor 2A (P14)	Cell cycle regulation	He et al, 2010; Nakamura et al, 2001a; Watanabe et al, 2007
P16 <sup>INK4a</sup> /CDKN2A	Cyclin-Dependent Kinase Inhibitor 2A (P16)	Cell cycle regulation	Costello et al, 1996

Cont.

## Appendices

**cont.**

GENE	Name	Function	Reference
<b>PCDH-γ-A11</b>	Protocadherin-gamma subfamily A11	Invasion, cell adhesion	Waha et al, 2005
<b>PEG3</b>	Paternally expressed 3	Cell proliferation and apoptosis	Otsuka et al, 2009
<b>PRKCDBP</b>	Protein kinase C, delta binding protein	Cell cycle regulation, apoptosis	Martinez et al, 2009
<b>PTEN</b>	Phosphatase and tensin homolog	Tumor suppressor, proliferation	Wiencke et al, 2006; Baeza et al, 2003
<b>PTGS2</b>	Prostaglandin-endoperoxide synthase 2	Synthesizing	Uhlmann et al, 2003
<b>RARβ</b>	Retinoic acid receptor	Cell growth and differentiation	Piperi el al, 2010
<b>RASSF1A</b>	Ras association domain family member 1	Apoptosis and cell cycle regulation	Piperi el al, 2010
<b>RB1</b>	Retinoblastoma 1	Cell cycle regulation	Gonzalez-Gomez et al, 2003a; Nakamura et al, 2001b
<b>RRP22</b>	RAS-related on chromosome 22 isoform b	Cell growth, apoptosis	Schmidt et al, 2012
<b>RUNX</b>	Runt-related transcription factor 1	Transcription factor, proliferation	Mueller et al,2007
<b>SLC22A18</b>	Solute carrier family 22, member 18	Drug resistance, Tumor suppressor	Chu et al, 2011
<b>SOCS3</b>	Suppressor of cytokine signaling 3	Tumor supressor	Martini et al, 2008
<b>Sox2</b>	SRY (sex determining region Y)-box 2	Migration	Alonso et al, 2011
<b>TES</b>	Testis derived transcript	Tumor suppressor, protein-protein interactions	Mueller et al,2007; Martinez et al, 2009
<b>THBS1</b>	Thrombospondin 1	Angiogenesis	Uhlmann et al, 2003
<b>TIMP3</b>	TIMP metallopeptidase inhibitor 3	Metastasis, protease inhibiton	Uhlmann et al, 2003
<b>TMS1/ASC</b>	Target of Methylation induced Silencing/Apoptosis Speck like protein containing a CARD	Apoptosis	Stone et al, 2004; Martinez et al, 2007
<b>TNFRSF10A</b>	Tumor necrosis factor receptor superfamily, member 10a	Necrosis	Martinez et al, 2009
<b>TP53</b>	Tumor protein p53	Cell cycle regulating, apoptosis	Amatya et al, 2005
<b>TP73</b>	Tumor protein p73	Cell cycle regulating, apoptosis	Watanabe et al, 2002
<b>WIF-1</b>	WNT inhibitory factor 1	Cell cycle regulation and proliferation	Yang et al, 2010

**Appendix 2** \_ Gliomas systemic therapy according to NCCN recommendations (NCCN, 2013).

	<b>Low grade astrocytoma/ oligodendrogloma</b>	<b>Anaplastic astrocytoma/ oligodendrogloma</b>	<b>Glioblastoma</b>
<b>Adjuvant therapy</b>	Temozolamide	Temozolamide or PCV with deferred RT Concurrent (with RT) temozolamide RT and PCV for 1p19q co-deleted (for anaplastic oligodendroglomas)	Concurrent (with RT) temozolamide Post RT temozolamide Temozolamide
<b>Recurrence/ salvage therapy</b>	Temozolamide Nitrosourea Combination PCV (lomustine+procarbazine+vincristine) Platinum based regimens (cisplatin or carboplatin)	Temozolamide Nitrosourea Combination PCV (lomustine+procarbazine+vincristine) Bevacizumab Bevacizumab + chemotherapy (irinotecan, carmustine/lomustine, temozolamide) Irinotecan Cyclophosphamide Platinum based regimens (cisplatin or carboplatin)	Bevacizumab Bevacizumab + chemotherapy (irinotecan, carmustine/lomustine, temozolamide) Temozolamide Nitrosourea Combination PCV Cyclophosphamide Platinum-based regimens (cisplatin or carboplatin)
		Etoposide	*Consider Tumor Treating Fields Therapy



### **Appendix 3** \_ Methods for the analysis of DNA methylation. Adapted from How Kit et al, 2012.

<b>Methodology</b>	<b>DNA pre-treatment</b>	<b>Principle of analysis</b>
<b>HeavyMethyl</b>	Bisulfite conversion	Blockers, designed to bind unmethylated DNA only, ensure the amplification of methylated DNA with methylation independent amplification primers (MIP). A probe hybridizing to CpG sites containing a fluorophore and a quencher is designed to detect the amplification of methylated molecules.
<b>MS-SNuPE/SNaPshot</b>	Bisulfite conversion	The region of interest is amplified with MIP primers. Labelled ddNTPs are used to extend a primer that terminates at the CpG site. The products are visualized on a gel or by capillary electrophoresis.
<b>MS-HRM</b>	Bisulfite conversion	High-resolution melting curve analysis following quantitative real time RT-PCR yields quantitative methylation levels. Inclusion of a few CpG sites in the 5' end of MIP primers allows for the amplification of unmethylated as well as methylated DNA.
<b>SMART-MSP</b>	Bisulfite conversion	At least one CpG site at or near the 3' ensures amplification of methylated DNA only. By including non-CpG cytosines in the primer sequence and having stringent annealing temperatures, amplification of incomplete converted- and non- target DNA is limited. Further, melting curve analysis allow for the exclusion of false positive results.
<b>MS-MLPA</b>	Methylation-sensitive restriction enzymes	MLPA primers containing an additionally restriction site are hybridized to the target of interest. Methylation-sensitive endo-nucleases digest the probes hybridized to unmethylated targets. Thus, only methylated targets are amplified.
<b>Restriction Landmark genomic scanning (RLGS)</b>	Methylation-sensitive restriction enzymes	Digested DNA is radiolabeled and separated by 2D gel electrophoresis. Spot intensities are linked to methylation levels of a fragment, which can be quantified and compared between samples.
<b>Differential methylation hybridization (DMH)</b>	Restriction enzyme digestion followed by depletion of repetitive elements and methylation-sensitive restriction enzymes	Digested DNA is radiolabeled in a linker mediated PCR reaction and hybridized to a nylon membrane or a microarray containing CpG island tags.
<b>Amplification of inter-methylated sites (AIMS)</b>	Methylation-sensitive and –insensitive restriction enzyme digestion	Digested DNA is ligated to adaptors and followed by PCR amplifying methylated regions. Amplicons are separated on a high-resolution polyacrylamide gel, cloned and sequenced for identification.
<b>Epigenotyping arrays (GoldenGate, Infinium)</b>	Bisulfite conversion	GoldenGate: ligation of allele-specific probes to another probe coupled to PCR with fluorescent primers. Infinium: single base extension of a specific probe corresponding to a specific CpG coupled to different fluorescent dyes.



**Annex 1\_ WHO 2007 Grading of Tumors of the Central Nervous System (Louis et al., 2007).**

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	I	II	III	IV		I	II	III	IV
<b>Astrocytic tumours</b>									
Subependymal giant cell astrocytoma	•						•		
Pilocytic astrocytoma	•						•		
Pilomyxoid astrocytoma		•						•	
Diffuse astrocytoma		•							
Pleomorphic xanthoastrocytoma		•							
Anaplastic astrocytoma			•						
Glioblastoma				•					
Giant cell glioblastoma					•				
Gliosarcoma					•				
<b>Oligodendroglial tumours</b>									
Oligodendroglioma			•						
Anaplastic oligodendrogloma				•					
<b>Oligoastrocytic tumours</b>									
Oligoastrocytoma			•						
Anaplastic oligoastrocytoma				•					
<b>Ependymal tumours</b>									
Subependymoma	•								
Myxopapillary ependymoma	•								
Ependymoma		•							
Anaplastic ependymoma				•					
<b>Choroid plexus tumours</b>									
Choroid plexus papilloma	•								
Atypical choroid plexus papilloma		•							
Choroid plexus carcinoma				•					
<b>Other neuroepithelial tumours</b>									
Angiocentric glioma	•								
Chordoid glioma of the third ventricle			•						
<b>Neuronal and mixed neuronal-glial tumours</b>									
Gangliocytoma	•								
Ganglioglioma	•								
Anaplastic ganglioglioma			•						
Desmoplastic infantile astrocytoma and ganglioglioma	•								
Dysembryoplastic neuroepithelial tumour	•								
<b>Tumours of the cranial and paraspinal nerves</b>									
Schwannoma		•							
Neurofibroma		•							
Perineurioma	•		•				•		
Malignant peripheral nerve sheath tumour (MPNST)			•				•		
<b>Meningeal tumours</b>									
Meningioma		•							
Atypical meningioma				•					
Anaplastic / malignant meningioma					•			•	
Haemangiopericytoma					•				
Anaplastic haemangiopericytoma						•			
Haemangioblastoma	•								
<b>Tumours of the sellar region</b>									
Craniopharyngioma		•							
Granular cell tumour of the neurohypophysis		•							
Pituitary adenoma		•							
Spindle cell oncocytooma of the adenohypophysis		•							







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